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Symmetry beyond groups

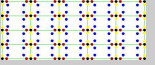
Rui Loja Fernandes

May, 2004

Main Reference:

A. Weinstein, Groupoids: Unifying Internal and External Symmetry, *Notices Amer. Math. Soc.* **43** (1996).

<http://www.math.ist.utl.pt/~rfern/>



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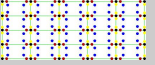
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1. Introduction

Why groupoids?



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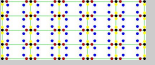
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Usual Credo:

Symmetry = Group Theory



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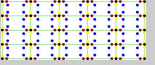
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Usual Credo:

Symmetry = Group Theory

In this talk:

Symmetry \neq Group Theory



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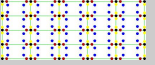
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In this talk:

Symmetry \neq Group Theory

Symmetry = *Groupoid* Theory



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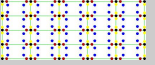
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Basic Remark:

Many objects which we recognize as *symmetric* admit few or no non-trivial symmetries.



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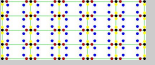
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Basic Remark:

Many objects which we recognize as *symmetric* admit few or no non-trivial symmetries.

Groupoids allow one to fix this.



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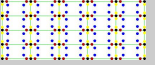
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2. Usual credo. . .

symmetries = groups



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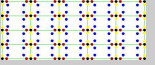
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A **group** is a set G together with a **multiplication**

$$G \times G \rightarrow G$$

$$(g_1, g_2) \mapsto g_1 g_2$$

satisfying:



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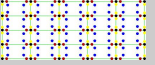
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- **Associativity.** For all $g_1, g_2, g_3 \in G$:

$$(g_1 g_2) g_3 = g_1 (g_2 g_3).$$



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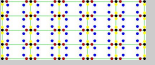
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- **Identity.** There exists an element $e \in G$:

$$ge = eg = e.$$



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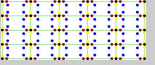
$$(g_1 g_2) g_3 = g_1 (g_2 g_3).$$

- **Identity.** There exists an element $e \in G$:

$$ge = eg = e.$$

- **Inverse.** For all $g \in G$ there exists $g^{-1} \in G$:

$$gg^{-1} = g^{-1}g = e.$$



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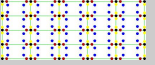
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Main example: group of isometries of \mathbb{R}^n



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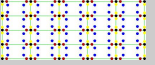
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Main example: group of isometries of \mathbb{R}^n

If $x = (x_1, \dots, x_n)$ and $y = (y_1, \dots, y_n)$:

$$d(x, y) \equiv \|x - y\| = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}.$$



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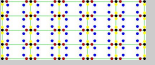
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The **Euclidean group** is:

$$E(n) = \{\phi : \mathbb{R}^n \rightarrow \mathbb{R}^n : d(\phi(x), \phi(y)) = d(x, y), \forall x, y \in \mathbb{R}^n\}$$



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If $x = (x_1, \dots, x_n)$ and $y = (y_1, \dots, y_n)$:

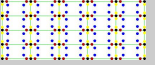
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with multiplication *composition* of isometries:

$$\begin{aligned} E(n) \times E(n) &\rightarrow E(n) \\ (\phi_1, \phi_2) &\longmapsto \phi_1 \circ \phi_2. \end{aligned}$$



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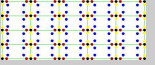
Group of isometries of \mathbb{R}^n (cont.)

Every isometry $\phi : \mathbb{R}^n \rightarrow \mathbb{R}^n$ is of the form:

$$\phi(x) = Ax + b,$$

where $b \in \mathbb{R}^n$ and A is an **orthogonal matrix**:

$$AA^T = A^T A = I.$$



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Group of isometries of \mathbb{R}^n (cont.)

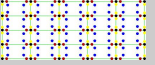
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ISOMETRY = ORTHOGONAL TRANSFORMATION +
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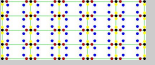
where $b \in \mathbb{R}^n$ and A is an **orthogonal matrix**:

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ISOMETRY = ORTHOGONAL TRANSFORMATION +
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Remark:

A **proper isometry** is an isometry which preserves orientation $\Leftrightarrow \phi(x) = Ax + b$ with $\det A = 1$.



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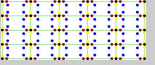
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The Euclidean group has some familiar **subgroups**:



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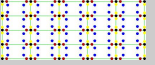
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The Euclidean group has some familiar **subgroups**:

- The **group of translations**:

$$\mathbb{R}^n = \{\phi \in E(n) : \phi \text{ is a translation}\}, \\ \simeq \{b \in \mathbb{R}^n\}.$$



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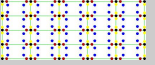
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- The **orthogonal group**:

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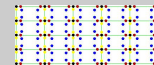
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- The **special orthogonal group** (“rotations”):

$$\begin{aligned}SO(n) &= \{\phi \in O(n) : \phi \text{ is proper}\} \\ &\simeq \{A : AA^T = A^T A = I, \det A = 1\}.\end{aligned}$$

Symmetries



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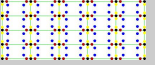
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Symmetries

If $\Omega \subset \mathbb{R}^n$, the **group of symmetries of Ω** is

$$G_\Omega \equiv \{\phi \in E(n) : \phi(\Omega) = \Omega\}.$$

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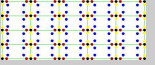
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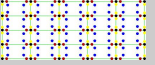
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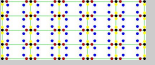
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Philosophic principle:

An object is symmetric if it has *many* symmetries.



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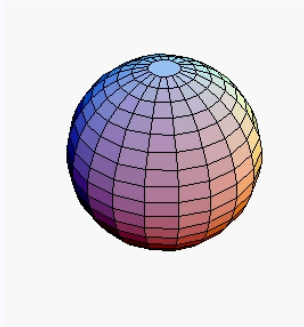
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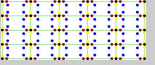
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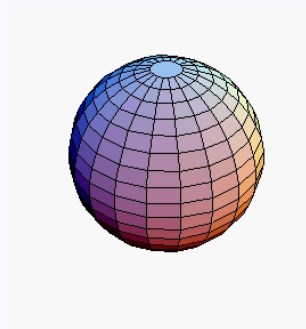
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$$G_\Omega = O(n)$$

$$\tilde{G}_\Omega = SO(n)$$

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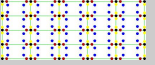
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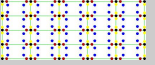
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Example: Tiling by rectangles of \mathbb{R}^2



