THREE LECTURES ON 3-ALGEBRAS

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ABSTRACT. These notes are based on lectures given in Valencia in October 2008 and in Stockholm in November 2008, in the framework of the Nordita workshop "Geometrical aspects of String Theory". We introduce the notion of a metric 3-Lie algebra and review some of the classification results. We explain the deconstruction of metric 3-Lie algebras in Lie algebraic terms and introduce a general framework in which to describe other 3-algebras of relevance in the description of three-dimensional superconformal Chern–Simons theories, particularly those with N=6. The emphasis throughout is on the general ideas and concrete examples.

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1. "3 is the New 2"

In this lecture I will introduce metric 3-Lie algebras¹, give some examples and state a number of classification results.

1.1. Metric 3-Lie algebras. The Bagger-Lambert-Gustavsson (BLG) proposal [1, 2, 3] for a superconformal field theory dual to a stack of M2-branes is essentially a maximally supersymmetric Chern-Simons + matter theory. In three dimensions this means an N=8 theory (i.e., a theory with 16 real supercharges) realising the Killing superalgebra $\mathfrak{osp}(8|4)$ of the near-horizon geometry $S^7 \times \mathrm{AdS}_4$ of the M2 branes. This superalgebra has bosonic subalgebra $\mathfrak{so}(8) \oplus \mathfrak{so}(3,2) \cong \mathfrak{so}(8) \oplus \mathfrak{sp}(4,\mathbb{R})$ and odd subspace in their fundamentals, hence the name. The novel feature of the BLG model is that the matter fields take values in a vector space Vwith a trilinear bracket

 $V \times V \times V \to V$ sending $(x, y, z) \mapsto [x, y, z]$, (1)

¹Until recently I used to call them *Lie 3-algebras*, but my *n*-categorical friends insist that I should call them *3-Lie algebras* instead. I will nevertheless continue to use *3-algebra* for the generic case.

and a symmetric inner product

$$V \times V \to \mathbb{R}$$
 denoted $(x, y) \mapsto \langle x, y \rangle$, (2)

satisfying a number of identities:

- (1) total skewsymmetry of the bracket, whence it defines a linear map $\Lambda^3 V \to V$;
- (2) metricity:

$$\langle [x, y, z_1], z_2 \rangle = - \langle [x, y, z_2], z_1 \rangle \quad ; \tag{3}$$

(3) and the so-called *fundamental identity*:

$$[x, y, [z_1, z_2, z_3]] = [[x, y, z_1], z_2, z_3] + [z_1, [x, y, z_2], z_3] + [z_1, z_2, [x, y, z_3]],$$
(4)

for all $x, y, z_i \in V$. (This identity will be rewritten below in a more succinct and conceptual manner.)

We will call such a vector space V with the bracket and the inner product a **metric 3-Lie algebra**, a concept which — perhaps without the metricity assumption — is due to Filippov [4].

The first remark is that this is a natural generalisation of the concept of a metric Lie algebra; which is a vector space \mathfrak{g} together with a bilinear bracket $\mathfrak{g} \times \mathfrak{g} \to \mathfrak{g}$, sending (X, Y) to [X, Y]and a symmetric inner product $\mathfrak{g} \times \mathfrak{g} \to \mathbb{R}$, sending (X, Y) to $\langle X, Y \rangle$, obeying the following identities:

- (1) skewsymmetry of the bracket, whence it defines a linear map $\Lambda^2 \mathfrak{g} \to \mathfrak{g}$;
- (2) metricity:

$$\langle [X,Y],Z\rangle = -\langle [X,Z],Y\rangle \quad ; \tag{5}$$

(3) and the *Jacobi identity*:

$$[X, [Y, Z]] = [[X, Y], Z] + [Y, [X, Z]], \qquad (6)$$

for all $X, Y, Z \in \mathfrak{g}$.

