

The Affine Group of a Lie Group

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THE AFFIME GROUP OF **A** LIE GROUP

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1. If G is a Lie group, then the group $Aut(G)$ of all continuous automorphisms of G has a natural Lie group structure. This gives the semidirect product $A(G) = G \cdot Aut(G)$ the structure of a Lie group. When G is a vector group \mathbb{R}^n , $\mathbf{A}(G)$ is the ordinary affine group $\mathbf{A}(n)$. Following L. Auslander [1] we will refer to $A(G)$ as the *affine group of G*, and regard it as a transformation group on G by $(g, \alpha): h \rightarrow g \cdot \alpha(h)$ where g, $h \in G$ and $\alpha \in \text{Aut}(G)$; in the case of a vector group, this is the usual action on $\mathbf{A}(n)$ on \mathbf{R}^n .

If B is a compact subgroup of $A(n)$, then it is well known that B has a fixed point on \mathbb{R}^n , i.e., that there is a point $x \in \mathbb{R}^n$ such that $b(x) = x$ for every $b \in B$. For $A(n)$ is contained in the general linear group $GL(n+1, R)$ in the usual fashion, and B (being compact) must be conjugate to a subgroup of the orthogonal group $O(n+1)$. This conjugation can be done leaving fixed the $(n+1, n+1)$ -place matrix entries, and is thus possible by an element of $A(n)$. This done, the translation-parts of elements of \tilde{B} must be zero, proving the assertion.

L. Auslander $\begin{bmatrix} 1 \end{bmatrix}$ has extended this theorem to compact abelian subgroups of $A(G)$ when G is connected, simply connected and nilpotent. We will give a further extension.

THEOREM. Let G be a connected Lie group and let S be the identity component of the radical of G . Then the following conditions are equivalent :

1. If B is a compact subgroup of the affine group $A(G)$, then G has an element x such that $b(x) = x$ for every $b \in B$.

2. Every compact subgroup of $A(G)$ is conjugate to a subgroup of $\text{Aut}(G)$.

3. G has no nontrivial compact subgroup.

 $4. G$ is homeomorphic to Euclidean space.

5. S is simply connected and G/S is a direct product of copies of the universal covering group of the real special linear group $SL(2, R)$.

6. G is simply connected, and every simple analytic subgroup of G is $a₃-dimensional noncompact group.$

Equivalence of (3) and (4) is contained in the Cartan-Iwasawa theorem $\overline{3}$, Theorem 13. Equivalence of (4) and (5) follows from

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Chevalley's theorem on the topology of solvable groups $[2]$, the fact that the universal covering of $SL(2, R)$ is the only simple Lie group homeomorphic to Euclidean space, and the global Levi-Whitehead decomposition of G. It is not difficult to see that (5) is equivalent to (6) and it is clear that (1) is equivalent to (2). Finally, a nontrivial compact subgroup of G is a compact subgroup of $A(G)$ which is not conjugate to a subgroup of $Aut(G)$; thus (2) implies (3). The proof of the Theorem is now reduced to the proof that (3) implies (2) .

2. Suppose that G has no nontrivial compact subgroup. Then G is simply connected, and it follows that $Aut(G)$ has only finitely many connected components because $\text{Aut}(G)$ is isomorphic to the group Aut(\circledS) of automorphisms of the Lie algebra \circledS of G, and Aut(\circledS) is a real algebraic matrix group. Thus $A(G)$ has only finitely many connected components. The Cartan-Iwasawa theorem [3, Theorem 13] is valid for Lie groups with only finitely many components; thus $A(G)$ has maximal compact subgroups and, if K is one of them, every compact subgroup of $A(G)$ is conjugate to a subgroup of *K*. The proof that (3) implies (2) is now reduced to the proof that $Aut(G)$ contains a maximal compact subgroup of $\mathbf{A}(G)$.

Let $K \subset \text{Aut}(G) \subset \text{A}(G)$ be a maximal compact subgroup of $\text{Aut}(G)$; we will prove that K is a maximal compact subgroup of $A(G)$. Let K' be a maximal compact subgroup of $A(G)$ with $K\subset K'$; we must prove $K=K'$. It is easily seen that K meets every component of $A(G)$; it follows that we need only prove that K and K' have the same identity component. Again because $K\subset K'$, it suffices to show that dim $K = \dim K'$. Let $f: A(G) \rightarrow Aut(G)$ be the canonical homomorphism $(g, \alpha) \rightarrow \alpha$ with kernel G. $K \cap G$ and $K' \cap G$ are compact subgroups of G and thus are trivial by hypothesis. Furthermore $K = f(K)$ $=f(K')$ because K is a maximal compact subgroup of $Aut(G)$, and because $f(K)$ is contained in the compact subgroup $f(K')$. This gives $\dim K = \dim f(K') = \dim K'$ which proves the Theorem.

3. It is worth remarking that the main part of the Theorem-the equivalence of (1) , (2) and (3) —can be proved in the same way when G is assumed to have only finitely many connected components.

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