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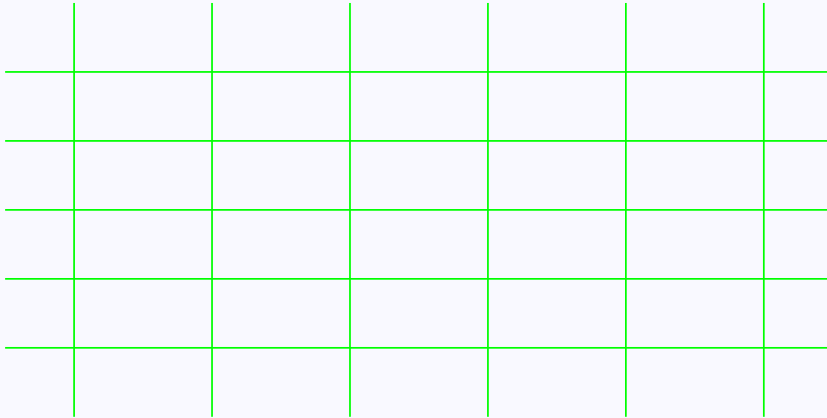
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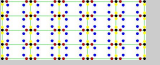
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Example: Tiling by rectangles of \mathbb{R}^2

Take $\Omega \subset \mathbb{R}^2$ the tiling of \mathbb{R}^2 by 2 : 1 rectangles:



What is the group of symmetries G_Ω ?



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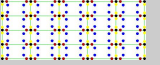
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Example: Tiling by rectangles of \mathbb{R}^2 (cont.)

The group G_Ω consists of:



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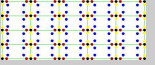
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Example: Tiling by rectangles of \mathbb{R}^2 (cont.)

The group G_Ω consists of:

- Translations by elements of the lattice $\Lambda = 2\mathbb{Z} \times \mathbb{Z}$:

$$(x, y) \mapsto (x, y) + (2n, m), \quad n, m \in \mathbb{Z}.$$



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Example: Tiling by rectangles of \mathbb{R}^2 (cont.)

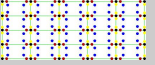
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- Reflections through points in $\frac{1}{2}\Lambda = \mathbb{Z} \times \frac{1}{2}\mathbb{Z}$:

$$(x, y) \mapsto (n - x, m/2 - y), \quad n, m \in \mathbb{Z}.$$



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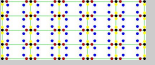
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- Reflections through horizontal and vertical lines:

$$\begin{aligned} (x, y) &\mapsto (x, m/2 - y) \\ (x, y) &\mapsto (n - x, y) \end{aligned} \quad n, m \in \mathbb{Z}.$$



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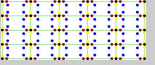
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The tiling has a *lot of symmetry!*



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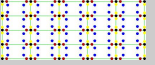
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This gives a very successful theory:

- symmetry groups of tilings;
 - symmetry groups of crystals;
 - symmetry groups of differential equations;
 - symmetry groups of geometric structures;
- ⋮



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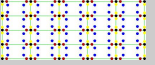
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This gives a very successful theory:

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⋮

But . . .



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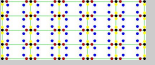
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3. Need for a new credo



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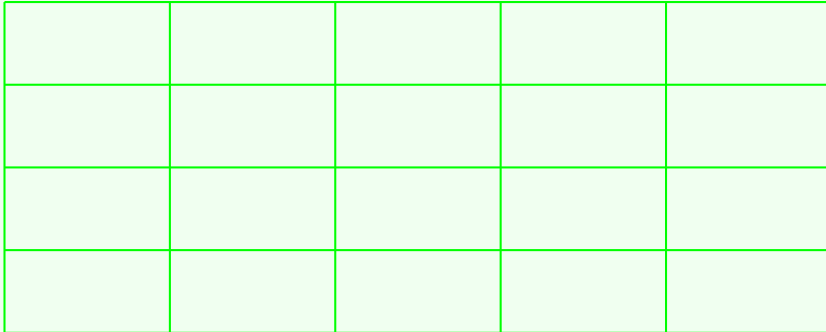
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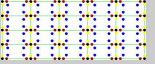
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Instead of tiling, take B a **real** bathroom floor:





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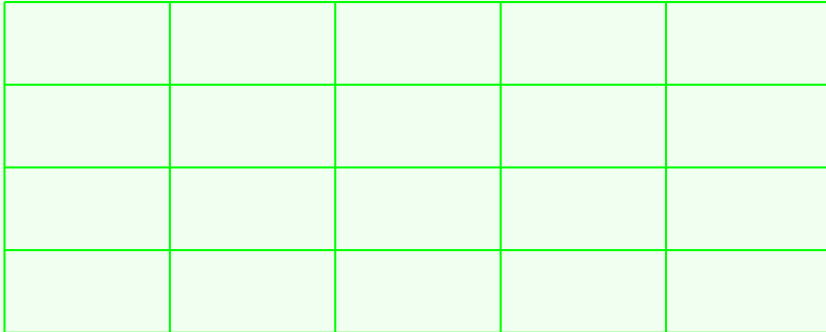
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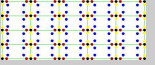
Instead of tiling, take B a **real** bathroom floor:



The group of symmetries shrinks drastically:

$$G_B = \mathbb{Z}_2 \times \mathbb{Z}_2.$$

It contains only 4 elements!



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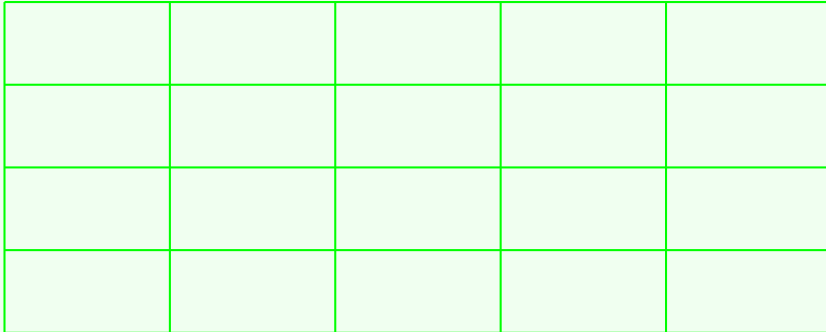
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Instead of tiling, take B a **real** bathroom floor:



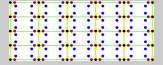
The group of symmetries shrinks drastically:

$$G_B = \mathbb{Z}_2 \times \mathbb{Z}_2.$$

It contains only 4 elements!

However, we can still recognize a repetitive pattern...