Just like the Jacobi identity can be reinterpreted as saying that for all $X \in \mathfrak{g}$, $\operatorname{ad}_X := [X, -]$ is a derivation over the bracket, the fundamental identity in a 3-Lie algebra V says that for all $x, y \in V$, D(x, y) := [x, y, -] is a derivation over the 3-bracket. In fact, as we will see, many of the known results for metric Lie algebras hold word for word (but after some reinterpretation) also for metric 3-Lie algebras, and in fact even for metric *n*-Lie algebras, for n > 3, defined in the obvious way.

The second remark, which is not to be taken too seriously, is that metric (2-)Lie algebras appear prominently in *two*-dimensional superconformal field theory, via their rôle in the Sugawara construction; metric 3-Lie algebras appear prominently in *three*-dimensional superconformal field theory, via their rôle in the BLG model; and that the self-dual five-form in $AdS_5 \times S^5$, a crucial background in much of today's interest on *four*-dimensional superconformal field theory, defines a metric 4-Lie algebra. (While curious, I do not expect this to generalise.)

1.2. The Nambu bracket. Being a geometrical meeting, let us start with a geometrical example of a metric 3-Lie algebra. Let (M, ω) be a compact, oriented 3-dimensional manifold and ω a nowhere-vanishing 3-form defining the orientation. We will also assume that M has no boundary. Given three smooth functions $f, g, h \in C^{\infty}(M)$ the wedge product of their differentials is a 3-form which must be proportional to ω . We define the function $\{f, g, h\} \in C^{\infty}(M)$ by

$$df \wedge dg \wedge dh = \{f, g, h\}\omega . \tag{7}$$

This defines an alternating trilinear map

$$C^{\infty}(M) \times C^{\infty}(M) \times C^{\infty}(M) \to C^{\infty}(M)$$
, (8)

sending (f, g, h) to $\{f, g, h\}$. This bracket, originally due to Nambu [5], obeys the following properties:

(1) Leibniz rule:

$$\{f, g, h_1 h_2\} = \{f, g, h_1\} h_2 + h_1 \{f, g, h_2\} , \qquad (9)$$

(2) the fundamental identity:

$$\{f, g, \{h_1, h_2, h_3\}\} = \{\{f, g, h_1\}, h_2, h_3\} + \{h_1, \{f, g, h_2\}, h_3\} + \{h_1, h_2, \{f, g, h_3\}\},$$
(10)
for all $f, g, h_i \in C^{\infty}(M)$.

Only the last identity requires proof. We start by observing that the Leibniz rule says that given $f, g \in C^{\infty}(M)$, the map $C^{\infty}(M) \to C^{\infty}(M)$ sending h to $\{f, g, h\}$ is a derivation, whence it defines a vector field $X_{f,g}$. This vector field leaves ω invariant, as can be seen by contracting it into both sides of equation (7):

$$df \wedge dg\{f, g, h\} = \{f, g, h\} \imath_{X_{f,g}} \omega , \qquad (11)$$

whence

$$i_{X_{f,g}}\omega = df \wedge dg , \qquad (12)$$

and hence

$$\mathscr{L}_{X_{f,g}}\omega = d\iota_{X_{f,g}}\omega = d(df \wedge dg) = 0.$$
⁽¹³⁾

Now we differentiate

$$dh_1 \wedge dh_2 \wedge dh_3 = \{h_1, h_2, h_3\}\omega$$
(14)

with respect to $X_{f,g}$, using that the Lie and exterior derivatives commute, to obtain

$$d\{f, g, h_1\} \wedge dh_2 \wedge dh_3 + dh_1 \wedge d\{f, g, h_2\} \wedge dh_3 + dh_1 \wedge dh_2 \wedge d\{f, g, h_3\} = \{f, g, \{h_1, h_2, h_3\}\}\omega,$$
(15)

which, using equation (7) again on the three terms in the left-hand side, becomes equation (10).

