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## THE GAUSS MAP FOR SURFACES: PART 2. THE EUCLIDEAN CASE

BY

JOEL L. WEINER

ABSTRACT. We study smooth maps  $t: M \rightarrow G_2^c$  of a Riemann surface  $M$  into the Grassmannian  $G_2^c$  of oriented 2-planes in  $\mathbf{E}^{2+c}$  and determine necessary and sufficient conditions on  $t$  in order that it be the Gauss map of a conformal immersion  $X: M \rightarrow \mathbf{E}^{2+c}$ . We sometimes view  $t$  as an oriented riemannian vector bundle; it is a subbundle of  $\mathbf{E}_M^{2+c}$ , the trivial bundle over  $M$  with fibre  $\mathbf{E}^{2+c}$ . The necessary and sufficient conditions obtained for simply connected  $M$  involve the curvatures of  $t$  and  $t^\perp$ , the orthogonal complement of  $t$  in  $\mathbf{E}_M^{2+c}$ , as well as certain components of the tension of  $t$  viewed as a map  $t: M \rightarrow S^C(1)$ , where  $S^C(1)$  is a unit sphere of dimension  $C$  that contains  $G_2^c$  as a submanifold in a natural fashion. If  $t$  satisfies a particular necessary condition, then the results take two different forms depending on whether or not  $t$  is the Gauss map of a conformal *minimal* immersion. The case  $t: M \rightarrow G_2^2$  is also studied in some additional detail.

In [5, 6], Hoffman and Osserman study the following question: Let  $M$  be a Riemann surface and  $t: M \rightarrow G_2^c$  be a smooth map into the Grassmannian of 2-planes in  $(2+c)$ -space; when is  $t$  the Gauss map of a conformal immersion  $X: M \rightarrow \mathbf{E}^{2+c}$ ? In [5, 6] necessary and sufficient conditions are established when  $M$  is simply connected, in order for  $t$  to be a Gauss map.

The purpose of this paper is primarily to redo the work of Hoffman and Osserman from the point of view established in [12, 13]. One reason for doing this is to free their results of its dependence on the use of complex variables in order to allow and suggest generalizations from the case of surfaces to higher dimensional manifolds.

Also, to some extent, the necessary and sufficient conditions established in [5, 6] in order for  $t$  to be a Gauss map are somewhat mysterious (to me at least) and could use some illumination. In particular, we obtain corresponding conditions which are stated directly in terms of traditional geometric invariants. We will briefly describe these invariants and also describe how they appear in the theorems of this paper.

Let  $\bar{g}_0$  be the standard metric on  $G_2^c$ . We say  $t: M \rightarrow G_2^c$  is conformal if  $g_0 = t^*\bar{g}_0$  induces the given conformal structure on  $M$ , where  $t_*$  does not vanish. One may view  $t$  not only as a map but quite naturally as an oriented riemannian rank 2 vector bundle over  $M$ , a subbundle of the trivial bundle of  $\mathbf{E}_M^{2+c}$  over  $M$  with fibre  $\mathbf{E}^{2+c}$ . As such we can define  $k: M \rightarrow \mathbf{R}$  to be the curvature of  $t$  with respect to the

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element of area associated to  $g_0$  where  $t_*$  is regular, i.e., has maximal rank, and to be zero where  $t_*$  is not regular—indeed,  $t$  is flat as a bundle where  $t: M \rightarrow G_2^c$  is not regular. Certainly  $k$  is a very natural measure of the curvature of the bundle  $t$ . Also  $-1 \leq k \leq 1$ . Theorem 2 states that if  $M$  is a simply connected noncompact Riemann surface and  $t$  is conformal with  $k = -1$  where  $t_* \neq 0$ , then  $t$  is the Gauss map of a conformal minimal immersion. The corollary to Proposition 2 says the conditions on  $t$  are also necessary.

Let  $t^\perp$  be the orthogonal complement of the bundle  $t$  in  $\mathbf{E}_M^{2+c}$ ; we call  $t^\perp$  the normal bundle of  $t$ . It is also the case that  $t^\perp$  is an oriented riemannian vector bundle over  $M$ . Let  $g$  be a riemannian metric on  $M$ . We define a section  $N_g$  in  $\Lambda^2 t^\perp$ , where  $\Lambda^2 t^\perp$  is the second exterior power of  $t^\perp$ . The projection of  $N_g(p)$  for  $p \in M$  onto the unit decomposable 2-vector  $\lambda \in \Lambda^2 t^\perp(p)$  is the curvature of the “2-plane  $\lambda$ ” in  $t^\perp$  with respect to the area element associated to  $g$ , the normal curvature of  $\lambda$ . The Grassmannian  $G_2^c$  is viewed as the space of unit decomposable 2-vectors in  $\Lambda^2 \mathbf{E}^{2+c}$ ; hence  $G_2^c \subset S^C(1)$ , the sphere of unit 2-vectors in  $\Lambda^2 \mathbf{E}^{2+c}$ . Let  $\bar{q}$  denote the second fundamental form of  $G_2^c$  in  $S^C(1)$ . Set  $q = t^* \bar{q}$ ; if  $t$  is an immersion, then  $q$  is the component of the second fundamental form of  $t: M \rightarrow S^C(1)$  orthogonal to  $G_2^c$ . In Theorem 1 we show that a necessary condition on  $t$  in order for it to be a Gauss map is that

$$(0) \quad \frac{1}{2} \text{tr}_g(q) = N_g,$$

where  $g$  is any riemannian metric that induces the conformal structure on  $M$ . Note that we may view  $\frac{1}{2} \text{tr}_g(q)$  as the component of the tension of  $t: M \rightarrow S^C(1)$  orthogonal to  $G_2^c$ . Equation (0) is one of our necessary and sufficient conditions that correspond to one of those of Hoffman and Osserman.

As is pointed out in the remark following Proposition 1, the map  $t$ , if it possibly is a Gauss map, already determines whether any possible conformal immersion with it as a Gauss map would be minimal or not, and if the immersion cannot be minimal then  $t$  already determines the direction of its mean curvature. Assuming (0) holds and  $k \neq -1$  where  $t$  is conformal and regular, we define in §3 a riemannian metric  $\tilde{g}$  which induces the conformal structure on  $M$ . Let  $\tau$  denote the tension of  $t: M \rightarrow G_2^c$  with respect to the metrics  $\tilde{g}$  on  $M$  and  $g_0$  on  $G_2^c$ . Associated to  $\tau$  is a differential 1-form on  $M$ ,  $\tilde{\tau}$ , which involves only the component of  $\tau$  in the direction of the mean curvature just mentioned (see §3 for a complete explanation of what this means). Theorem 3 states that if  $M$  is simply connected, (0) holds,  $k \neq -1$  where  $t$  is conformal and regular, and  $d\tilde{\tau} = 0$ , then  $t$  is a Gauss map. Theorem 3 also points out that the scalar mean curvature  $H$  of any conformal immersion with Gauss map  $t$  is given by

$$H = H_0 \exp\left(\frac{1}{2} \int \tilde{\tau}\right),$$

where  $H_0 \in \mathbf{R}$ , and the theorem also presents a representation for any conformal immersion with Gauss map  $t$  using  $H$ . The equation  $d\tilde{\tau} = 0$  is the other one of our necessary and sufficient conditions that corresponds to one of those of Hoffman and Osserman.

In the last section of this paper, we focus on  $t: M \rightarrow G_2^2$ . In this setting equation (0) takes on an especially interesting form. Since  $G_2^2 = S^2(1/\sqrt{2}) \times S^2(1/\sqrt{2})$ , we let  $t_i: M \rightarrow S^2(1/\sqrt{2})$  be the  $i$ th component of  $t$  for  $i \in \{1, 2\}$ . If  $g$  is a riemannian metric on  $M$ , for  $i \in \{1, 2\}$ , let  $\epsilon_i(g)$  and  $\rho_i(g)$  be the energy density and Jacobian of  $t_i: M \rightarrow S^2(1/\sqrt{2})$ , respectively, with respect to the metric  $g$  on  $M$  and the standard metric on  $S^2(1/\sqrt{2})$ . Then, according to Proposition 5, equation (0) becomes

$$\epsilon_1(g) + \rho_1(g) = \epsilon_2(g) + \rho_2(g),$$

where  $g$  is a riemannian metric that induces the conformal structure on  $M$ . In fact, we point out in Corollary 3 of Proposition 6 that

$$\epsilon_i(g) + \rho_i(g) = \|\mathbf{H}\|^2$$

for  $i \in \{1, 2\}$ , if  $t: M \rightarrow G_2^2$  is the Gauss map of an immersion with induced metric  $g$  and mean curvature  $\mathbf{H}$ .

Finally, Theorem 5 presents a characterization of the Gauss map of a conformal minimal immersion into  $S^3$ , the 3-sphere in  $\mathbf{E}^4$ . The theorem states that under the assumption that  $M$  is simply connected,  $t$  is the Gauss map of such an immersion if and only if  $t: M \rightarrow G_2^2$  is a conformal minimal immersion with flat normal bundle  $t^\perp$  and  $k > -1$ .

This paper draws heavily on [13], which we refer to as Part 1 throughout this paper. The notation of Part 1 is used in this part and the ideas introduced in Part 1 are employed extensively here. In particular, the space of admissible maps,  $\alpha$ , plays an integral role in Part 2.

All maps that appear in this paper are  $C^\infty$  with the exception of  $k$  which need not be continuous when  $t$  is not an immersion.

**1. Preliminaries.** Let  $M$  be a connected Riemann surface, i.e.,  $M$  possesses a conformal structure. The conformal structure orients  $M$ . Let  $G_2^2$  be the Grassmannian of oriented 2-planes in  $\mathbf{E}^{2+c}$  and let  $\bar{g}_0$  denote the riemannian metric on  $G_2^2$ . Suppose  $t: M \rightarrow G_2^2$  is a smooth map; as in Part 1 we often view  $t$  as an oriented riemannian 2-plane bundle over  $M$ , a vector subbundle of  $\mathbf{E}_M^{2+c}$ , the trivial bundle over  $M$  with fibre  $\mathbf{E}^{2+c}$ . We let  $t^\perp$  denote the orthogonal complement of  $t$  in  $\mathbf{E}_M^{2+c}$  and call  $t^\perp$  the normal bundle. The splitting  $\mathbf{E}_M^{2+c} = t \oplus t^\perp$  induces orthogonal projections  $(\dots)^\top: \mathbf{E}_M^{2+c} \rightarrow t$  and  $(\dots)^\perp: \mathbf{E}_M^{2+c} \rightarrow t^\perp$ .