Not surprising! There are *very few* symmetry groups:



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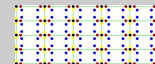
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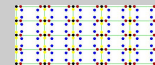
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Not surprising! There are *very few* symmetry groups:

Theorem 3.1. *The possible finite proper symmetry groups of a bounded region $\Omega \subset \mathbb{R}^3$ are:*



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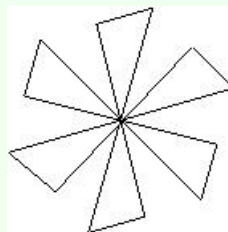
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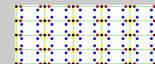
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Not surprising! There are *very few* symmetry groups:

Theorem 3.1. *The possible finite proper symmetry groups of a bounded region $\Omega \subset \mathbb{R}^3$ are:*

- *The group C_n of rotations by $\frac{2\pi}{n}$ around an axis:*





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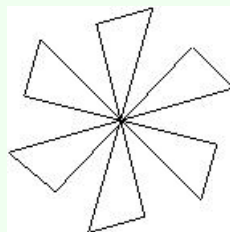
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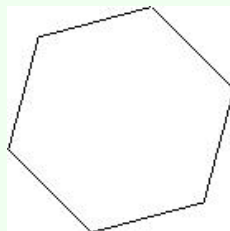
Not surprising! There are *very few* symmetry groups:

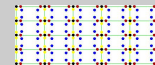
Theorem 3.1. *The possible finite proper symmetry groups of a bounded region $\Omega \subset \mathbb{R}^3$ are:*

- *The group C_n of rotations by $\frac{2\pi}{n}$ around an axis:*



- *The group D_n of symmetries of a regular n -side polyhedron:*





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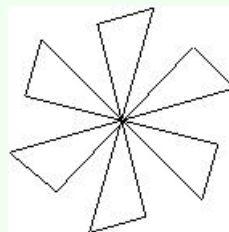
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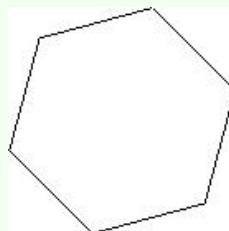
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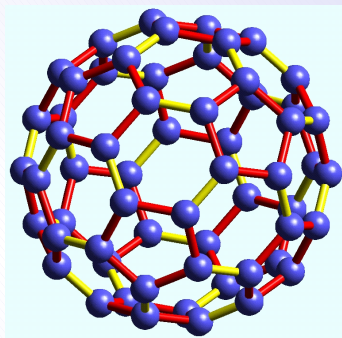


- *The group D_n of symmetries of a regular n-side polyhedron:*

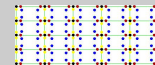


- *The 3 groups of symmetries of the platonic solids.*

For example, the molecule of the fullerene C_{60} :



has the same symmetry group as the icosahedron:



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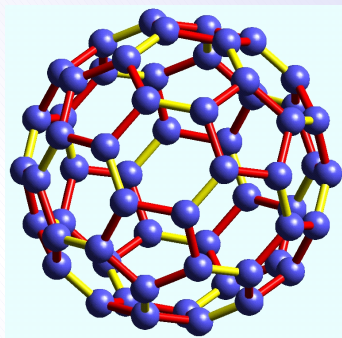
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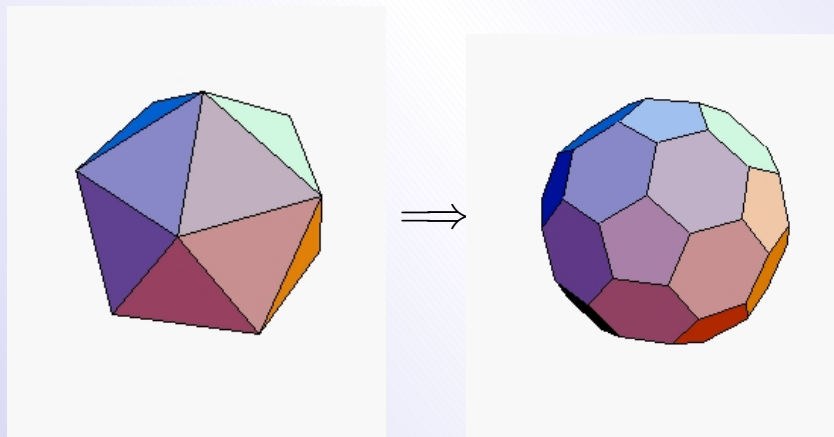
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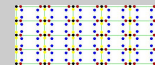
For example, the molecule of the fullerene C_{60} :



has the same symmetry group as the icosahedron:



(just truncate the vertexes of the icosahedron).



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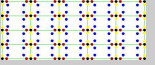
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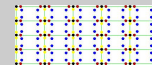
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4. Symmetry groupoids

To distinguish the soccer ball from the icosahedron, to describe the symmetry of a bathroom floor, and in many other problems, we need *groupoids*.

Look again at the tiling Ω .



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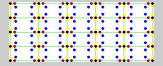
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Look again at the tiling Ω . Define:

$$\mathcal{G}_\Omega = \{(x, \phi, y) : x, y \in \mathbb{R}^2, \phi \in G_\Omega \text{ and } x = \phi(y)\}$$



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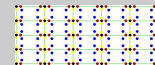
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$$\mathcal{G}_\Omega = \{(x, \phi, y) : x, y \in \mathbb{R}^2, \phi \in G_\Omega \text{ and } x = \phi(y)\}$$

with the *partially defined multiplication*:

$$(x, \phi, y)(y, \psi, z) = (x, \phi \circ \psi, z).$$



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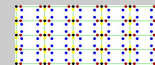
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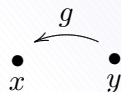
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We can view each $g = (x, \phi, y) \in \mathcal{G}$ as an arrow:



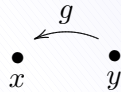
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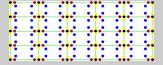
We can view each $g = (x, \phi, y) \in \mathcal{G}$ as an arrow:



Now, we have:

- *source* and *target maps* $\mathbf{s}, \mathbf{t} : \mathcal{G} \rightarrow \mathbb{R}^2$:

$$\mathbf{s}(x, \phi, y) = y, \quad \mathbf{t}(x, \phi, y) = x.$$



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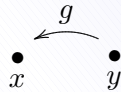
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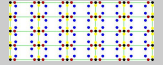


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- *source* and *target maps* $\mathbf{s}, \mathbf{t} : \mathcal{G} \rightarrow \mathbb{R}^2$:

$$\mathbf{s}(x, \phi, y) = y, \quad \mathbf{t}(x, \phi, y) = x.$$

- *identity arrows* $1_x = (x, I, x)$:



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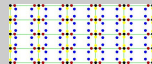
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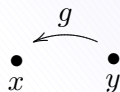
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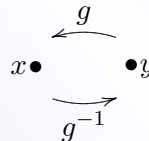
- *source* and *target maps* $\mathbf{s}, \mathbf{t} : \mathcal{G} \rightarrow \mathbb{R}^2$:

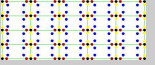
$$\mathbf{s}(x, \phi, y) = y, \quad \mathbf{t}(x, \phi, y) = x.$$

- *identity arrows* $1_x = (x, I, x)$:



- *inverse arrows* $g^{-1} = (y, \phi^{-1}, x)$:





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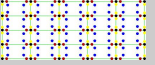
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They satisfy group like properties:



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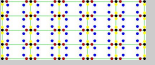
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They satisfy group like properties:

1. **Multipl:** $(g, h) \mapsto gh$, defined iff $\mathbf{s}(g) = \mathbf{t}(h)$;



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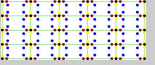
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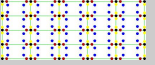
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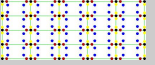
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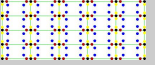
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Definition 4.1. A **groupoid** with base B is a set \mathcal{G} with maps $\mathbf{s}, \mathbf{t} : \mathcal{G} \rightarrow B$ and operation satisfying 1–4.



We can *restrict* the symmetry groupoid \mathcal{G}_Ω of the tiling, to the real bathroom floor $B \subset \mathbb{R}^2$:

$$\mathcal{G}_B = \{(x, \phi, y) : x, y \in B, \phi \in G_\Omega \text{ and } x = \phi(y)\}.$$

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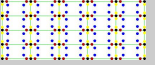
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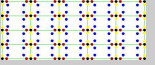
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The groupoid \mathcal{G}_B captures the symmetry of the real bathroom floor.



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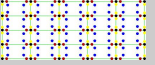
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We need two elementary concepts from groupoid theory:



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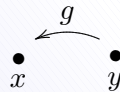
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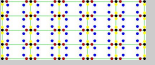
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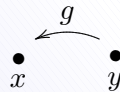
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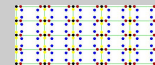
We need two elementary concepts from groupoid theory:

- Two elements $x, y \in B$ belong to the same **orbit** of \mathcal{G} if they can be connected by an arrow:



- The **isotropy group** of $x \in B$ is the set of arrows $g \in \mathcal{G}$ from x to x :





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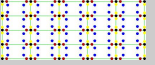
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For the symmetry groupoid \mathcal{G}_B of the real bathroom floor:



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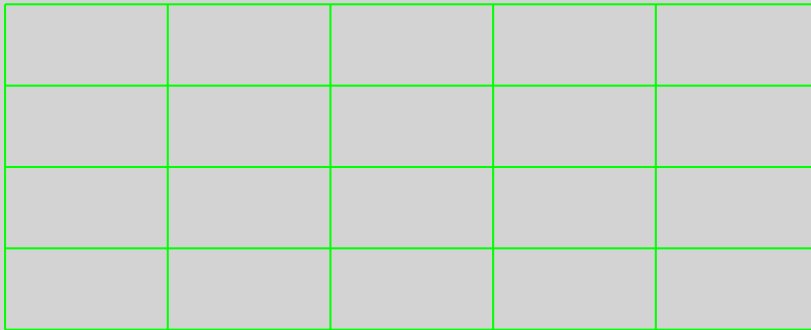
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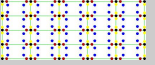
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For the symmetry groupoid \mathcal{G}_B of the real bathroom floor:

- The orbits consist of points similarly placed within their tiles, or within the grout:





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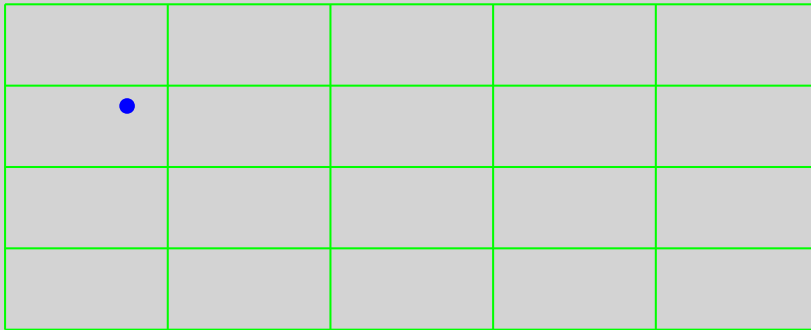
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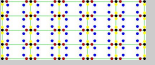
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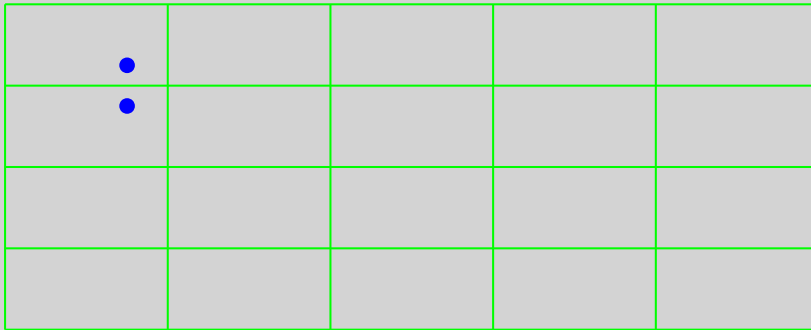
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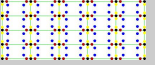
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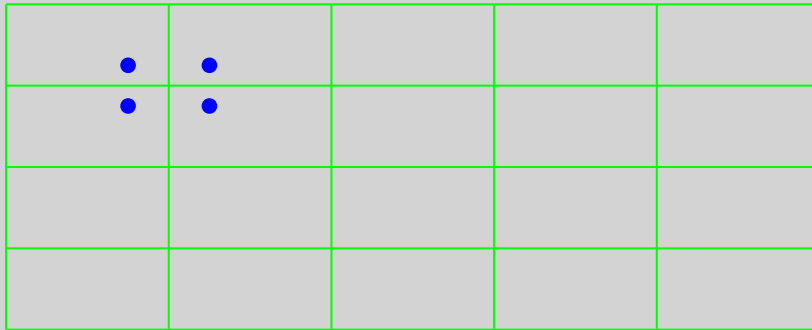
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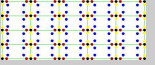
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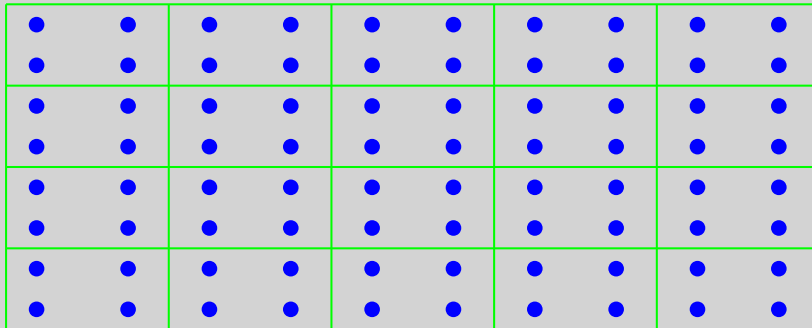
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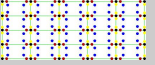
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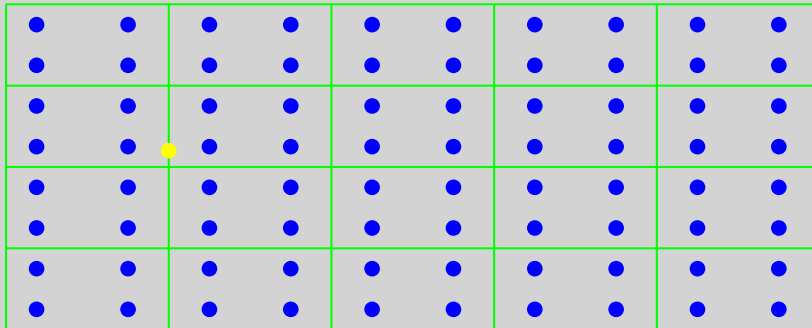
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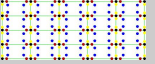
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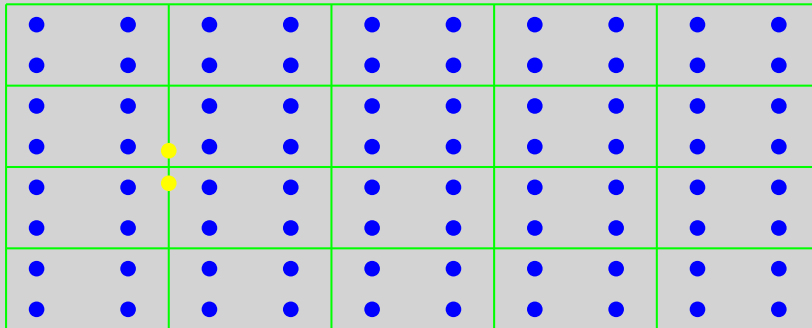
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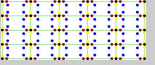
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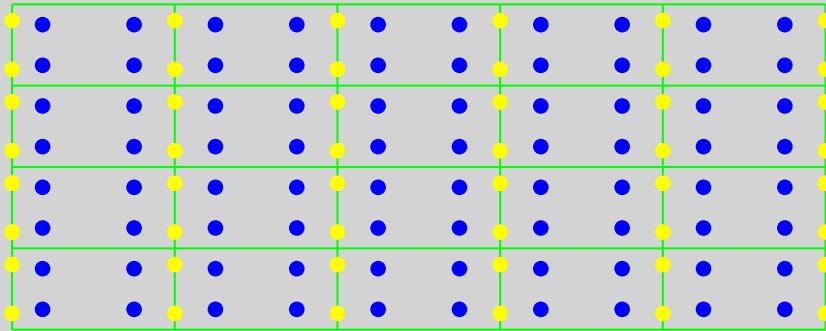
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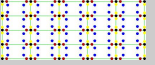
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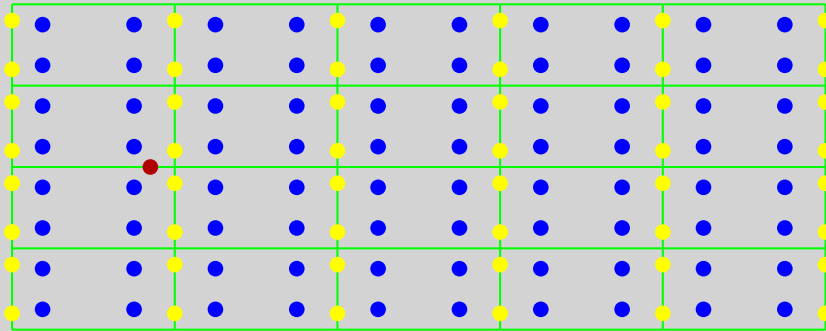
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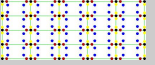
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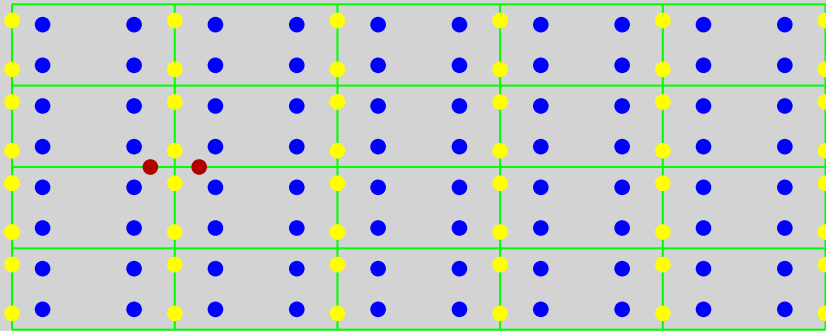
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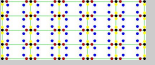
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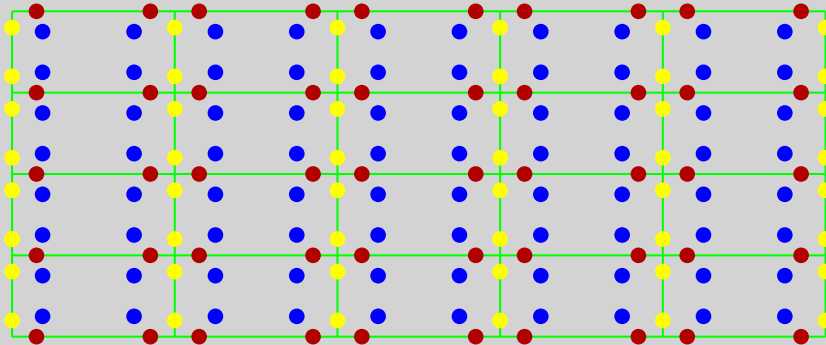
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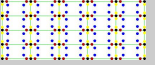
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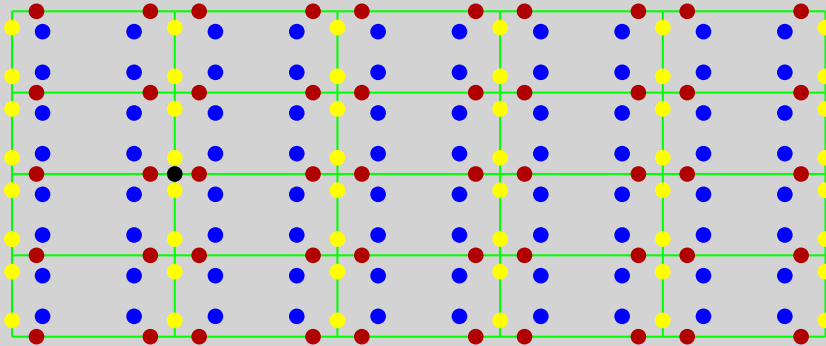
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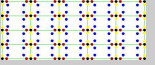
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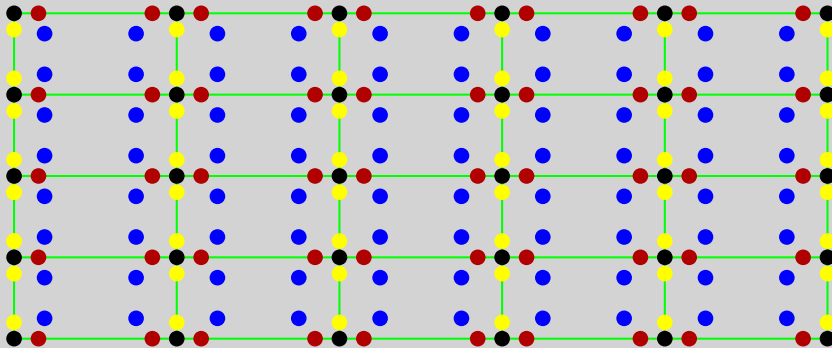
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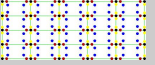
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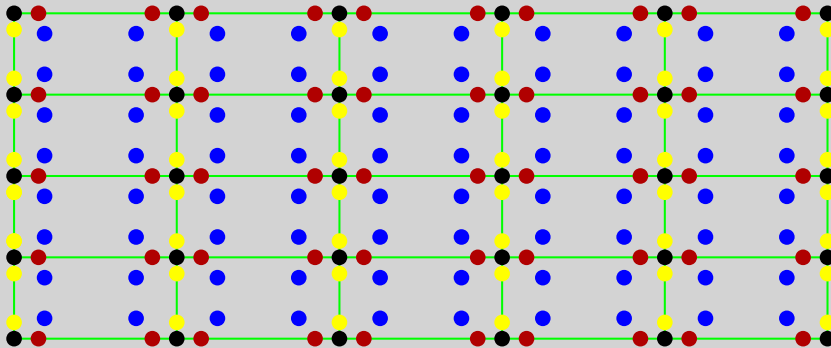
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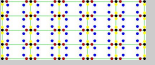
For the symmetry groupoid \mathcal{G}_B of the real bathroom floor:

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- The only points with non-trivial isotropy are those in $(\mathbb{Z} \times \frac{1}{2}\mathbb{Z}) \cap B$. For these, the isotropy group is:

$$G = \mathbb{Z}_2 \times \mathbb{Z}_2.$$



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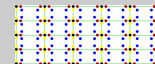
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5. Other groupoids

Groupoids play an important role in many other contexts, not related with symmetry.



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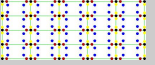
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Fundamental Groupoid of a space

X any *topological* space

Look at *continuous* curves $\gamma : [0, 1] \rightarrow X$

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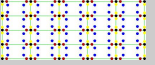
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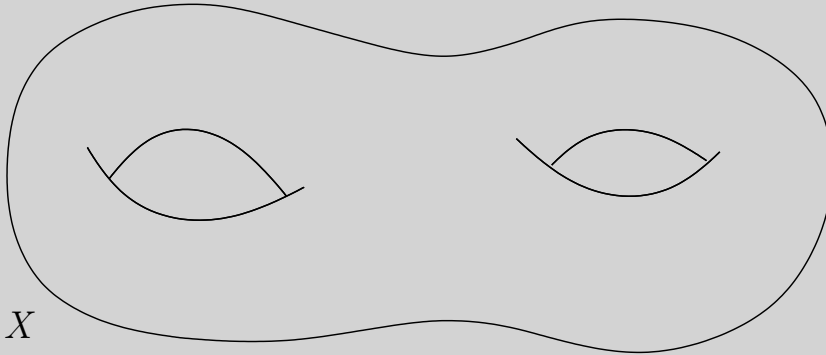
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Fundamental Groupoid of a space

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Look at *continuous* curves $\gamma : [0, 1] \rightarrow X$



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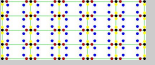
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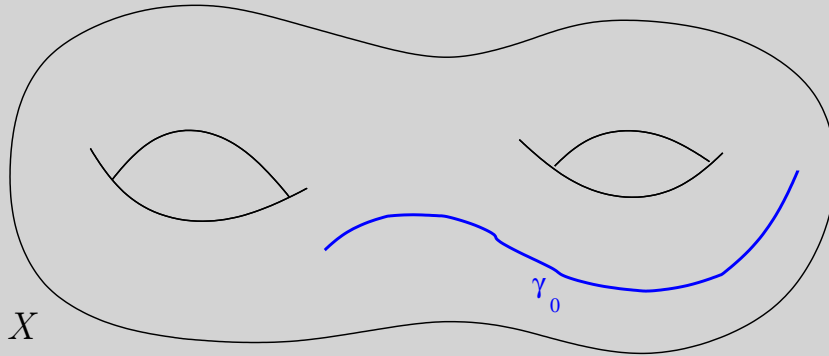
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Fundamental Groupoid of a space