Furthermore if we define an inner product on $C^{\infty}(M)$ by

$$\langle f,g\rangle := \int_M fg\omega ,$$
 (16)

for all $f, g \in C^{\infty}(M)$, then we have that

$$\langle \{f, g, h_1\}, h_2 \rangle = - \langle \{f, g, h_2\}, h_1 \rangle$$
, (17)

for all $f, g, h_i \in C^{\infty}(M)$. Indeed,

$$\begin{split} \langle \{f,g,h_1\},h_2\rangle &= \int_M \{f,g,h_1\}h_2\omega \\ &= \int_M df \wedge dg \wedge dh_1h_2 \\ &= \int_M df \wedge dg \wedge d(h_1h_2) - \int_M df \wedge dg \wedge dh_2h_1 \\ &= \int_M d(h_1h_2df \wedge dg) - \int_M \{f,g,h_2\}h_1\omega \\ &= - \langle \{f,g,h_2\},h_1\rangle \ , \end{split}$$

since M has no boundary.

1.3. Structure of metric 3-Lie algebras. The Jacobi identity (6) for a Lie algebra \mathfrak{g} is equivalent to $[\mathrm{ad}_X, \mathrm{ad}_Y] = \mathrm{ad}_{[X,Y]}$ in $\mathrm{End}\,\mathfrak{g}$, for all $X, Y \in \mathfrak{g}$. Now we will reinterpret the fundamental identity (4) of a 3-Lie algebra V in a similar way. Let us define $D : \Lambda^2 V \to \mathrm{End}\, V$ by extending

$$D(x \wedge y) = [x, y, -] \tag{18}$$

linearly from monomials to arbitrary elements of $\Lambda^2 V$. Then the fundamental identity (4) is equivalent to

$$[D(X), D(Y)] = D(D(X) \cdot Y) \quad \text{for all } X, Y \in \Lambda^2 V, \tag{19}$$

where the bracket on the left-hand side is the commutator in End V and the \cdot in the right-hand side is the natural action of End V on $\Lambda^2 V$; i.e.,

$$D(X) \cdot (x \wedge y) = D(X) \cdot x \wedge y + x \wedge D(X) \cdot y .$$
⁽²⁰⁾

Indeed, if we now take $X = x \wedge y$ and $Y = z_1 \wedge z_2$ and we apply (19) on $z_3 \in V$, we obtain the fundamental identity (4) upon using (20).

The fundamental identity in the form (19) says that the image of D is a Lie subalgebra of $\mathfrak{gl}(V)$, or indeed $\mathfrak{so}(V)$ if metric. In the case of a metric Lie algebra, the Jacobi identity says that $\mathrm{ad} : \mathfrak{g} \to \mathfrak{so}(\mathfrak{g})$ is a Lie algebra homomorphism. But what about in the case of (metric) 3-Lie algebras? By analogy with Lie algebras we could define a bracket on $\Lambda^2 V$ by

$$[X,Y] := D(X) \cdot Y , \qquad (21)$$

in terms of which, the fundamental identity (19) applied to $Z \in \Lambda^2 V$ becomes a version of the Jacobi identity for a Lie algebra:

$$[X, [Y, Z]] = [[X, Y], Z] + [Y, [X, Z]] .$$
(22)

Since in general $[X, Y] \neq -[Y, X]$, $\Lambda^2 V$ is not a Lie algebra but only a **(left) Leibniz algebra** — a sort of noncommutative version of a Lie algebra, introduced by Loday in [6] and much studied since. Nevertheless, the map D is still a Leibniz algebra homomorphism. (Notice that a Lie algebra is in particular also a Leibniz algebra.) I will not say more about Leibniz algebras here, except to note that they underlie many of the structural (an in particular cohomological) properties of 3-Lie algebras (and their relatives). For instance, the deformation theory of a 3-Lie algebra V is governed by the cohomology of its associated Leibniz algebra $\Lambda^2 V$. Finally, let me point out that the correspondence from 3-Lie algebras to Leibniz algebras sending V to $\Lambda^2 V$ is functorial.