If  $t: M \rightarrow G_2^2$  is given, we show the following in Part 1: There exists over  $M$  the rank 4 vector bundle  $\beta$  defined by

$$\beta_p = \left\{ \Phi: T_p M \rightarrow \mathbf{E}^{2+c} \text{ linear map} \mid \Phi(T_p M) \subset t(p) \right\}$$

for all  $p \in M$ . If  $\Phi \in \beta_p$ , we define its second fundamental form  $h_\Phi$  by

$$(1) \quad h_\Phi(u, v) = t_*(u)(\Phi(v))$$

for all  $(u, v) \in T_p M \times T_p M$ . We view  $t_*(u)$  as an element of  $GL(t(p), t^\perp(p)) \cong T_{t(p)}G_2^2$  (see §2 of Part 1 for details). Contained in  $\beta$  is the space of admissible maps,  $\alpha$ , which consists of all  $\Phi \in \beta$  for which  $h_\Phi$  is symmetric. For each  $p \in M$ ,  $\alpha_p$  is a

subspace of  $\beta_p$  of dimension  $a(p)$ ; if  $a: M \rightarrow \{0, 1, 2, 3, 4\}$  is constant, then  $\alpha$  is a subbundle of  $\beta$ . Contained in  $\alpha_p$  for each  $p \in M$  is  $\alpha_p^+$ , the space of admissible maps at  $p$  with positive determinant. A map  $\Phi \in \alpha_p$  has positive determinant if and only if  $\Phi$  maps a positively oriented frame of  $T_p M$  into a positively oriented frame of  $t(p)$ . It may happen that  $\alpha_p^+ = \emptyset$  for some  $p \in M$ . Also we let  $\alpha^+ = \bigcup_{p \in M} \alpha_p^+$ .

We will often think of a conformal structure on a 2-dimensional vector space  $V$  as a linear map  $J: V \rightarrow V$  such that  $J^2 = -1$ , for  $J$  determines an orientation—that determined by  $(v, Jv)$  for  $0 \neq v \in V$ —and a collection of inner products which are multiples of one another—those for which  $J$  is an isometry. Each plane  $\pi \in G_2^c$  has a conformal structure induced from its orientation and the inner product  $\bar{g}$  on  $\mathbb{E}^{2+c}$ ; we denote this conformal structure by  $J_\pi$ . For each  $p \in M$ , let  $J_p$  be the conformal structure on  $T_p M$ .

Let  $X: M \rightarrow \mathbb{E}^{2+c}$  be an immersion with Gauss map  $t$  that induces the given conformal structure on  $M$ , i.e.,  $X$  is a *conformal immersion*. Since  $t$  is a Gauss map it follows that condition [C] of Part 1 holds, i.e., if  $\Phi = dX$  then  $\Phi$  is a section in  $\alpha$  with values in  $\alpha^+$  and  $(d\Phi)^T = 0$ . But, in addition, for each  $p \in M$ ,  $\Phi_p$  must “pull back” the conformal structure on  $t(p)$ ,  $J_{t(p)}$ , to that given on  $T_p M$ ,  $J_p$ , in order that  $X$  induce the given conformal structure on  $M$ . This suggests that we introduce the following rank 2 vector subbundle  $\kappa$  of  $\beta$  where, for each  $p \in M$ ,

$$\kappa_p = \left\{ \Phi \in \beta_p \mid J_{t(p)} \circ \Phi = \Phi \circ J_p \right\}.$$

Note that any nonzero member of  $\kappa_p$  has positive determinant and any two members of  $\kappa_p$  differ by a composition with a similarity transformation of  $t(p)$ , i.e., a scalar multiple of rotation of  $t(p)$ .

Hence, according to condition [C] of Part 1 and the definition of  $\kappa$ , in order for a section  $\Phi$  in  $\beta$  defined over a simply connected open subset  $U$  of  $M$  to be the differential of a conformal immersion  $X: U \rightarrow \mathbb{E}^{2+c}$  with Gauss map  $t$ , it is necessary and sufficient that

$$[K] \quad \Phi_p \in (\alpha_p \cap \kappa_p) - \{0\} \quad \text{and} \quad (d_p \Phi)^T = 0 \quad \text{for all } p \in U.$$

Here  $d_p \Phi$  denotes the exterior differential of  $\Phi$  at  $p$ . When condition [K] holds for some section  $\Phi$  in  $\beta|U$ , we say  $t$  is a *Gauss map on U*.

As we just observed, in order for  $t: M \rightarrow G_2^c$  to be a Gauss map it is necessary that  $\alpha_p^+ \cap \kappa_p = (\alpha_p \cap \kappa_p) - \{0\} \neq \emptyset$  for all  $p \in M$ . We now turn to a characterization of  $\dim(\alpha_p \cap \kappa_p)$ . We define the *mean curvature*  $\mathbf{H}(\Phi)$  for  $\Phi \in \kappa_p - \{0\}$ ,  $p$  arbitrary, by

$$(2) \quad \mathbf{H}(\Phi) = \frac{1}{2} \sum_{i=1}^2 h_\Phi(\Phi^{-1}(e_i), \Phi^{-1}(e_i)) = \frac{1}{2} \sum_{i=1}^2 t_*(\Phi^{-1}(e_i))(e_i),$$

where  $e_1, e_2$  is an orthonormal frame of  $t(p)$ . The second equality in (2) follows from (1). We leave it to the reader to check that  $\mathbf{H}(\Phi)$  is well defined. Note that  $\mathbf{H}(\Phi) \in t^\perp(p)$  for all  $\Phi \in \kappa_p - \{0\}$ . Of course, if  $\Phi = d_p X$  for an immersion  $X$  with Gauss map  $t$ , then  $\mathbf{H}(\Phi)$  is the mean of curvature of  $X$  at  $p$ .

Note that  $J_\pi$  is a rotation by  $+90^\circ$  for all  $\pi \in G_2^c$ . Thus  $J_{t(p)} \circ \Phi \in \kappa_p$  if  $\Phi \in \kappa_p$ . We will delete the subscript  $t(p)$  in  $J_{t(p)}$  in what follows. Also the reader is reminded that  $\alpha$  is the set of all  $\Phi \in \beta$  such that  $h_\Phi$  is symmetric.

**LEMMA 1.** *Let  $\Phi \in \kappa_p - \{0\}$  for some  $p \in M$ . Then  $h_\Phi$  is symmetric if and only if  $\mathbf{H}(J \circ \Phi) = 0$ .*

**PROOF.** Let  $e_1, e_2$  be a positively oriented orthonormal frame of  $t(p)$  and define  $v_1, v_2 \in T_p M$  by  $\Phi(v_i) = e_i$  for  $i \in \{1, 2\}$ . Then note that  $J \circ \Phi(v_1) = e_2$  and  $J \circ \Phi(v_2) = -e_1$ . Hence by (2) and (1)

$$\begin{aligned} \mathbf{H}(J \circ \Phi) &= \frac{1}{2} [t_*(-v_2)(e_1) + t_*(v_1)(e_2)] \\ &= \frac{1}{2} [h_\Phi(v_1, v_2) - h_\Phi(v_2, v_1)]. \end{aligned}$$

**PROPOSITION 1.** *For any  $p \in M$  the following hold:*

- (i)  $\dim(\alpha_p \cap \kappa_p) = 2$  if and only if  $\mathbf{H}(\Phi) = 0$  for all  $\Phi \in \kappa_p - \{0\}$ .
- (ii)  $\dim(\alpha_p \cap \kappa_p) = 0$  if and only if  $\mathbf{H}(\Phi) \neq 0$  for all  $\Phi \in \kappa_p - \{0\}$ .
- (iii) If  $\dim(\alpha_p \cap \kappa_p) = 1$ , then  $\mathbf{H}(\Phi) \neq 0$  for all  $\Phi \in \alpha_p^+ \cap \kappa_p$ .

**PROOF.** First note that  $\kappa_p = \{J \circ \Phi \mid \Phi \in \kappa_p\}$ . Hence  $\mathbf{H}(\Phi) = 0$  for all  $\Phi \in \kappa_p - \{0\}$ , if and only if  $\mathbf{H}(J \circ \Phi) = 0$  for all  $\Phi \in \kappa_p - \{0\}$ , if and only if  $h_\Phi$  is symmetric for all  $\Phi \in \kappa_p$  (by Lemma 1), if and only if  $\kappa_p \subset \alpha_p$ . This proves (i); a similar argument proves (ii). For (iii), just note that if  $\mathbf{H}(\Phi) = \mathbf{H}(J \circ \Phi) = 0$  for some  $\Phi \in \kappa_p - \{0\}$ , then  $\mathbf{H}(\Psi) = 0$  for all  $\Psi \in \kappa_p - \{0\}$  by (2) since  $\Psi^{-1} = r\Phi^{-1} + s(J \circ \Phi)^{-1}$  for appropriate reals  $r$  and  $s$ .

**REMARK.** Choose  $v_1, v_2 \in T_p M$  so that  $v_2 = Jv_1$ . Then define

$$\mathcal{H}_p = \text{span} \left\{ \frac{1}{2} \sum_{i=1}^2 t_*(v_i)(e_i) \mid e_1, e_2 \right. \\ \left. \text{is a positively oriented orthonormal frame of } t(p) \right\}.$$

Now let  $X: M \rightarrow \mathbf{E}^{2+c}$  be a conformal immersion with Gauss map  $t$ . According to [K] and Proposition 1,  $X$  is minimal at  $p$  if and only if  $\dim(\mathcal{H}_p) = 0$ ; also if  $X$  is not minimal then  $\mathbf{H}(p)$ , the mean curvature of  $X$  at  $p$ , lies in  $\mathcal{H}_p$  which is 1-dimensional. Thus the Gauss map of  $X$  already determines whether or not  $X$  is minimal at  $p$ , and if  $X$  is not minimal at  $p$ , then the Gauss map determines the direction of the mean curvature at  $p$ . Hoffman and Osserman already pointed this out in [5].

**2. The dimension of  $\alpha_p \cap \kappa_p$ .** We now study  $\dim(\alpha_p \cap \kappa_p)$  more directly in terms of the geometry of  $t: M \rightarrow G_2^c$  and the curvatures of the vector bundles  $t$  and  $t^\perp$ .

