

Two Uniformly Distributed Parameters Defining Catalan Numbers

David Callan

The American Mathematical Monthly, Vol. 106, No. 10. (Dec., 1999), pp. 948-949.

Stable URL:

http://links.jstor.org/sici?sici=0002-9890%28199912%29106%3A10%3C948%3ATUDPDC%3E2.0.CO%3B2-N

The American Mathematical Monthly is currently published by Mathematical Association of America.

Your use of the JSTOR archive indicates your acceptance of JSTOR's Terms and Conditions of Use, available at http://www.jstor.org/about/terms.html. JSTOR's Terms and Conditions of Use provides, in part, that unless you have obtained prior permission, you may not download an entire issue of a journal or multiple copies of articles, and you may use content in the JSTOR archive only for your personal, non-commercial use.

Please contact the publisher regarding any further use of this work. Publisher contact information may be obtained at http://www.jstor.org/journals/maa.html.

Each copy of any part of a JSTOR transmission must contain the same copyright notice that appears on the screen or printed page of such transmission.

The JSTOR Archive is a trusted digital repository providing for long-term preservation and access to leading academic journals and scholarly literature from around the world. The Archive is supported by libraries, scholarly societies, publishers, and foundations. It is an initiative of JSTOR, a not-for-profit organization with a mission to help the scholarly community take advantage of advances in technology. For more information regarding JSTOR, please contact support@jstor.org.

Two Uniformly Distributed Parameters Defining Catalan Numbers

David Callan

A path is a finite sequence of ± 1 's with a graphical representation as a sequence of contiguous steps of slope +1 (upsteps) and -1 (downsteps). For example, the path w = (1, -1, -1, 1, -1, 1, -1) is pictured in Figure 1.



Let \mathscr{P}_n denote the set of $\binom{2n}{n}$ paths consisting of n upsteps and n downsteps. Each path in \mathscr{P}_n starts and terminates at "ground level" as in Figure 1. There is a well known parameter (statistic) on \mathscr{P}_n that we will call *northcnt* (to suggest a count north of a baseline). For $w \in \mathscr{P}_n$, northcnt(w) is the number of w's n upsteps that lie above ground level. Thus northcnt = 2 in Figure 1, and as w ranges over \mathscr{P}_n northcnt has possible values 0 through n. The paths for which northcnt = n—that is, the paths that lie entirely at or above ground level—we call *Catalan* paths. Dually, we call the paths with northcnt = 0 *inverted Catalan* paths: reflection in ground level gives a bijection between the two classes. It is a famous fact that exactly 1/(n + 1) of the paths in \mathscr{P}_n are Catalan: they are counted by the Catalan number $\frac{1}{n+1}\binom{2n}{n}$. A combinatorially satisfying way to see this is via the Chung-Feller Theorem, which asserts that the parameter northcnt is in fact *uniformly* distributed on [0, n]. This partitions \mathscr{P}_n into n + 1 equal-size classes, one of which consists of the Catalan paths. For combinatorial proofs of the Chung-Feller Theorem, see [1], [2], [3], or [4].

Curiously, there is another parameter on \mathcal{P}_n , westcnt, that serves the same purpose: it is also uniformly distributed on [0, n] and it has a constant value on the set of inverted Catalan paths. To define westcnt(w), let H denote the highest point of w, taking the leftmost one if there is more than one highest point as in Figure 1. Then westcnt(w) is the number of w's n upsteps that lie to the left (west) of H. Thus the path in Figure 1 has westcnt = 1, and westcnt = 0 precisely for the inverted Catalan paths. The parameter westcnt is implicit in [5].

One could show directly that westcnt is uniformly distributed on [0, n]. This is essentially done in [5], modulo translation from bracket sequences to lattice paths. But that still leaves open the question, why? Can one "explain" why northent and westent are equidistributed? A satisfactory answer would consist of a "nice" bijection $\phi : \mathscr{P}_n \to \mathscr{P}_n$ such that westent $(w) = \operatorname{northent}(\phi(w))$ for all $w \in \mathscr{P}_n$. Here we give a simple such bijection.

To define ϕ , first observe that every path in \mathscr{P}_n can be uniquely decomposed as in Figure 2 where the C_i and D_i are inverted Catalan paths (possibly empty), lying



below the dotted segments. Each u_i is an upstep and each d_i is a downstep. There will be k C's and k + 1 D's for some $k \ge 0$; in the illustration, k = 2. To see uniqueness, imagine the space above ground level divided into horizontal strips as indicated by the dotted lines (extended) in Figure 2. Then u_i, d_i are respectively the leftmost upstep and rightmost downstep in the *i*th strip above ground level.

The path $\phi(w)$ is given by flipping over each C_i path so it becomes a Catalan path C'_i and then rearranging components as in Figure 3. Note that since H (the



Figure 3

leftmost high point) is the northeast tip of of u_k (of u_2 in Figure 2)

westcnt $(w) = # u's + \text{total } # \text{ upsteps in the } C_i$.

Also,

northent($\phi(w)$) = # u's + total # upsteps in the C'_i .

However, for each *i*, # upsteps in $C_i = #$ downsteps in $C_i = #$ upsteps in C'_i , and hence westcnt(w) = northcnt($\phi(w)$), as desired.

Finally, to show ϕ is a bijection, we must check reversibility: can the u_i, d_i, C'_i, D_i as in Figure 3 be retrieved uniquely from each path in \mathscr{P}_n ? Yes: consider the first horizontal strip above ground level. Traversing this strip left to right, upsteps and downsteps are encountered alternately. These determine the u_i and d_i (if any). The connecting paths (possibly empty) determine the C'_i and D_i in order. We are done.

REFERENCES

- 1. M. D. Atkinson and J.-R. Sack, Generating binary trees at random, *Inform. Process Lett.* **41** (1992) 21–23.
- D. Callan, Pair them up!: A visual approach to the Chung-Feller theorem, College Math. J. 26 (1995) 196–198.
- H. M. Finucan, Proc. Fourth Australian Conf., Univ. Adelaide, Adelaide, Some elementary aspects of the Catalan numbers, Lecture Notes in Math., Vol. 560, Springer, Berlin 1976, 41–45.
- 4. T. V. Narayana, Cyclic permutation of lattice paths and the Chung-Feller theorem, *Skandinavisk Aktuarietidskrift* **50** (1967) 23–30.
- 5. D. Rubinstein, Catalan numbers revisited, J. Combin. Theory Ser. A 68 (1994) 486-490.

Department of Statistics, University of Wisconsin-Madison, 1210 W. Dayton Street, Madison, WI 53706-1693 callan@stat.wisc.edu

December 1999]