Given the similarity between 3-Lie algebras and Lie algebras, it is worth contrasting the two. There are many question one can ask and most have already been answered. We point out three references: the original paper of Filippov [4], a later paper of Kasymov [7] and the PhD thesis of Ling [8]. In these papers there is already a well-developed structure theory of 3-Lie algebras with all the usual concepts (often refined): ideals and homomorphisms, nilpotency, solvability, radical,... There is even a Levi-Malcev theorem stating that, just as for Lie algebras, a 3-Lie algebra is a semidirect product of a semisimple 3-Lie algebra and a solvable 3-Lie algebra (its radical). Just as for Lie algebras, a semisimple 3-Lie algebra is a direct sum of simple ideals, but *unlike* in the case of Lie algebras, where there are infinite isomorphism classes of simple Lie algebras, there is over the complex numbers a *unique simple* 3-Lie algebra: $V = \mathbb{C}^4$ with basis (e_1, \ldots, e_4) and bracket

$$[e_i, e_j, e_k] = \varepsilon_{ijk\ell} e_\ell , \qquad (23)$$

where the Levi-Cività symbol is normalised to $\varepsilon_{1234} = 1$. This result is proved in [8], also for *n*-Lie algebras with n > 3. Over the real numbers, we simply attach signs σ_i to the right-hand

side of the bracket:

$$[e_i, e_j, e_k] = \varepsilon_{ijk\ell} \sigma_\ell e_\ell . \tag{24}$$

It is an easy exercise to show that this defines on \mathbb{R}^4 the structure of a metric 3-Lie algebra relative to the inner product

$$\langle e_i, e_j \rangle = \sigma_i \delta_{ij} \ . \tag{25}$$

Taking all $\sigma_i = 1$, we obtain a 4-dimensional euclidean metric 3-Lie algebra, which we denote A_4 . Similarly, we can define $A_{3,1}$ and $A_{2,2}$ by changing the sign of one or two of the σ_i and in this way obtain lorentzian and split metric 3-Lie algebras, respectively. It turns out that A_4 is the unique nonabelian indecomposable such metric 3-Lie algebra, a result conjectured in [9], and proved independently in [10, 11, 12]. As discussed in [13], it also follows easily from the classification of simple 3-Lie algebras. By way of contrast, the metric Lie algebras admitting a positive-definite invariant inner product are the reductive Lie algebras, which are direct sums of semisimple and abelian. Of course, the same is true for 3-Lie algebras (and indeed for *n*-Lie algebras, n > 3), except that there is a unique such simple object.

Leaving questions of manifest unitarity of the lagrangian aside, every metric 3-Lie algebra (of any signature) gives rise to a three-dimensional N=8 supersymmetric Chern–Simons theory with matter. This suggests that the classification of metric 3-Lie algebras is an interesting problem. If only to temper our expectations, one can ask what is known about metric Lie algebras.

Given two metric Lie algebras one can take their orthogonal direct sum to construct another metric Lie algebra. We say that a metric Lie algebra is **indecomposable** if it cannot be written in this way. It is therefore only necessary to classify the indecomposable ones. The same holds for 3-Lie algebras, and indeed for n-Lie algebras for n > 3.

There is no classification of metric Lie algebras beyond index 3. (I recall that the index of an inner product of signature (p, q) is the minimum of p and q, whence index 0 is (by convention) positive-definite, index 1 is lorentzian,...). The case of index 0 is classical: the indecomposable objects are the compact simple Lie algebras and $\mathfrak{u}(1)$. The case of index 1 is due to Medina [14] and the cases of index 2 and 3 due to Kath and Olbrich [15, 16]. For later comparison, here is the statement for the lorentzian case.