We remind the reader that we defined the Weingarten map  $A: t^\perp \rightarrow \beta$  as the adjoint of  $t_*$  (see §2 of Part 1 for details). The curvature  $k$  of the oriented riemannian vector bundle  $t$  at  $p$ , with respect to  $\mu$ , a fixed area element on  $M$ , is

given by

$$k(p) = \sum_{\alpha=3}^{2+c} \det(A^{e_\alpha}: T_p M \rightarrow t(p)),$$

where  $A^e$  denotes the value of  $A$  at  $e$ , and  $e_3, \dots, e_{2+c}$  is an orthonormal frame of  $t^\perp(p)$ . The determinant of  $A^{e_\alpha}$  may be defined as follows: Let  $v_1, v_2 \in T_p M$  such that  $\mu(v_1 \wedge v_2) = 1$  and let  $e_1, e_2$  be a positively oriented orthonormal frame of  $t(p)$ ; then define reals  $l_{ij}^\alpha$  for  $i, j \in \{1, 2\}$  and  $\alpha \in \{3, \dots, 2 + c\}$ , by

$$(3) \quad l_{ij}^\alpha = (t_*(v_j)(e_i), e_\alpha).$$

Since  $A$  and  $t_*$  are adjoints, we see that

$$(A^{e_\alpha}(v_j), e_i) = l_{ij}^\alpha$$

for  $i, j \in \{1, 2\}$  and  $\alpha \in \{3, \dots, 2 + c\}$ . Then  $\det(A^{e_\alpha}) = |l_{ij}^\alpha|$ , where  $|l_{ij}^\alpha|$  denotes the determinant of the  $2 \times 2$ -matrix  $(l_{ij}^\alpha)_{1 \leq i, j \leq 2}$ . In particular, we also have

$$(4) \quad k(p) = \sum_{\alpha} |l_{ij}^\alpha|.$$

If  $t$  is regular at  $p$ , i.e.,  $\text{rank}(t_{*|p}) = 2$ , so that  $g_0 = t^* \bar{g}_0$  is an inner product at  $p$  we may suppose  $\mu_p = \mu_{0|p}$ , where  $\mu_0$  is the differential 2-form defined as follows: If  $u_1, u_2$  is a positively oriented frame of  $T_p M$ , then

$$\mu_0(u_1, u_2) = g_0(u_1, u_1)g_0(u_2, u_2) - g_0(u_1, u_2)^2.$$

Hence, when  $t$  is regular at  $p$  so that  $\mu_p = \mu_{0|p}$ , we may suppose  $v_1, v_2$  given above in the definition of  $l_{ij}^\alpha$ , form a positively oriented  $g_0$ -orthonormal frame of  $T_p M$ . Unless stated otherwise  $k(p)$  at a point  $p$  where  $t$  is regular will be the curvature of  $t$  at  $p$  with respect to  $\mu_{0|p}$ . It is easy to check that  $k(p) = 0$  when  $t$  is not regular at  $p$ . We call  $k$  the *pre-Gaussian curvature* of  $t$  (see §2 of Part 1 for motivation of this definition).

If  $\pi \in G_2^c$ , then a positively oriented orthonormal frame  $e_1, \dots, e_{2+c}$  of  $\mathbf{E}^{2+c}$  is said to be “ $\pi$ -adapted” if  $e_1, e_2$  is a positively oriented orthonormal frame of  $\pi$ . If  $l \in T_\pi G_2^c \cong \text{GL}(\pi, \pi^\perp)$ , then  $\bar{g}_0(l, l) = \|l\|^2$ , i.e., if  $e_1, \dots, e_{2+c}$  is a  $\pi$ -adapted frame of  $\mathbf{E}^{2+c}$ , and reals  $l_i^\alpha$  for  $i \in \{1, 2\}$ ,  $\alpha \in \{3, \dots, 2 + c\}$  are defined by  $l_i^\alpha = (l(e_i), e_\alpha)$ , then

$$(5) \quad \bar{g}_0(l, l) = \sum_{i, \alpha} (l_i^\alpha)^2.$$

Assume  $t$  is regular at  $p$  and reals  $l_{ij}^\alpha$  are defined as in (3) with  $v_1, v_2$  a positively oriented  $g_0$ -orthonormal frame of  $T_p M$ . Then, by (3) and (5),

$$(6) \quad \delta_{rs} = g_0(v_r, v_s) = \sum_{i, \alpha} l_{ir}^\alpha l_{is}^\alpha$$

for  $r, s \in \{1, 2\}$ .

LEMMA 2. Suppose  $t$  is regular at  $p$ . Then  $|k(p)| \leq 1$  and  $k(p) = \pm 1$  if and only if

$$l_{12}^\alpha = \mp l_{21}^\alpha \quad \text{and} \quad l_{22}^\alpha = \pm l_{11}^\alpha$$

for  $\alpha \in \{3, \dots, 2 + c\}$ .

PROOF. Note, by (4),  $k(p)$  may be viewed as the dot product of the  $(2c)$ -tuples  $(l_{11}^3, l_{21}^3, l_{11}^4, l_{21}^4, \dots, l_{11}^{2+c}, l_{21}^{2+c})$  and  $(l_{22}^3, -l_{12}^3, l_{22}^4, -l_{12}^4, \dots, l_{22}^{2+c}, -l_{12}^{2+c})$ . These  $(2c)$ -tuples are unit vectors by (6). Hence, the lemma follows directly from the Cauchy-Schwarz inequality.

PROPOSITION 2.  $\dim(\alpha_p \cap \kappa_p) = 2$  if and only if either

- (i)  $t_{*|p} = 0$ , or
- (ii)  $t$  is conformal (and regular) at  $p$  and  $k(p) = -1$ .

PROOF. Throughout this proof let  $v_1, v_2$  be a positively oriented frame of  $T_p M$  and  $e_1, \dots, e_{2+c}$  a  $t(p)$ -adapted frame of  $\mathbf{E}^{2+c}$ . Then define reals  $l_{ij}^\alpha$  as in (3). The vectors  $v_1, v_2$  and hence the reals  $l_{ij}^\alpha$  will have various additional properties as needed in the course of the proof.

Suppose  $\dim(\alpha_p \cap \kappa_p) = 2$  and  $t_{*|p} \neq 0$ . We will show  $t$  is conformal at  $p$  and  $k(p) = -1$ . Choose  $\Phi \in \kappa_p - \{0\}$  and suppose  $\Phi(v_i) = e_i$  for  $i \in \{1, 2\}$ . Then, by (1),

$$l_{ij}^\alpha = (h_\Phi(v_j, v_i), e_\alpha)$$

for  $i, j \in \{1, 2\}$  and  $\alpha \in \{3, \dots, 2 + c\}$ . By (i) of Proposition 1 and Lemma 1, both  $h_\Phi$  and  $h_{J \circ \Phi}$  are symmetric. The symmetry of  $h_\Phi$  and  $h_{J \circ \Phi}$  implies in turn that

$$(7) \quad l_{12}^\alpha = l_{21}^\alpha \quad \text{and} \quad l_{22}^\alpha = -l_{11}^\alpha$$

for  $\alpha \in \{3, \dots, 2 + c\}$ . By (5),  $(l_{1j}^3, l_{2j}^3, \dots, l_{1j}^{2+c}, l_{2j}^{2+c})$  are the components of  $t_*(v_j)$  with respect to an orthonormal frame of  $T_{t(p)}G_2^c$ . Hence, by (7),  $t_*(v_1)$  and  $t_*(v_2)$  are orthogonal to one another and have the same (nonzero) length. Hence  $t$  is conformal (and regular) at  $p$ . Thus, by multiplying  $v_1$  and  $v_2$  by an appropriate scalar if necessary, we may suppose  $v_1, v_2$  is a  $g_0$ -orthonormal frame, too. Then (7) and Lemma 2 imply  $k(p) = -1$ .

If  $t_{*|p} = 0$ , then  $\dim(\alpha_p) = 4$ , so clearly  $\dim(\alpha_p \cap \kappa_p) = 2$ . Therefore suppose  $t$  is conformal and regular at  $p$  and  $k(p) = -1$ . Assume  $\Phi \in \kappa_p - \{0\}$ . Since  $t$  is conformal at  $p$ ,  $g_0$  induces the conformal structure on  $M$  at  $p$ . Since  $\Phi: T_p M \rightarrow t(p)$  is also conformal, an appropriate multiple of  $\Phi$ ,  $m\Phi$ , is an isometry from  $T_p M$ , with the inner product  $g_0$ , onto  $t(p)$ . Now choose  $v_1, v_2$  so that  $(m\Phi)(v_i) = e_i$ ; hence  $v_1, v_2$  is a  $g_0$ -orthonormal frame, and  $l_{ij}^\alpha = (h_{m\Phi}(v_j, v_i), e_\alpha)$  by (1). But, by Lemma 2,  $k(p) = -1$  implies (7) holds. In particular,  $h_{m\Phi}$  is symmetric. Thus  $m\Phi$  and hence  $\Phi \in \alpha_p$ .

COROLLARY. If  $X: M \rightarrow \mathbf{E}^{2+c}$  is a conformal minimal immersion with Gauss map  $t$ , then  $t$  is conformal with respect to the induced conformal structure on  $M$  and  $K = -\rho$ , where  $K$  and  $\rho$  are the Gaussian curvature of  $X$  and the Jacobian of the Gauss map  $t$ , respectively.



PROOF. Since  $\Phi = dX$  is a section in  $\alpha \cap \kappa$  and  $\mathbf{H}(\Phi) = 0$  on  $M$ , Proposition 1 implies  $\alpha \cap \kappa$  is a rank 2 vector bundle. Hence Proposition 2 implies that  $t$  is conformal and  $k = -1$  where  $t_* \neq 0$ . But for the Gaussian curvature of  $X$ ,  $K$ , it is the case that  $K = \rho k$ , since  $\rho$  is the ratio of the area elements induced on  $M$  by  $t$  and  $X$ . So where  $t_* \neq 0$ , clearly  $K = -\rho$ . Where  $t_* = 0$ , both  $K$  and  $\rho$  are zero; so here too  $K = -\rho$ .

REMARK. It is well known that the Gauss map of a minimal immersion is conformal. That  $K = -\rho$  follows immediately from [4, Theorem 1].