X any *topological* space

Look at *continuous* curves $\gamma : [0, 1] \rightarrow X$



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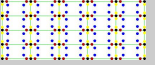
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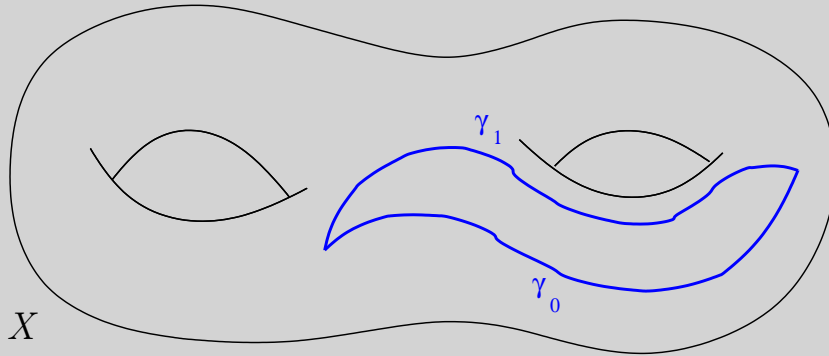
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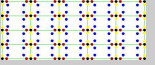
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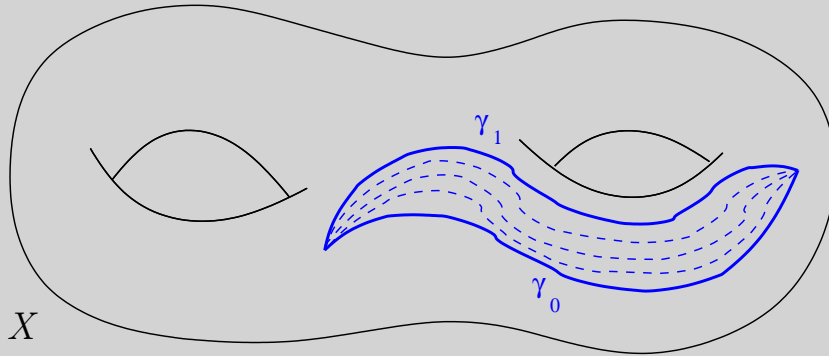
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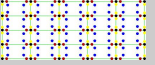
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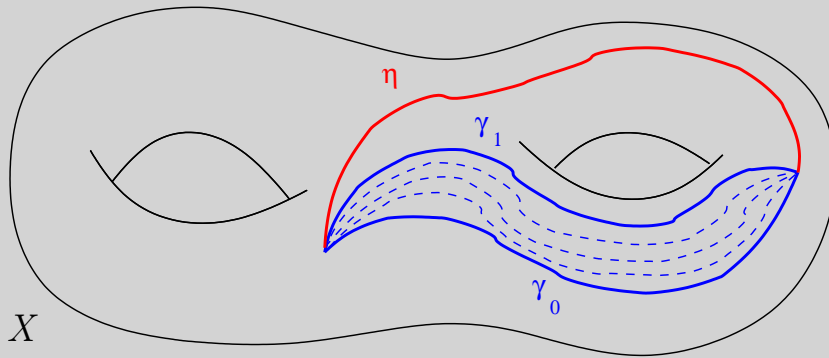
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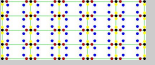
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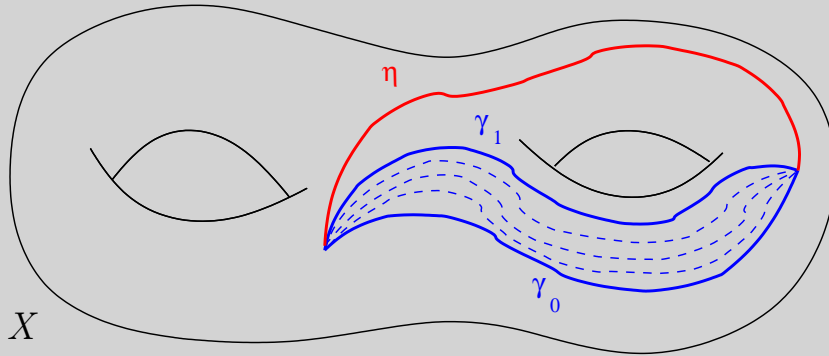
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$[\gamma] \equiv$ homotopy class of γ

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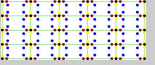
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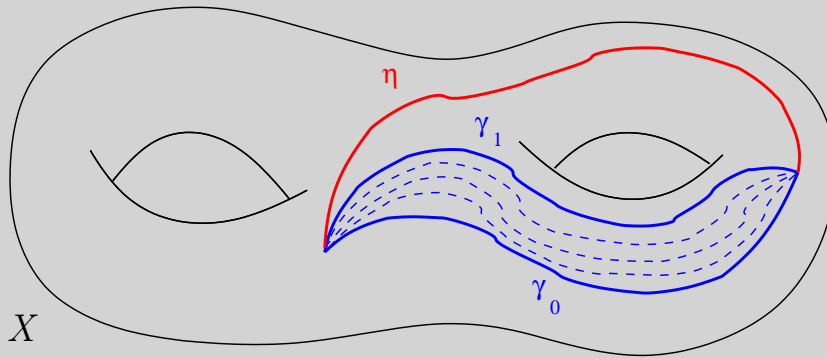
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Fundamental Groupoid of a space

X any *topological space*

Look at *continuous curves* $\gamma : [0, 1] \rightarrow X$



$[\gamma] \equiv$ homotopy class of γ (e.g. $[\gamma_0] = [\gamma_1]$ but $[\gamma_0] \neq [\eta]$).

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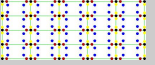
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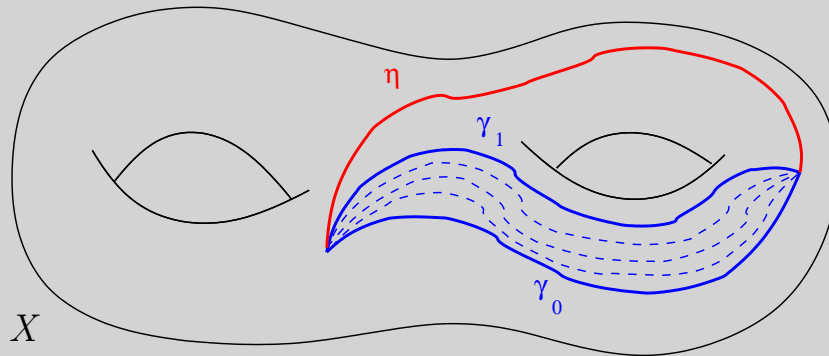
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Fundamental Groupoid of a space

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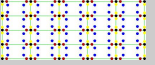
Look at *continuous* curves $\gamma : [0, 1] \rightarrow X$



$[\gamma] \equiv$ homotopy class of γ (e.g. $[\gamma_0] = [\gamma_1]$ but $[\gamma_0] \neq [\eta]$).