Theorem 1. Every (finite-dimensional) lorentzian Lie algebra \mathfrak{g} is the orthogonal direct sum $\mathfrak{g} = \mathfrak{g}_0 \oplus \mathfrak{g}_1$, where \mathfrak{g}_0 is an indecomposable lorentzian Lie algebra and \mathfrak{g}_0 is a positive-definite (hence, reductive) Lie algebra. A finite-dimensional indecomposable lorentzian Lie algebra is isomorphic to one of the following:

- (1) one-dimensional with negative-definite inner product;
- (2) $\mathfrak{sl}(2,\mathbb{R})$ with respect to (the negative of) the Killing form; or
- (3) $E \oplus \mathbb{R}u \oplus \mathbb{R}v$, where E is an even-dimensional euclidean vector space, and the inner product $\langle -, \rangle$ extends that of E by declaring $u, v \perp E$, $\langle u, v \rangle = 1$ and $\langle v, v \rangle = 0$, and the Lie brackets are given by

$$[u, x] = J(x)$$
 and $[x, y] = \langle J(x), y \rangle v$

for all $x, y \in E$ and where $J \in \mathfrak{so}(E)$ is a nondegenerate skewsymmetric endomorphism.

The simplest example of the third type is the famous Nappi–Witten Lie algebra [17], where $E = \mathbb{R}^2$ and J is an orthogonal complex structure. It can also be interpreted as a central extension of the Lie algebra of euclidean motions in two dimensions.

The most general result in the theory of metric Lie algebras is the structure theorem of Medina and Revoy [18] (see also [19]), which says that the class of finite-dimensional metric Lie

algebras is generated by the simple and the one-dimensional Lie algebras using two operations: orthogonal direct sum and *double extension*. (We will not define this notion here.)

Based on this brief summary of the state of the art on metric Lie algebras, we should perhaps not expect to do much better for metric 3-Lie algebras. In fact, in addition to the index 0 results mentioned above, there are classifications for lorentzian [13] and index 2 [20] 3-Lie algebras. It should be possible to go further and classify index-3 3-Lie algebras, but we have not found the need (nor the energy) to do so. Moreover there is an identical-sounding structure theorem for metric 3-Lie algebras [20] and for metric *n*-Lie algebras for n > 3 [21], except that the notion of double extension is even more cumbersome to define.

I will finish this first lecture with the statement of the lorentzian result. A very similar result holds also for metric *n*-Lie algebras for n > 3 [22].

Theorem 2. Every (finite-dimensional) lorentzian 3-Lie algebra V is the orthogonal direct sum $V = V_0 \oplus V_1$, where V_0 is an indecomposable lorentzian 3-Lie algebra and V_1 is a positive-definite 3-Lie algebra. A finite-dimensional indecomposable lorentzian 3-Lie algebra is isomorphic to one of the following:

- (1) one-dimensional with negative-definite inner product;
- (2) the simple 3-Lie algebra $A_{3,1}$; or
- (3) g ⊕ Ru ⊕ Rv, where g is a semisimple Lie algebra with a choice of positive-definite inner product (-, -) which we extend to the whole space by declaring u, v ⊥ g, (u, v) = 1 and (v, v) = 0, and the 3-brackets are given by

$$[u, x, y] = [x, y] \qquad and \qquad [x, y, z] = -\langle [x, y], z \rangle v ,$$

for all $x, y, z \in \mathfrak{g}$.

The metric 3-Lie algebras in the third class were discovered independently in [23, 24, 25].

The index-2 classification is detailed in [20], but even just listing them would already take a lot of space. In that paper we repackage some desirable physical properties of the BLG model as 3-algebraic criteria, which can then be applied to further refine the classification. This results in two main classes of "physically interesting" index-2 3-Lie algebras, which are the subject of a forthcoming paper [26].

2. "2 STRIKES BACK"

In this second lecture we relate metric 3-Lie algebras and, more generally, also other metric 3-Leibniz algebras of relevance in three-dimensional superconformal Chern–Simons theories, to the representation theory of metric Lie algebras. This is done by adapting a general algebraic construction due to Faulkner [27], which we will present at the end of the lecture. This lecture is based on [28].