If  $M$  is simply connected and  $t: M \rightarrow G_2^c$  is the Gauss map of a conformal minimal immersion, it is known that  $t$  is the Gauss map of infinitely many essential different conformal (minimal) immersions (see Hoffman and Osserman [5] or Remark 2 after Theorem 2 of this paper). But are there other immersions with Gauss map  $t$  which induce conformal structures other than the given one on  $M$ ? If  $a = 3$  on  $M$ , i.e.,  $\alpha$  is a rank 3 vector bundle, then one may show there exists a 3-plane  $\mathbf{E}^3 \subset \mathbf{E}^{2+c}$  such that  $t: M \rightarrow G_2(\mathbf{E}^3) \subset G_2^c$  (see §3 of Part 1). Hence, in this case, in general there exist nonconformal immersions of the Riemann surface  $M$  with Gauss map  $t$  since the first and third fundamental forms of an immersion  $X: M \rightarrow \mathbf{E}^3$  need not be conformally equivalent. But if  $a = 2$  on a dense (and necessarily open) subset of  $M$ , then the given conformal structure is the only one induced by any immersion of  $M$  with Gauss map  $t$ . This is a consequence of

PROPOSITION 3. *Suppose  $t$  is a Gauss map of a conformal immersion which is minimal at  $p \in M$ . If  $a(p) = 2$ , then the given conformal structure at  $p$ , is the only conformal structure induced by an immersion with Gauss map  $t$ .*

PROOF. By Proposition 1,  $\dim(\alpha_p \cap \kappa_p) = 2$ . Since  $a(p) = 2$ , it must be that  $\kappa_p = \alpha_p$ . Let  $J_p^*$  be another conformal structure at  $p$  and let  $\kappa_p^* = \{\Phi \in \beta_p \mid J_{t(p)} \circ \Phi = \Phi \circ J_p^*\}$ . Clearly  $\alpha_p \cap \kappa_p^* = \kappa_p \cap \kappa_p^* \neq \{0\}$  if there exists an immersion with Gauss map  $t$  which induces the conformal structure  $J_p^*$ . But  $\kappa_p \cap \kappa_p^* \neq \{0\}$  obviously implies  $J_p^* = J_p$ , the given conformal structure.

REMARK. If an immersion  $X: M \rightarrow \mathbf{E}^{2+c}$  is minimal at  $p \in M$ , then necessarily  $a(p) \geq 2$  by Proposition 1. It turns out that  $a(p) = 2$  if and only if the normal bundle of  $X$  at  $p$  is not flat.

We now turn our attention to determining when  $\alpha_p \cap \kappa_p \neq \{0\}$ . In §2 of Part 1 we introduced the span of  $t_{*|p}$ ,  $S_p$ , where

$$S_p = \text{span} \{ t_*(v)(e) \mid v \in T_p M, e \in t(p) \}.$$

Clearly  $S_p \subset t^\perp(p)$ ; also we showed in Proposition 1 of Part 1 that  $\dim(S_p) = 4 - a(p)$ .

The Grassmannian  $G_2^c$  is regarded as the set of unit decomposable 2-vectors in  $\Lambda^2 \mathbf{E}^{2+c}$ . Hence, in a natural way,  $G_2^c$  is a submanifold of  $S^C(1)$ , the set of unit 2-vectors in  $\Lambda^2 \mathbf{E}^{2+c}$ ; of course,  $C = \binom{c+2}{2} - 1$ . Let  $\bar{q}$  denote the second fundamental form of  $G_2^c$  as a submanifold of  $S^C(1)$ . We showed in §4 of Part 1 that for all  $l \in T_\pi G_2^c \cong \text{GL}(\pi, \pi^\perp)$

$$(8) \quad \frac{1}{2} \bar{q}(l, l) = l \wedge l(\pi),$$

which makes sense since  $\pi$  is regarded as the positive unit 2-vector in the second exterior power of the 2-plane  $\pi$ ,  $\Lambda^2\pi$ . Now let  $q = t^*\bar{q}$ . It is easy to see that  $q_p$  takes values in  $\Lambda^2S_p$  for all  $p \in M$ . Hence, if  $a(p) \geq 2$ , so that  $\dim(S_p) < 2$ , it follows that  $q_p = 0$ . Assuming  $a(p) \leq 2$ , if  $\lambda \in G_2(S_p)$  let  $r^\lambda: t^\perp(p) \rightarrow \lambda$  be the orthogonal projection onto  $\lambda$ .

LEMMA 3. Suppose  $a(p) \leq 2$ . If  $v \in T_pM$  and  $\lambda \in G_2(S_p) \subset \Lambda^2S_p$ , then

$$\frac{1}{2}(q(v, v), \lambda) = \det(r^\lambda \circ t_*(v)).$$

PROOF. Let  $e_1, e_2, \dots, e_{2+c}$  be a  $t(p)$ -adapted frame such that  $\lambda = \text{span}\{e_3, e_4\}$ . Then, since  $t(p) = e_1 \wedge e_2$  and  $\lambda = e_3 \wedge e_4$ , (8) implies

$$\begin{aligned} \frac{1}{2}(q(v, v), \lambda) &= (t_*(v) \wedge t_*(v)(e_1 \wedge e_2), e_3 \wedge e_4) \\ &= \det[(t_*(v)(e_i), e_\alpha)]_{\substack{1 \leq i \leq 2 \\ 3 \leq \alpha \leq 4}} = \det(r^\lambda \circ t_*(v)). \end{aligned}$$

If  $g$  is a riemannian metric on  $M$ , we define  $\text{tr}_g(q)$  by

$$\text{tr}_g(q) = \sum_{i=1}^2 q(v_i, v_i),$$

where  $v_1, v_2$  is a  $g$ -orthonormal frame field defined on  $M$ .

We discuss for a moment the curvatures of  $t^\perp$ , the normal curvatures of  $t$ . Let  $g$  be a riemannian metric on  $M$ . If  $\lambda$  is an oriented 2-plane in  $t^\perp(p)$ , we may define the normal curvature of  $\lambda$  with respect to  $g$  as follows: Let  $e_1, \dots, e_{2+c}$  be a  $t$ -adapted frame field of  $\mathbb{E}^{2+c}$  defined near  $p$  such that  $e_3(p), e_4(p)$  is a positively oriented frame of  $\lambda$ . Then define  $(A^\lambda)^{e_i}: T_pM \rightarrow \lambda$  for  $i \in \{1, 2\}$ , by letting

$$(9) \quad (A^\lambda)^{e_i}(u) = - \sum_{\alpha=3}^4 (de_i(u), e_\alpha) e_\alpha$$

for all  $u \in T_pM$ . By  $\det((A^\lambda)^{e_i})$  we mean the determinant of a matrix representing  $(A^\lambda)^{e_i}$  with respect to orthonormal frames of  $T_pM$  and  $\lambda$ . Then, the normal curvature of  $\lambda$  with respect to  $g$ ,  $N_g^\lambda$ , is defined by

$$(10) \quad N_g^\lambda = \sum_{i=1}^2 \det((A^\lambda)^{e_i}).$$

Of course, one may check that  $N_g^\lambda$  is independent of the choice of  $e_1, e_2$  in  $t(p)$  and  $e_3, e_4$  in  $\lambda$  as well as the fact that  $N_g^\lambda$  actually depends on the area element on  $M$  associated to  $g$  rather than  $g$ . Perhaps the easiest way to see this is to introduce 1-forms  $\omega_i^\alpha = (de_i, e_\alpha)$  for  $i \in \{1, 2\}$  and  $\alpha \in \{3, \dots, 2+c\}$ , and show that, at  $p$ ,

$$N_g^\lambda \mu_g = \sum_{i=1}^2 \omega_i^3 \wedge \omega_i^4,$$

where  $\mu_g$  is the area element on  $M$  associated to  $g$ . Also one may show that if  $X$  is an immersion with Gauss map  $t$  which induces the metric  $g$  on  $M$ , then the normal curvature of  $\lambda \in G_2(t^\perp(p))$  for  $X$ , at  $p$ , is given by  $N_g^\lambda$ .

If  $e_1, e_2, \dots, e_{2+c}$  is a  $t(p)$ -adapted frame of  $\mathbf{E}^{2+c}$  and  $g$  is a riemannian metric on  $M$ , set  $N_g^{\alpha\beta} = N_g^\lambda$  if  $\lambda$  is the 2-plane having  $e_\alpha, e_\beta$  (in that order) for a positively oriented frame, for distinct  $\alpha, \beta \in \{3, \dots, 2+c\}$ . Then define  $N_g: M \rightarrow t^\perp$  at  $p$  by

$$N_g(p) = \sum_{\alpha < \beta} N_g^{\alpha\beta} e_\alpha \wedge e_\beta.$$

It is straightforward to check that  $N_g(p)$  is independent of the choice of the  $t(p)$ -adapted frame  $e_1, e_2, \dots, e_{2+c}$ . Also since  $N_g(p)$  actually only depends on the associated area element  $\mu_g$  of  $g$ , it follows that  $N_g(p)$  is determined up to a scalar multiple, in fact, up to a positive scalar multiple by the orientation of  $M$ . We call  $N_g(p)$  the *primary normal curvature 2-vector* at  $p$  (with respect to  $g$ ). Clearly  $N_g(p) \in \Lambda^2 t^\perp(p)$ . In fact, the following lemma holds.

LEMMA 4.  $N_g(p) \in \Lambda^2 S_p$ .

PROOF. It follows by letting  $e_i = \Phi(v)$  in (1) of Part 1 and (9) that, for all  $v \in T_p M$ ,

$$(11) \quad (A^\lambda)^{e_i}(v) = - \sum_{\alpha=3}^4 (t_*(v)(e_i), e_\alpha) e_\alpha,$$

where  $e_1, \dots, e_{2+c}$  is a  $t(p)$ -adapted frame of  $\mathbf{E}^{2+c}$ , and  $e_3, e_4$  is a positively oriented frame of  $\lambda$ . If  $\lambda$  is orthogonal to  $S_p$ , i.e.,  $\lambda$  contains a vector orthogonal to  $S_p$ , then clearly  $\text{rank}((A^\lambda)^{e_i}) < 2$  for  $i \in \{1, 2\}$ ; consequently  $\det((A^\lambda)^{e_i}) = 0$ . Therefore  $N_g^\lambda = 0$  by (10) if  $\lambda$  is orthogonal to  $S_p$ , and thus  $N_g(p) \in \Lambda^2 S_p$ .

PROPOSITION 4. *Let  $g$  be a riemannian metric which induces the given conformal structure on  $M$ , i.e.,  $J^*g = g$ . Then  $\alpha_p \cap \kappa_p \neq \{0\}$  if and only if  $\frac{1}{2} \text{tr}_g(q) = N_g$  at  $p$ .*

PROOF. If  $a(p) > 2$ , then  $\dim(S_p) < 2$ . Hence  $q_p = 0$ , and thus  $\text{tr}_g(q_p) = 0$ . But Lemma 4 also implies  $N_g = 0$  since  $\Lambda^2 S_p = 0$ . However when  $a(p) > 2$ , clearly  $\alpha_p \cap \kappa_p \neq \{0\}$  because of dimensional reasons. Therefore, for the remainder of this proof we assume  $a(p) \leq 2$ , i.e.,  $\dim(S_p) \geq 2$ .

First observe that  $\frac{1}{2} \text{tr}_g(q) = N_g$  if and only if

$$(12) \quad \frac{1}{2} \text{tr}_g(q, \lambda) = N_g^\lambda$$

for all  $\lambda \in G_2(S_p)$ . This is so since both  $\text{tr}_g(q)$  and  $N_g$  lie in  $\Lambda^2 S_p$ , which is spanned by  $G_2(S_p)$ , and since  $(\text{tr}_g(q), \lambda) = \text{tr}_g(q, \lambda)$  and  $(N_g, \lambda) = N_g^\lambda$  for all  $\lambda \in G_2(S_p)$ .