The *fundamental groupoid* of X is:

$$\Pi(X) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow X\}.$$



For the fundamental groupoid

$$\Pi(X) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow X\}$$

the structure maps are:

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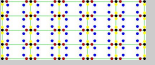
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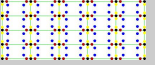
For the fundamental groupoid

$$\Pi(X) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow X\}$$

the structure maps are:

- *source* and *target* give initial and final points:

$$\mathbf{s}([\gamma]) = \gamma(0), \quad \mathbf{t}([\gamma]) = \gamma(1);$$



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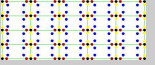
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- *product* is concatenation of curves:

$$[\gamma] \cdot [\eta] = [\gamma \cdot \eta];$$



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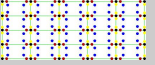
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$$1_x = [\gamma], \quad \text{where } \gamma(t) = x;$$



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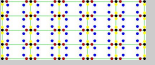
$$[\gamma] \cdot [\eta] = [\gamma \cdot \eta];$$

- *units* are the constant curves:

$$1_x = [\gamma], \quad \text{where } \gamma(t) = x;$$

- *inverse* is the opposite curve:

$$[\gamma]^{-1} = [\bar{\gamma}], \quad \text{where } \bar{\gamma}(t) = \gamma(1 - t).$$



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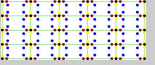
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For the fundamental groupoid

$$\Pi(X) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow X\}$$

one has:



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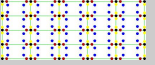
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For the fundamental groupoid

$$\Pi(X) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow X\}$$

one has:

- One orbit for each connected component of X ;



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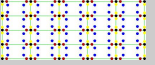
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- One orbit for each connected component of X ;
- Isotropy group of $x \in X$ is the *fundamental group*:

$$\pi(X, x) = \{[\gamma] \mid \gamma \text{ is a loop based at } x\}.$$



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For the fundamental groupoid

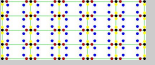
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This is by no means trivial!



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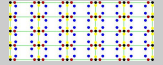
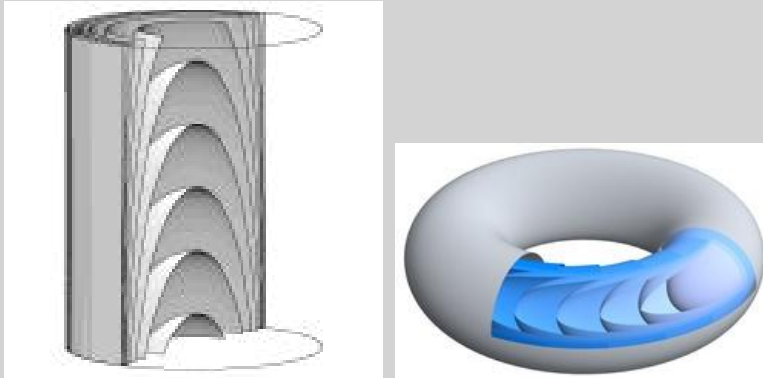
This is by no means trivial!

Examples:

- If $X = SO(2)$ one has $\pi(X, x) = \mathbb{Z}$.
- If $X = SO(n)$ one has $\pi(X, x) = \mathbb{Z}_2 = \{+1, -1\}$.

Groupoids and control theory

X a *foliated space*:



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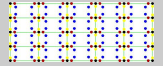
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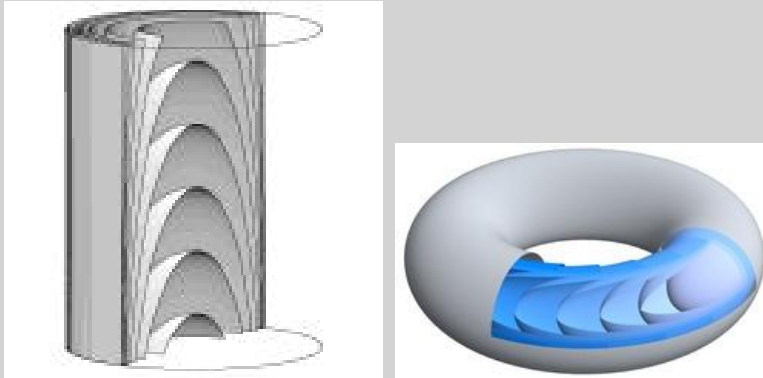
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Groupoids and control theory

X a foliated space:



Now, we can only deform curves lying on leaves of the foliation \mathcal{F} .

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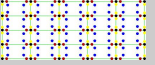
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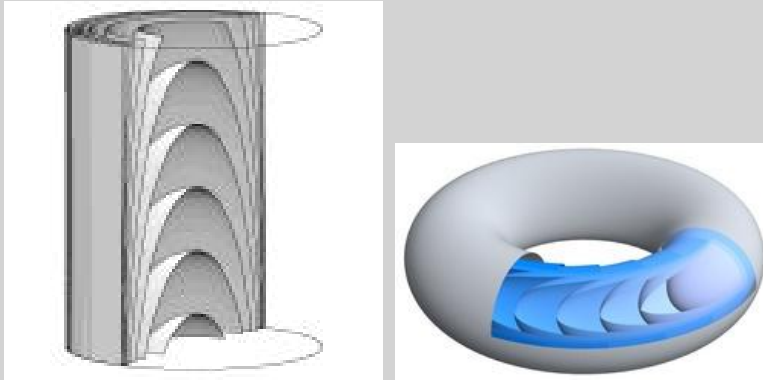
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Groupoids and control theory

X a *foliated space*:



Now, we can only deform curves lying on leaves of the foliation \mathcal{F} . We obtain the *monodromy groupoid* of the foliation:

$$\Pi(\mathcal{F}) = \{[\gamma] \mid \gamma : [0, 1] \rightarrow L, L \text{ is a leaf of } \mathcal{F}\}.$$

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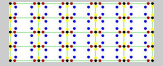
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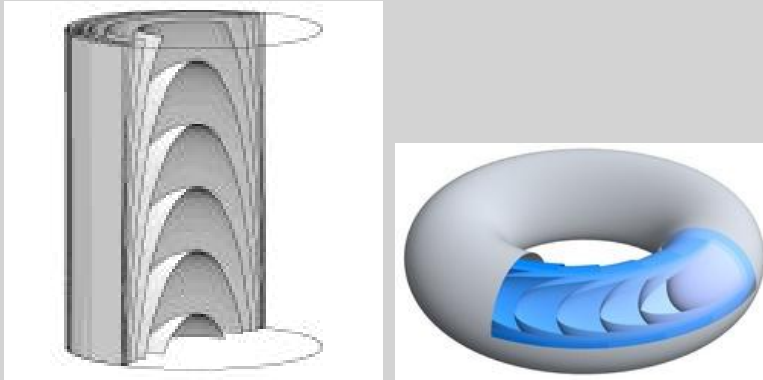
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- Orbits are the leaves of \mathcal{F} ;
- Isotropy groups are the fundamental groups of the leaves.

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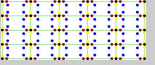
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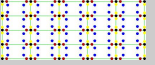
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In control theory:

ORBITS = ACCESSIBLE SETS



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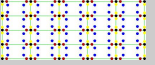
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In control theory:

ORBITS = ACCESSIBLE SETS

Typical problem: (*stability*)

Fix an orbit L_0 . Is there a nearby orbit L diffeomorphic to L_0 ?



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In control theory:

ORBITS = ACCESSIBLE SETS

Typical problem: (*stability*)

Fix an orbit L_0 . Is there a nearby orbit L diffeomorphic to L_0 ?

This is where the *real math* starts and where this talk stops. . .