2.1. Deconstructing the metric 3-Lie algebras. Let V be a metric 3-Lie algebra, defined by a linear map $D : \Lambda^2 V \to \mathfrak{so}(V)$ obeying the fundamental identity (19), whence the image \mathfrak{g} of D is a Lie subalgebra of $\mathfrak{so}(V)$. The surprising thing is that \mathfrak{g} is a *metric* Lie algebra relative to the inner product defined by extending

$$(D(x \wedge y), D(u \wedge v)) = \langle [x, y, u], v \rangle$$
(26)

linearly to all of \mathfrak{g} . First of all, we notice that the above expression actually defines a symmetric bilinear form on \mathfrak{g} : indeed, metricity and the skewsymmetry of the 3-bracket, says that $\langle [x, y, u], v \rangle$ is totally skewsymmetric in all four arguments. In particular it is symmetric under the interchange of pairs:

$$(D(x \wedge y), D(u \wedge v)) = (D(u \wedge v), D(x \wedge y)) \quad .$$

$$(27)$$

Next we show that this bilinear form is non-degenerate. Let $\delta \in \mathfrak{g}$ be perpendicular to all of $D(u \wedge v)$. Then

$$(\delta, D(u, v)) = \langle \delta u, v \rangle = 0$$
 for all $u, v \in V$.

Since the inner product on V is nondegenerate, this means $\delta u = 0$ for all $u \in V$, whence δ , being an endomorphism, must vanish. This says that (-, -) so defined is a nondegenerate symmetric bilinear form; that is, an inner product. Finally, we show that it is invariant. Let $X, Y, Z = u \wedge v \in \Lambda^2 V$ and consider

$$(D(X), [D(Y), D(Z)]) = (D(X), D(D(Y) \cdot Z))$$
by (19)
$$= (D(X), D(Y) \cdot u \wedge v + u \wedge D(Y) \cdot v)$$

$$= \langle D(X) \cdot D(Y) \cdot u, v \rangle + \langle D(X) \cdot u, D(Y) \cdot v \rangle$$

$$= \langle D(X) \cdot D(Y) \cdot u, v \rangle - \langle D(Y) \cdot D(X) \cdot u, v \rangle$$

$$= \langle [D(X), D(Y)] \cdot u, v \rangle$$

$$= ([D(X), D(Y)], D(Z)) .$$

Further we notice that every $D(x \wedge y)$ is null because of the skewsymmetry of the bracket:

$$(D(x \wedge y), D(x \wedge y) = \langle [x, y, x], y \rangle = 0.$$
(28)

In particular this means that (-, -) must have split signature and hence that \mathfrak{g} is evendimensional.

For example, for the positive-definite simple 3-Lie algebra A_4 , all $D(e_i \wedge e_j)$ are linearly independent for i < j, whence they span all of $\mathfrak{so}(4)$. The inner product is

$$(D(e_i \wedge e_j), D(e_k \wedge e_\ell)) = \varepsilon_{ijk\ell} , \qquad (29)$$

which is split. (In fact, it is just given by the wedge product, under the isomorphism of \mathfrak{so} with Λ^2 .)

In summary, we have managed to deconstruct a metric 3-Lie algebra V into a metric Lie subalgebra $\mathfrak{g} < \mathfrak{so}(V)$. It is important to remark that in general, the invariant inner product on \mathfrak{g} need not be the restriction of an invariant inner product on $\mathfrak{so}(V)$. A natural question is then whether one can reconstruct the metric 3-Lie algebra V from such data; namely a metric Lie algebra \mathfrak{g} and a faithful orthogonal representation V.

2.2. Reconstructing a metric 3-Leibniz algebra. Let \mathfrak{g} , with inner product (-, -), be a metric Lie algebra and let V be a faithful orthogonal representation, so that we can think of \mathfrak{g} as a Lie subalgebra of $\mathfrak{so}(V)$. Given $x, y \in V$, we define $D(x, y) \in \mathfrak{g}$ by transposing the \mathfrak{g} -action:

$$(D(x,y),X) = \langle X \cdot x, y \rangle \quad \text{for all } X \in \mathfrak{g}, \tag{30}$$

where \cdot denotes the \mathfrak{g} action. This defines D(x, y) uniquely, because (-, -) is nondegenerate. We define a 3-bracket on V by