Let  $P: \beta \oplus \beta \rightarrow \mathbf{R}$  be the nondegenerate skew-symmetric tensor field introduced in §2 of Part 1. It is immediate from the definition of  $P$  that for any  $\Phi, \Psi \in \beta_p$

$$(13) \quad \nu P(\Phi, \Psi) = (\Phi(v_1), \Psi(v_2)) - (\Phi(v_2), \Psi(v_1)),$$

where  $\nu = \mu_0(v_1 \wedge v_2) > 0$ . For each  $\lambda \in G_2(S_p)$ , define  $\alpha^{(\lambda)}$  to be the  $P$ -orthogonal complement of  $A^{(\lambda)} = \{A^z \mid z \in \lambda\}$  in  $\beta_p$ . Clearly, by Lemma 2 of Part 1, the collection  $\{\alpha^{(\lambda)} \mid \lambda \in G_2(S_p)\}$  is the same as the collection of all 2-planes in  $\beta_p$  containing  $\alpha_p$ . This leads to our second observation:  $\alpha_p \cap \kappa_p \neq \{0\}$  if and only if  $\alpha^{(\lambda)} \cap \kappa_p \neq \{0\}$  for all  $\lambda \in G_2(S_p)$ .

Using these two observations the proof of the proposition reduces to showing the following: *For all  $\lambda \in G_2(S_p)$ ,  $\alpha^{(\lambda)} \cap \kappa_p \neq \{0\}$  if and only if (12) holds.*

Pick  $\lambda \in G_2(S_p)$ . Let  $v_1, v_2$  be a positively oriented  $g$ -orthonormal frame of  $T_pM$ . Also let  $e_1, e_2, \dots, e_{2+c}$  be a  $t(p)$ -adapted frame of  $\mathbf{E}^{2+c}$  with  $e_3, e_4$  a positively oriented frame of  $\lambda$ . Now define  $\chi_1, \chi_2 \in \kappa_p$  by  $\chi_1(v_i) = e_i$  for  $i \in \{1, 2\}$ , and  $\chi_2 = J \circ \chi_1$ . Clearly  $\chi_1, \chi_2$  is a basis of  $\kappa_p$ . Define  $P^\alpha: \beta_p \rightarrow \mathbf{R}$  for  $\alpha \in \{3, 4\}$  by

$$P^\alpha(\Phi) = P(A^{e_\alpha}, \Phi)$$

for all  $\Phi \in \beta_p$ . By definition,  $\alpha^{(\lambda)} = \ker(P^3) \cap \ker(P^4)$ . Hence  $\alpha^{(\lambda)} \cap \kappa_p \neq \{0\}$  if and only if  $P^3|_{\kappa_p}, P^4|_{\kappa_p}$  are linearly dependent. The linear dependence may be expressed using the basis  $\chi_1, \chi_2$  of  $\kappa_p$ . Hence  $\alpha^{(\lambda)} \cap \kappa_p \neq \{0\}$  if and only if

$$(14) \quad \begin{vmatrix} P^3(\chi_1) & P^3(\chi_2) \\ P^4(\chi_1) & P^4(\chi_2) \end{vmatrix} = 0.$$

Define reals  $l_{ij}^\alpha$  as in (3). Since by (13),

$$\begin{aligned} \nu P^\alpha(\chi_i) &= \nu P(\chi_i, A^{e_\alpha}) \\ &= (\chi_i(v_1), A^{e_\alpha}(v_2)) - (\chi_i(v_2), A^{e_\alpha}(v_1)) \\ &= (t_*(v_2)(\chi_i(v_1)) - t_*(v_1)(\chi_i(v_2)), e_\alpha) \end{aligned}$$

for  $i \in \{1, 2\}$ ,  $\alpha \in \{3, 4\}$ , (14) becomes

$$\begin{vmatrix} l_{12}^3 - l_{21}^3 & l_{11}^3 + l_{22}^3 \\ l_{12}^4 - l_{21}^4 & l_{11}^4 + l_{22}^4 \end{vmatrix} = 0,$$

i.e.,

$$\begin{vmatrix} l_{11}^3 & l_{21}^3 \\ l_{11}^4 & l_{21}^4 \end{vmatrix} + \begin{vmatrix} l_{12}^3 & l_{22}^3 \\ l_{12}^4 & l_{22}^4 \end{vmatrix} = \begin{vmatrix} l_{11}^3 & l_{12}^3 \\ l_{11}^4 & l_{12}^4 \end{vmatrix} + \begin{vmatrix} l_{21}^3 & l_{22}^3 \\ l_{21}^4 & l_{22}^4 \end{vmatrix}.$$

Using (11), this last equation states that

$$(15) \quad \det(r^\lambda \circ t_*(v_2)) + \det(r^\lambda \circ t_*(v_1)) = \det((A^\lambda)^{e_1}) + \det((A^\lambda)^{e_2}),$$

where  $r^\lambda: t^\perp(p) \rightarrow \lambda$  is the orthogonal projection onto  $\lambda$ . Finally, applying Lemma 3 and (10), we obtain

$$\frac{1}{2} \text{tr}_g(q, \lambda) = N_g^\lambda.$$

An immediate consequence of condition [K] and Proposition 4 is our first theorem.

**THEOREM 1.** *If  $t: M \rightarrow G_2^c$  is a smooth map for which  $\frac{1}{2} \text{tr}_g(q) \neq N_g$  for some (and hence any) metric  $g$  which induces the conformal structure on  $M$ , then  $t$  is not a Gauss map.*

**REMARK 1.** The equation  $\frac{1}{2} \text{tr}_g(q) = N_g$  corresponds to equation (2.20) of [5]. Both these equations represent the algebraic aspect to the necessary and sufficient conditions on  $t$  in order for it to be locally a Gauss map.

REMARK 2. If we view  $t: M \rightarrow S^C(1)$ , then we may view  $\frac{1}{2}\text{tr}_g(q)$  as the component of the tension  $\tau = \text{tr}_g \nabla(t_*)$  (with respect to the metric  $g$  on  $M$  and the standard metric on  $S^C(1)$ ) which is orthogonal to  $G_2^c$ ; cf. [4, §3].

**3. The p.d.e. in [K].** We now turn to study the p.d.e.  $(d\Phi)^T = 0$ , where  $\Phi$  is a section in  $\alpha \cap \kappa$ , under the regularity assumption that  $\alpha \cap \kappa$  is a vector bundle necessarily of rank 1 or 2.

Let us consider first the situation in which  $\alpha \cap \kappa = \kappa$ , i.e.,  $\text{rank}(\alpha \cap \kappa) = 2$ , for the given  $t: M \rightarrow G_2^c$ . In order to carry out our investigations in this situation we make the further assumptions that  $M$  is not compact and is simply connected. Since both  $t$  (viewed as a vector bundle) and  $\alpha \cap \kappa$  are of rank 2 and  $M$  is not compact, there exists a globally defined positively oriented orthonormal frame field  $e_1, e_2$  in  $t$  and a globally defined nowhere vanishing section  $\chi$  in  $\alpha \cap \kappa$ . Set  $E = e_1 - ie_2$ ; it is a globally defined  $\mathbf{E}^{2+c} \otimes \mathbf{C}$ -valued vector field on  $M$ . Hence there exists a globally defined differential form  $\xi$  of type  $(1,0)$  such that  $\chi = \text{Re}[E\xi]$ . Also  $(d\chi)^T = \text{Re}[E\xi \wedge \eta]$  for some differential form  $\eta$  of type  $(0,1)$ . Any section  $\Phi$  in  $\alpha \cap \kappa$  may be written  $\Phi = \text{Re}[Eu\xi]$ , where  $u: M \rightarrow \mathbf{C}$  is smooth, and

$$(16) \quad (d\Phi)^T = \text{Re}[E(du - u\eta) \wedge \xi].$$

Hence, by [K] and (16), for  $t$  to be the Gauss map of a conformal immersion it is sufficient to find a nowhere vanishing  $u: M \rightarrow \mathbf{C}$  satisfying  $\bar{\partial}u - u\eta = 0$ , where  $\bar{\partial}u$  is the  $(0,1)$ -part of  $du$ . Thus it is sufficient to solve

$$(17) \quad \bar{\partial}w = \eta$$

and set  $u = e^w$ . Since  $M$  is not compact and is simply connected, and hence is conformally equivalent to either the unit disk in  $\mathbf{C}$  or  $\mathbf{C}$  itself, it follows from Theorem 4 of [3] that (17) has global solutions. In fact, the general solution of (17) is of the form  $w_0 + f$ , where  $w_0$  is a particular solution of (17) and  $f: M \rightarrow \mathbf{C}$  is holomorphic. Hence, if  $M$  is not compact, is simply connected, and  $\text{rank}(\alpha \cap \kappa) = 2$ , then  $t$  is a Gauss map. Also, by Proposition 1(i), any conformal immersion with Gauss map  $t$  is necessarily minimal. We summarize these results in

**THEOREM 2.** *Let  $M$  be a simply connected noncompact Riemann surface and suppose  $t: M \rightarrow G_2^c$  is a smooth conformal map. If  $k = -1$ , where  $t_* \neq 0$ , then  $t$  is the Gauss map of a conformal minimal immersion  $X: M \rightarrow \mathbf{E}^{2+c}$ .*

**PROOF.** Proposition 2 implies  $\alpha \cap \kappa$  is a rank 2 vector bundle so indeed the foregoing remarks apply.

**REMARK 1.** Theorem 2 is a partial converse to the corollary to Proposition 2. Also Theorem 2 corresponds to Case 1 of Theorem 2.6 of Hoffman and Osserman [5], since  $V \equiv 0$  (see [5]) corresponds to  $\alpha \cap \kappa$  being a rank 2 vector bundle.

It is clear that if  $X$  is a conformal immersion with Gauss map  $t$ , then so is the immersion  $sX + X_0$ , where  $0 \neq s \in \mathbf{R}$  and  $X_0 \in \mathbf{E}^{2+c}$ . If  $Y$  is a conformal immersion with Gauss map  $t$  and not one of the immersions  $sX + X_0$ , we will say that  $Y$  is *essentially different* from  $X$ .