$$[x, y, z] := D(x, y) \cdot z , \qquad (31)$$

for all $x, y, z \in V$. Since $D(x, y) \in \mathfrak{so}(V)$, we have the following identity

$$\langle [x, y, z], w \rangle = \langle D(x, y) \cdot z, w \rangle$$

= $- \langle z, D(x, y) \cdot w \rangle$
= $- \langle z, [x, y, w] \rangle .$

Also we have that the symmetry of the inner product on \mathfrak{g} ,

$$(D(x,y), D(u,v)) = (D(u,v), D(x,y))$$
(32)

translates into

$$\langle [x, y, u], v \rangle = \langle [u, v, x], y \rangle , \qquad (33)$$

which together with metricity implies that

$$[x, y, z] = -[y, x, z] \quad \iff \quad D(x, y) = -D(y, x) , \qquad (34)$$

whence we may think of D as a linear map $D : \Lambda^2 V \to \mathfrak{g}$. In addition, the 3-bracket obeys the fundamental identity (19). Indeed, for all $X, Y \in \mathfrak{g}$ and $u, v \in V$,

$$\begin{split} ([D(u \wedge v), X], Y) &= (D(u \wedge v), [X, Y]) & \text{by invariance} \\ &= \langle [X, Y] \cdot u, v \rangle \\ &= \langle X \cdot Y \cdot u, v \rangle - \langle Y \cdot X \cdot u, v \rangle \\ &= - \langle Y \cdot u, X \cdot v \rangle - \langle Y \cdot X \cdot u, v \rangle \\ &= - (D(u \wedge X \cdot v), Y) - (D(X \cdot u \wedge v), Y) \end{split}$$

whence

$$[X, D(u \wedge v)] = D(X \cdot (u \wedge v))$$

which is equivalent to the fundamental identity (19).

In summary, the result is a metric ternary algebra obeying the fundamental identity and (33), but the bracket is not in general totally skewsymmetric. This is easy to see because we saw that for a metric 3-Lie algebra, the inner product on \mathfrak{g} has split signature, so from any \mathfrak{g} which is, say, odd-dimensional one cannot reconstruct a metric 3-Lie algebra.

By analogy with the relation between Lie and Leibniz algebras, let us define a (left) 3-Leibniz algebra to be a vector space V with a trilinear bracket $(x, y, z) \mapsto [x, y, z]$ satisfying the fundamental identity (4), whereas a metric 3-Leibniz algebra possesses in addition a symmetric inner product obeying the metricity axiom (3). The metric ternary algebras just constructed are special types of metric 3-Leibniz algebras where, in addition, the symmetry condition (33) is satisfied. These are precisely the metric 3-Leibniz algebras introduced by Cherkis and Sämann in [29].

These 3-Leibniz algebras are such that the 3-bracket defines a linear map $\Lambda^2 V \otimes V \to V$. Decomposing

$$\Lambda^2 V \otimes V = \Lambda^3 V \oplus V^{\Box} \oplus V , \qquad (35)$$

we see that there are two interesting limiting cases of these algebras:

- (1) the metric 3-Lie algebras, for which the 3-bracket is totally skew-symmetric; and
- (2) the **metric Lie triple systems**, for which the 3-bracket obeys

$$[x, y, z] + [y, z, x] + [z, x, y] = 0.$$
(36)

A Lie triple system is such that $\mathfrak{g} \oplus V$ admits the structure of a 2-graded Lie algebra (*not* a Lie superalgebra) in such a way that [x, y, z] = [[x, y], z], whence (36) becomes one component of the Jacobi identity for $\mathfrak{g} \oplus V$. The 2-graded Lie algebra $\mathfrak{g} \oplus V$ is known as the **embedding** Lie algebra of the Lie triple system. The symmetry condition (33) together with (36) imply that the 4-tensor $\langle [x, y, z], w \rangle$ has the symmetries of an algebraic curvature tensor. This is not an accident: a metric Lie triple system is a linear approximation to a (pseudo)riemannian symmetric space, in the same way that a (metric) Lie algebra is a linear approximation to a Lie group (possessing a bi-invariant metric), and the 4-tensor $\langle [x, y, z], w \rangle$ coincides with the Riemann curvature tensor of the symmetric space. It is a natural question (whose answer is not known) whether there is any geometric object of which a 3-Lie algebra is a linear approximation.