REMARK 2. Assume now that  $M$  is just noncompact. Let  $X$  be a conformal minimal immersion with Gauss map  $t$ ; necessarily  $\alpha \cap \kappa$  is a rank 2 vector bundle. We may use  $\text{Re}[\partial X]$  for  $\chi$  above, where  $\partial X = (\partial X/\partial z) dz$  in a local complex coordinate  $z$ . Any section  $\Phi$  in  $\alpha \cap \kappa$  may be written as  $\Phi = \text{Re}[u\partial X]$ , and condition [K] implies  $\Phi$  is the differential of a conformal immersion  $Y$  with Gauss map  $t$  if and only if  $u$  is a nonvanishing globally defined holomorphic function. The immersion  $Y$  is essentially different from  $X$  if and only if  $u$  is not a (constant) real-valued function. If we let  $u$  be a nonreal constant function, then we obtain a conformal minimal immersion  $Y$  which is a multiple of what is called an associate minimal surface to  $X$  (see [9, p. 117]). But any of these associate minimal surfaces  $Y$  induces a riemannian metric on  $M$  which is a constant multiple of the one induced on  $M$  by  $X$ . If  $u$  is a nonconstant holomorphic function, then the corresponding conformal minimal immersion  $Y$  induces a metric on  $M$  which is not a constant multiple of the one induced on  $M$  by  $X$ .

We now assume that  $\alpha \cap \kappa$  is a line bundle over  $M$ , i.e.,  $\text{rank}(\alpha \cap \kappa) = 1$ , for the given map  $t$ . Under this assumption we assume  $M$  is simply connected. Hence  $\alpha \cap \kappa$  has a globally defined nowhere vanishing section which we denote by  $\chi$ . By Proposition 1(iii) we may and, in fact, do suppose that  $\mathbf{H}(\chi)$  is a unit vector field. This determines  $\chi$  up to sign. Let  $\tilde{g}$  be the metric induced on  $M$  by  $\chi$ , i.e.,  $\tilde{g}(u, v) = (\chi(u), \chi(v))$  for all  $(u, v) \in TM \oplus TM$ . Note that  $\tilde{g}$  is independent of the sign of  $\chi$ .

Any nowhere vanishing section  $\Phi$  in  $\alpha \cap \kappa$  may be written as

$$(18) \quad \Phi = H^{-1}\chi,$$

where necessarily  $\mathbf{H}(\Phi) = H \cdot \mathbf{H}(\chi)$ ; thus  $\Phi$ 's "scalar mean curvature" is  $H$ .

Let  $e_1, e_2, \dots, e_{2+c}$  be a  $t$ -adapted frame field of  $\mathbf{E}^{2+c}$  defined on some open subset of  $M$  such that  $e_3 = \mathbf{H}(\chi)$  (we may have to change the sign of  $\chi$  if  $c = 1$  in order to accomplish this). Then  $\chi = e_1\psi^1 + e_2\psi^2$ , where  $\psi^1, \psi^2$  are 1-forms such that  $\tilde{g} = (\psi^1)^2 + (\psi^2)^2$ . For any section  $\Phi = H^{-1}\chi$  in  $\alpha \cap \kappa$ ,

$$(d\Phi)^T = d(H^{-1}) \wedge (e_1\psi^1 + e_2\psi^2) + H^{-1}(\psi_1^2 - \omega_1^2) \wedge (e_1\psi^2 - e_2\psi^1),$$

where the connection form  $\psi_1^2 = -\psi_2^1$  is defined by  $d\psi^1 = -\psi_2^1 \wedge \psi^2$  and  $d\psi^2 = -\psi_1^2 \wedge \psi^1$ , and  $\omega_1^2 = (de_1, e_2)$ . Writing the  $e_1$  and  $e_2$  components of the p.d.e.  $(d\Phi)^T = 0$  of [K] for  $\Phi = H^{-1}\chi$  leads to

$$(19) \quad \begin{cases} d(H^{-1}) \wedge \psi^1 = H^{-1}(\omega_1^2 - \psi_1^2) \wedge \psi^2 = -H^{-1} * (\omega_1^2 - \psi_1^2) \wedge \psi^1, \\ d(H^{-1}) \wedge \psi^2 = -H^{-1}(\omega_1^2 - \psi_1^2) \wedge \psi^1 = -H^{-1} * (\omega_1^2 - \psi_1^2) \wedge \psi^2, \end{cases}$$

where  $*$  is the Hodge star operator associated with  $\tilde{g}$ . However, (19) may be written more succinctly as

$$(20) \quad d(\log |H|) = *(\omega_1^2 - \psi_1^2).$$

The integrability condition for (20), i.e.,  $(d\Phi)^T = 0$  for  $\Phi = H^{-1}\chi$ , is

$$(21) \quad d * (\omega_1^2 - \psi_1^2) = 0.$$

We now wish to find an interpretation for  $\ast(\omega_1^2 - \psi_1^2)$ . It turns out to be intimately related to the tension of  $t$  with respect to the metric  $\tilde{g}$  on  $M$  and the standard metric  $\bar{g}_0$  on  $G_2^c$ . From the work of Hoffman and Osserman [5], it is no surprise that the tension is involved.

We again return to the geometry of  $G_2^c$ . For  $i \in \{1, 2\}$  and  $\alpha \in \{3, \dots, 2 + c\}$ , let  $E_\alpha^i$  be the  $TG_2^c$ -valued vector field along  $t$  defined by  $E_\alpha^i(e_j) = \delta_j^i e_\alpha$ , where  $\delta_j^i$  is Kronecker's delta, and let  $\omega_A^B$  be 1-forms defined by  $\omega_A^B = (de_A, e_B)$  for  $A, B \in \{1, 2, \dots, 2 + c\}$ . Then  $E_\alpha^i$ 's and  $\omega_i^\alpha$ 's are dual to one another in the sense that  $t_\ast = \sum_{i,\alpha} E_\alpha^i \omega_i^\alpha$ . The Cartan structural equations imply

$$dE_\alpha^i = -\sum_j E_\alpha^j \omega_j^i + \sum_\beta E_\beta^i \omega_\alpha^\beta.$$

Let  $v_1, v_2$  be vector fields in  $TM$  dual to  $\psi^1, \psi^2$ , i.e.,  $\chi(v_i) = e_i$  for  $i \in \{1, 2\}$ . Then define  $l_{ij}^\alpha$  as in (3); one easily sees that

$$(22) \quad t_\ast = \sum_{i,j,\alpha} E_\alpha^i l_{ij}^\alpha \psi^j,$$

i.e.,  $\omega_i^\alpha = \sum_j l_{ij}^\alpha \psi^j$ . Note that  $l_{ij}^\alpha = l_{ji}^\alpha$  by (1) since  $\chi$  is a section of  $\alpha$ . For  $\beta \in \{3, \dots, 2 + c\}$ , define  $m^\beta = \frac{1}{2}(l_{11}^\beta + l_{22}^\beta)$ ; then by (2)  $\mathbf{H}(\chi) = \sum_\beta m^\beta e_\beta = e_3$  so that  $m^3 = 1$  and  $m^\beta = 0$  for  $\beta > 3$ . Now

$$\begin{aligned} 0 = dt_\ast &= \sum_{i,j,k,\alpha} \left( -E_\alpha^i \omega_j^i \wedge l_{jk}^\alpha \psi^k + \delta_j^i E_\alpha^i dl_{jk}^\alpha \wedge \psi^k - E_\alpha^i l_{ij}^\alpha \psi_j^i \wedge \psi^k \right) \\ &+ \sum_{i,k,\alpha,\beta} E_\alpha^i \omega_\beta^\alpha \wedge l_{ik}^\beta \psi^k, \end{aligned}$$

where  $i, j, k$  range over  $1, 2$  and  $\alpha, \beta$  range over  $3, 4, \dots, 2 + c$ . Setting the coefficients of  $E_\alpha^i$  in the preceding equation equal to zero we conclude that

$$\begin{aligned} dl_{12}^\alpha(v_1) &= dl_{11}^\alpha(v_2) - l_{11}^\alpha \psi_1^2(v_1) + l_{22}^\alpha \omega_1^2(v_1) - l_{12}^\alpha (\omega_1^2(v_2) + \psi_1^2(v_2)) \\ &- \sum_\beta (l_{11}^\beta \omega_\alpha^\beta(v_2) - l_{12}^\beta \omega_\alpha^\beta(v_1)), \end{aligned}$$

and

$$\begin{aligned} dl_{21}^\alpha(v_2) &= dl_{22}^\alpha(v_1) + l_{22}^\alpha \psi_1^2(v_2) - l_{11}^\alpha \omega_1^2(v_2) + l_{12}^\alpha (\omega_1^2(v_1) + \psi_1^2(v_1)) \\ &- \sum_\beta (l_{22}^\beta \omega_\alpha^\beta(v_1) - l_{21}^\beta \omega_\alpha^\beta(v_2)). \end{aligned}$$

By (22),  $\ast t_\ast = \sum_{i,j,\alpha} E_\alpha^i l_{ij}^\alpha \ast \psi^j$ . After computing  $\ast d \ast t_\ast$ , replace  $dl_{12}^\alpha(v_1)$  and  $dl_{21}^\alpha(v_2)$  by the right-hand sides of the preceding equations. This gives the formula we need for the tension  $\tau = \ast d \ast t_\ast$  of  $t$  with respect to the metrics  $\tilde{g}$  and  $\bar{g}_0$  on  $M$  and  $G_2^c$ , respectively. The formula is

$$\begin{aligned} \frac{1}{2}\tau &= \sum_\alpha E_\alpha^1 \left\{ dm^\alpha(v_1) - m^\alpha(\omega_1^2 - \psi_1^2)(v_2) - \sum_\beta m^\beta \omega_\alpha^\beta(v_1) \right\} \\ &+ \sum_\alpha E_\alpha^2 \left\{ dm^\alpha(v_2) + m^\alpha(\omega_1^2 - \psi_1^2)(v_1) - \sum_\beta m^\beta \omega_\alpha^\beta(v_2) \right\}, \end{aligned}$$

or

$$\frac{1}{2}\tau = \sum_{i,\alpha} E_\alpha^i \left\{ dm^\alpha + m^\alpha * (\omega_1^2 - \psi_1^2) - \sum_\beta m^\beta \omega_\alpha^\beta \right\} (v_i).$$

Since  $m^3 = 1$ , and  $m^\beta = 0$  for  $\beta > 3$ , this last equation becomes

$$\frac{1}{2}\tau = \sum_i E_3^i * (\omega_1^2 - \psi_1^2)(v_i) + \sum_{i,\alpha} E_\alpha^i \omega_3^\alpha(v_i).$$

We can easily solve for  $*(\omega_1^2 - \psi_1^2)$ ; in fact, we obtain

$$*(\omega_1^2 - \psi_1^2) = \frac{1}{2} \sum_i \bar{g}_0(\tau, E_3^i) \psi^i = \frac{1}{2} \sum_i (\tau(e_i), e_3) \psi^i.$$

If we view  $(\tau, e_3): t \rightarrow \mathbf{R}$  by  $(\tau, e_3)(e) = (\tau(e), e_3(p))$  for  $e \in t(p)$ ,  $p \in M$ , then

$$(23) \quad *(\omega_1^2 - \psi_1^2) + \frac{1}{2}(\tau, e_3) \circ \chi$$

since  $\chi: TM \rightarrow t$  equals  $\sum_i e_i \psi^i$ . Set

$$\tilde{\tau} = (\tau, e_3) \circ \chi.$$

Note that  $\tilde{\tau}$  is a 1-form which involves only the projection of  $\tau$  onto  $e_3$ , the direction of the mean curvature. Especially noteworthy is the observation that  $\tilde{\tau}$  is independent of the sign of  $\chi$  and hence is well defined even if  $M$  is not simply connected. Clearly  $\tau = 0$  if and only if  $\tilde{\tau} = 0$  and  $e_3$  is parallel in the normal bundle  $\tau^\perp$ . When  $c = 1$ ,  $\tau = 0$  if and only if  $\tilde{\tau} = 0$  since  $e_3$  is automatically parallel in the line bundle  $t^\perp$ .

Hence, by (23),  $(d\Phi)^\top = 0$  for  $\Phi = H^{-1}\chi$ , or (20) becomes

$$(24) \quad d(\log|H|) = \frac{1}{2}\tilde{\tau},$$

and the integrability condition for (24) is

$$(25) \quad d\tilde{\tau} = 0.$$

Finally note from (18) and (24) that the general solution of  $(d\Phi)^\top = 0$ ,  $\Phi$  a nonvanishing section in  $\alpha \cap \kappa$ , is determined up to an arbitrary nonzero factor. Hence any conformal immersion  $X$  with Gauss map  $t$ , which is given by  $X = \int H^{-1}\chi$ , where  $H$  satisfies (24), is determined up to a homothety and translation of  $\mathbf{E}^{2+c}$ .

We summarize the foregoing discussion in the statement of the following

**THEOREM 3.** *Let  $M$  be a simply connected Riemann surface and  $t: M \rightarrow G_2^c$  be a smooth map. Also assume  $\frac{1}{2}\text{tr}_g(q) = N_g$  on  $M$ , where  $g$  is a metric that induces the conformal structure on  $M$ , and  $k \neq -1$  anywhere  $t_*$  is conformal and nonvanishing. Then  $t$  is a Gauss map if and only if  $d\tilde{\tau} = 0$ . Any conformal immersion  $X$  with Gauss map  $t$  is given by  $X = \int H^{-1}\chi$ , where  $H$  is the scalar mean curvature of  $X$ . The scalar mean curvature in turn is given by  $H = H_0 \exp(\frac{1}{2} \int \tilde{\tau})$ , where  $H_0 \in \mathbf{R}$ .*

**PROOF.** The conditions that  $\frac{1}{2}\text{tr}_g(q) = N_g$  on  $M$  and  $k \neq -1$  anywhere  $t_*$  is conformal and nonvanishing imply that  $\alpha \cap \kappa$  is a rank 1 vector bundle by Propositions 2 and 4. Hence the discussion preceding the statement of this theorem proves the theorem.



REMARK. Theorem 3 corresponds to Case 2 of Theorem 2.6 and Theorem 2.7 of Hoffman and Osserman [5].

In the following, let  $\mathbf{R}^+ = \{r \in \mathbf{R} \mid r > 0\}$ .

COROLLARY. *Let  $M$  and  $t: M \rightarrow G_2^c$  satisfy the same hypotheses as those of Theorem 3. In addition, let  $H: M \rightarrow \mathbf{R}^+$  be a smooth function such that  $d(\log H) = \frac{1}{2}\tilde{\tau}$ ; then there exists a conformal immersion  $X: M \rightarrow \mathbf{E}^{2+c}$  with Gauss map  $t$  and scalar mean curvature  $H$ . In particular, if  $\tilde{\tau} = 0$ , there exist conformal immersions with Gauss map  $t$  and constant scalar mean curvature.*

REMARK. This corollary generalizes to the higher codimensions results of Kenmotsu [8].

Now we present the principal uniqueness result discovered by Hoffman and Osserman [5].

THEOREM 4. *Let  $X: M \rightarrow \mathbf{E}^{2+c}$  be a conformal immersion with Gauss map  $t$  and mean curvature  $\mathbf{H}$ . If  $\mathbf{H}(p) \neq 0$  for some  $p \in M$ , then there exist no conformal immersions essentially different from  $X$  with Gauss map  $t$ .*

PROOF. Any section  $\Phi$  in  $\kappa$  may be written as  $\Phi = \text{Re}[u\partial X]$ , where  $u: M \rightarrow \mathbf{C}$  is smooth. If  $\Phi$  is the differential of an immersion, then  $(d\Phi)^T = 0$ ; this implies  $\bar{\partial}u = 0$ , i.e.,  $u$  is holomorphic on  $M$ . In a neighborhood of  $U$  of  $p$ ,  $\alpha \cap \kappa$  is a rank 1 bundle; hence if  $\Phi$  is the differential of an immersion,  $\Phi|U$  is a section in  $\alpha \cap \kappa|U$ , so that  $u$  is real-valued on  $U$ . Thus  $u = \text{real constant}$ .

4. **A closer look at  $t: M \rightarrow G_2^2$ .** It is well known [1], when  $G_2^2$  is regarded as the set of unit decomposable 2-vectors in  $\Lambda^2\mathbf{E}^4$  and  $\Lambda^2\mathbf{E}^4$  is identified with  $\mathbf{E}^6$ , that

$$G_2^2 = \left\{ (\xi, \eta) \in \mathbf{E}^3 \times \mathbf{E}^3 = \mathbf{E}^6 \mid \|\xi\|^2 = \|\eta\|^2 = \frac{1}{2} \right\},$$

i.e.,  $G_2^2 = S^2(1/\sqrt{2}) \times S^2(1/\sqrt{2})$  where these spheres lie in 3-planes orthogonal to one another. Let  $\bar{g}_i$  be the riemannian metric on the  $i$ th factor of  $G_2^2$  for  $i \in \{1, 2\}$ . Clearly

$$(26) \quad \bar{g}_0 = \bar{g}_1 + \bar{g}_2.$$

In this section we view the second fundamental form  $\bar{q}$  of  $G_2^2$  in  $S^5(1)$  as real-valued; we can do this, since  $\dim(\pi^\perp) = 2$  for each  $\pi \in G_2^2$ , as follows: For  $l \in T_\pi G_2^2$ , we redefine  $\bar{q}$  by letting

$$(27) \quad \frac{1}{2}\bar{q}(l, l)\pi^\perp = l \wedge l(\pi);$$

this makes sense since  $l \wedge l(\pi) \in \Lambda^2\pi^\perp$  and we regarded  $\pi^\perp$  as the positive unit 2-vector in  $\Lambda^2\pi^\perp$ . It turns out (cf. proof of Lemma 5 of Part 1) that

$$\frac{1}{2}\bar{q}(l, l) = \det(l: \pi \rightarrow \pi^\perp)$$

for all  $l \in T_\pi G_2^2$ . We may also write  $\bar{q}$  in terms of  $\bar{g}_i$ ,  $i \in \{1, 2\}$ .

LEMMA 5.  $\bar{q} = \bar{g}_2 - \bar{g}_1$ .

PROOF. If  $\pi = (\xi, \eta) \in S^2(1/\sqrt{2}) \times S^2(1/\sqrt{2}) = G_2^2$ , one may show [1] that  $\pi^\perp = (\xi, -\eta)$ . Then

$$\begin{aligned} \bar{q} &= -(d\pi, d\pi^\perp) = -((d\xi, d\eta), (d\xi, -d\eta)) \\ &= -[(d\xi, d\xi) - (d\eta, d\eta)] = -\bar{g}_1 + \bar{g}_2. \end{aligned}$$

If  $t: M \rightarrow G_2^2$  is smooth we let  $g_i = t^*\bar{g}_i$  for  $i \in \{0, 1, 2\}$  and  $q = t^*\bar{q}$ . Of course, (26) and Lemma 5 imply

$$(28) \quad g_0 = g_1 + g_2 \quad \text{and} \quad q = -g_1 + g_2.$$

Let  $g$  be a riemannian metric on  $M$ . The primary normal curvature,  $N_g(p)$ , may be redefined to be a scalar, since  $\dim(t^\perp(p)) = 2$ , by setting

$$(29) \quad N_g(p) = N_g^{t^\perp(p)}.$$

Now let  $t_i: M \rightarrow S^2(1/\sqrt{2})$  be the  $i$ th component of  $t: M \rightarrow G_2^2 = S^2(1/\sqrt{2}) \times S^2(1/\sqrt{2})$  for  $i \in \{1, 2\}$ . For  $i \in \{1, 2\}$ , let  $\varepsilon_i(g)$  be the energy density of  $t_i$  with respect to the metrics  $g$  and  $\bar{g}_i$  (cf. [2]). Also, for  $i \in \{1, 2\}$ , define  $\rho_i(g)$  by  $\mu_{\bar{g}_i} = \rho_i(g)\mu_g$ , where  $\mu_g$  is the area element associated to  $g$  and  $\mu_{\bar{g}_i}$  is the ‘‘area element’’ associated to  $\bar{g}_i$ ; we call  $\rho_i(g)$  the Jacobian of  $t_i$  with respect to  $g$  and  $\bar{g}_i$ .

PROPOSITION 5. *Let  $g$  be a riemannian metric on  $M$  that induces the given conformal structure; then  $\alpha_p \cap \kappa_p \neq \{0\}$  if and only if at  $p$*

$$\varepsilon_1(g) + \rho_1(g) = \varepsilon_2(g) + \rho_2(g).$$

PROOF. Proposition 4 states that  $\alpha_p \cap \kappa_p \neq \{0\}$  if and only if  $\frac{1}{2}\text{tr}_g(q_p) = N_g(p)$ ; this is clearly also true for  $q_p$  and  $N_g(p)$  redefined by (27) and (29), respectively. But, by (28),

$$(30) \quad \frac{1}{2}\text{tr}_g(q) = \frac{1}{2}\text{tr}_g(g_2 - g_1) = \varepsilon_2(g) - \varepsilon_1(g),$$

according to the definition of energy density. On the other hand, arguing as in [12, §5], we can show

$$(31) \quad N_g = \rho_1(g) - \rho_2(g).$$

Hence,  $\alpha_p \cap \kappa_p \neq \{0\}$  if and only if, at  $p$ ,  $\varepsilon_2(g) - \varepsilon_1(g) = \rho_1(g) - \rho_2(g)$ .

COROLLARY. *Suppose  $t: M \rightarrow G_2^2$  is a Gauss map. Then  $t_1$  is antiholomorphic at  $p$  if and only if  $t_2$  is antiholomorphic at  $p$ .*

PROOF. The component  $t_i$  of  $t$  is antiholomorphic at  $p$  if and only if  $\varepsilon_i(g) + \rho_i(g) = 0$  at  $p$  for  $i \in \{1, 2\}$ , where  $g$  is a metric on  $M$  which induces the given conformal structure.

REMARK. The equation  $\varepsilon_1(g) + \rho_1(g) = \varepsilon_2(g) + \rho_2(g)$  corresponds to equation (4.7) of Hoffman and Osserman [6].

PROPOSITION 6. *Let  $\Phi \in \alpha_p \cap \kappa_p - \{0\}$  and let  $g$  be a riemannian metric on  $M$  such that  $g_p$  is the metric induced on  $T_pM$  by  $\Phi$ . Then, at  $p$ , for  $i \in \{1, 2\}$*

$$\varepsilon_i(g) + \rho_i(g) = \|\mathbf{H}(\Phi)\|^2.$$

PROOF. Let  $e_1, e_2, e_3, e_4$  be a  $t(p)$ -adapted frame of  $\mathbf{E}^4$ . Define  $v_i \in T_p M$  by  $\Phi(v_i) = e_i$  for  $i \in \{1, 2\}$ . Then define scalars  $l_{ij}^\alpha$  by (3) for  $i, j \in \{1, 2\}$  and  $\alpha \in \{3, 4\}$ . Since  $\Phi \in \alpha_p$ ,  $h_\Phi$  is symmetric; thus  $l_{ij}^\alpha = l_{ji}^\alpha$  by (1). Clearly  $\mathbf{H}(\Phi) = \frac{1}{2} \sum_{i,\alpha} l_{ii}^\alpha e_\alpha$  by (2); hence

$$(32) \quad \|\mathbf{H}(\Phi)\|^2 = \frac{1}{4} \sum_{\alpha} \left( \sum_i l_{ii}^\alpha \right)^2.$$

Since  $\alpha_p \cap \kappa_p \neq \{0\}$ , Proposition 5 implies  $\varepsilon_1(g) + \rho_i(g) = \varepsilon_2(g) + \rho_2(g)$ . Hence, it is enough to prove  $\sum_{i=1}^2 \varepsilon_i(g) + \rho_i(g) = 2\|\mathbf{H}(\Phi)\|^2$ . First, observe by the first equation of (28) that  $\varepsilon_1(g) + \varepsilon_2(g) = \varepsilon_0(g)$ , the energy density of  $t$  with respect to  $g$  and  $\bar{g}_0$ . Next observe that  $\rho_1(g) + \rho_2(g) = K_g$ , the curvature of  $t$  with respect to  $g$ ; this follows by arguing as in [12, §5]. Hence

$$(33) \quad \sum_{i=1}^2 \varepsilon_i(g) + \rho_i(g) = \varepsilon_0(g) + K_g.$$

Since  $v_1, v_2$  is a  $g$ -orthonormal frame,

$$(34) \quad \varepsilon_0(g) = \frac{1}{2} \sum_{i,j,\alpha} (l_{ij}^\alpha)^2$$

and

$$(35) \quad K_g = \sum_{\alpha} |l_{ij}^\alpha|.$$

Using (34) and (35) in the right side of (33) and simplifying we obtain

$$\sum_{i=1}^2 \varepsilon_i(g) + \rho_i(g) = \frac{1}{2} \sum_{\alpha} \left( \sum_i l_{ii}^\alpha \right)^2.$$

The proposition follows from (32).

**COROLLARY 1.** *Suppose  $t_{*1p} \neq 0$  and  $g$  is a riemannian metric on  $M$  which induces the given conformal structure. Then  $\alpha_p \supset \kappa_p$  if and only if  $\varepsilon_i(g) + \rho_i(g) = 0$  for  $i \in \{1, 2\}$ .*

PROOF. The proof is an immediate consequence of Propositions 1 and 6.

Of course, when  $\Phi = dX$  for an immersion  $X: M \rightarrow \mathbf{E}^4$ , we obtain information about  $X$ .

**COROLLARY 2.** *Suppose  $X: M \rightarrow \mathbf{E}^4$  is an immersion with Gauss map  $t$ . Let  $g$  be the induced metric and let  $N = N_g$  be the normal curvature of  $X$ . Then  $N = \varepsilon_2(g) - \varepsilon_1(g)$ .*

PROOF. This follows from Proposition 6 and (31).

**COROLLARY 3.** *Suppose  $X: M \rightarrow \mathbf{E}^4$  is an immersion with induced metric  $g$  and mean curvature  $\mathbf{H}$ . Then  $\|\mathbf{H}\|^2 = \varepsilon_i(g) + \rho_i(g)$  for  $i \in \{1, 2\}$ .*

**COROLLARY 4.** *An immersion  $X: M \rightarrow \mathbf{E}^4$  is minimal if and only if each factor of  $t$  is antiholomorphic.*

PROOF. The map  $t_i$  is antiholomorphic if and only if  $\rho_i(g) = -\varepsilon_i(g)$ .

REMARK. Corollaries 2 and 3 of Proposition 6 are new results although the fact that  $\|\mathbf{H}\|^2 = \epsilon_i(g) + \rho_i(g)$  for  $i \in \{1, 2\}$  is hinted at in the calculations in [7]. Corollary 4 is, of course, well known.

We know of no particularly nice way of writing the integrability condition  $d\tilde{\tau} = 0$  for the p.d.e.  $(d\tilde{\Phi})^\top = 0$ , where  $\tilde{\Phi}$  is a nowhere vanishing section in  $\alpha \cap \kappa$ , in terms of the components  $t_1, t_2$  of  $t$  when  $c = 2$ . Thus, since we can do little more than replace the algebraic condition in Theorems 1–3 by ones involving  $\epsilon_i(g) + \rho_i(g)$ ,  $i \in \{1, 2\}$ , we do not write out the corresponding theorems for the case  $c = 2$ . We turn instead to a characterization of the Gauss map of a minimal immersion into a 3-sphere  $S^3$  of  $\mathbb{E}^4$ . But first we need

LEMMA 6. *Suppose  $t$  is regular at  $p$ . Then  $\frac{1}{2}\text{tr}_{g_0}(q) = -kN_{g_0}$  at  $p$ .*

PROOF. By (28),  $\frac{1}{2}\text{tr}_{g_0}(q) = \det(g_2 - g_1, g_1 + g_2)/\det(g_0)$ , where  $\det(, )$  stands for the polarization of the quadratic form  $\det$  on  $2 \times 2$ -matrices, and the  $g_i$  for  $i \in \{0, 1, 2\}$  in the arguments of these determinants stand for matrices representing the quadratic forms  $g_i$  with respect to the same fixed basis. But

$$\det(g_2 - g_1, g_1 + g_2) = \det(g_2) - \det(g_1).$$

Hence  $\frac{1}{2}\text{tr}_{g_0}(q) = (\det(g_2) - \det(g_1))/\det(g_0) = \rho_2(g_0)^2 - \rho_1(g_0)^2 = -kN_{g_0}$ , since  $k = \rho_1(g_0) + \rho_2(g_0)$  and  $N_{g_0} = \rho_1(g_0) - \rho_2(g_0)$ .

That the normal bundle  $t^\perp$  is flat is independent of the conformal structure on  $M$  since if  $N_g = 0$  for any riemannian metric on  $M$ , then  $N_g = 0$  for all riemannian metrics on  $M$ .

THEOREM 5. *Let  $t: M \rightarrow G_2^2$  be a smooth map of a simply connected Riemann surface. Then  $t$  is the Gauss map of a conformal minimal immersion  $X: M \rightarrow S^3$  if and only if  $t$  is a conformal minimal immersion with flat normal bundle  $t^\perp$  and  $k > -1$ .*

PROOF. Suppose  $t$  is a conformal minimal immersion with  $N_{g_0} = 0$  and  $k > -1$ . By [4, Proposition 2],  $t$  is a conformal harmonic immersion. Since  $t$  is harmonic,  $\tau = 0$  (cf. [2]); thus by Theorem 3 and (23), it is enough to show that  $\frac{1}{2}\text{tr}_{g_0}(q) = N_{g_0}$  in order for  $t$  to be the Gauss map of a conformal immersion. But we are given that  $N_{g_0} = 0$ , and by Lemma 6,  $\frac{1}{2}\text{tr}_{g_0}(q) = 0$ , too. Let  $X$  be a conformal immersion with Gauss map  $t$ . Since  $t$  is conformal,  $X$  is pseudoumbilic [10], and since  $t$  is harmonic,  $X$  has parallel mean curvature [11]. Also, by Proposition 2,  $X$  is nowhere minimal. Thus, by the Lemma on p. 446 of [4],  $X$  is a conformal minimal immersion into a 3-sphere  $S^3 \subset \mathbb{E}^4$ . The proof in the other direction is straightforward.

The condition that  $N_{g_0} = \rho_1(g_0) - \rho_2(g_0) = 0$  has a nice geometrical interpretation; by the results of [12, §5], it says that the tangent planes to  $t(M)$  make equal angles with the two  $S^2(1/\sqrt{2})$ -factors of  $G_2^2$ . Also since  $q$  is the second fundamental form of  $t: M \rightarrow S^5(1)$  in the direction normal to  $G_2^2$  in  $S^5(1)$ , it turns out by Lemma 6 that the map  $t$  is a conformal minimal immersion into  $S^5(1)$ .

COROLLARY. *The Gauss map  $t: M \rightarrow G_2^2$  of a conformal minimal immersion into  $S^3 \subset \mathbb{H}^4$  is a conformal minimal immersion when we view  $t: M \rightarrow S^5(1)$ .*

REMARK. This Corollary is a direct consequence of a result in a paper by H. B. Lawson, Jr., *Complete minimal surfaces in  $S^3$* , Ann. of Math. **92** (1970), 335–374. In that paper Lawson points out that what he calls the bipolar of a minimal surface in  $S^3$  is a conformal minimal immersion into  $S^5(1)$ . But Lawson's bipolar is just the normal bundle  $t^\perp$ . Moreover, the map on  $G_2^2$  sending an oriented plane to its oriented normal plane, which thus transforms  $t^\perp$  into  $t$ , is the restriction to  $G_2^2$  of an isometry of  $S^5(1)$ .

REMARK. Note, by Lemma 6, that a conformal immersion  $t: M \rightarrow G_2^2$  can be a Gauss map only if  $k = -1$  or the normal bundle  $t^\perp$  is flat.

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