To summarise this lecture thus far, we started with a metric 3-Lie algebra V and we deconstructed it into a metric Lie algebra \mathfrak{g} acting faithfully and orthogonally on V. Conversely, starting from a metric Lie algebra \mathfrak{g} and a faithful orthogonal representation V, we arrived at a strictly larger class of metric 3-Leibniz algebras, including as special cases the metric 3-Lie algebras and the metric Lie triple systems. In general, of course, the general 3-Leibniz algebra in this class is neither a Lie triple system nor 3-Lie. It is an interesting open problem to characterise the metric 3-Lie algebras *a priori* from their Lie-algebraic data.

One important remark is that the inner product on \mathfrak{g} is an important part of the data. For example, let us take $\mathfrak{g} = \mathfrak{so}(4)$ and $V = \mathbb{R}^4$ the fundamental representation. If we take for the inner product on \mathfrak{g} (minus) the Killing form, then the resulting 3-Leibniz algebra is a Lie triple system with embedding Lie algebra $\mathfrak{so}(5)$, whence this is the Lie triple system approximating linearly the round 4-sphere thought of as the riemannian symmetric space SO(5)/SO(4). Relative to an orthonormal basis e_i for \mathbb{R}^4 , the 3-brackets of this Lie triple system are given by

$$[e_i, e_j, e_k] = \delta_{jk} e_i - \delta_{ik} e_j . \tag{37}$$

There is a one-parameter family of such 3-Leibniz algebras interpolating between this Lie triple system and the simple 3-Lie algebra A_4 . This is, in fact, the unique deformation of A_4 within the class of all 3-Leibniz algebras. The deformation parameter can be understood as parametrising the conformal classes of invariant inner products on $\mathfrak{so}(4)$, which is the only part of the Lie algebraic data which is not rigid.

2.3. The Faulkner construction. We end this lecture by describing a general algebraic construction which underlies the above deconstruction/reconstruction.

Let \mathfrak{g} be a metric Lie algebra and let V be a faithful representation. In contrast with the above discussion, we are not assuming an inner product on V for now. Let V^* denote the dual representation, where if $X \in \mathfrak{g}$, $\alpha \in V^*$ and $v \in V$, then

$$(X \cdot \alpha)(v) = -\alpha(X \cdot v) . \tag{38}$$

Given $v \in V$ and $\alpha \in V^*$, we may define $\mathscr{D}(v, \alpha) \in \mathfrak{g}$ by

$$(\mathscr{D}(v,\alpha),X) = \alpha(X \cdot v) \quad \text{for all } X \in \mathfrak{g}.$$
(39)

It follows easily that if $X \in \mathfrak{g}$ is perpendicular to the image of \mathscr{D} , then it obeys $\alpha(X \cdot v) = 0$ for all $\alpha \in V^*$ and $v \in V$, which is equivalent to $X \cdot v = 0$ for all $v \in V$. Since V is a faithful representation, this means that X = 0. This says that \mathscr{D} is a surjective linear map $V \otimes V^* \to \mathfrak{g}$. This allows us to define "3-brackets" mixing V and V^* by

$$\begin{array}{ccc} V \times V^* \times V \to V \\ (v, \alpha, w) \mapsto \mathscr{D}(v, \alpha) \cdot w \end{array} \quad \text{and} \quad \begin{array}{ccc} V \times V^* \times V^* \to V^* \\ (v, \alpha, \beta) \mapsto \mathscr{D}(v, \alpha) \cdot \beta \end{array} ,$$

which satisfy a version of the fundamental identity:

$$[\mathscr{D}(v,\alpha),\mathscr{D}(w,\beta)] = \mathscr{D}(\mathscr{D}(v,\alpha)\cdot w,\beta) + \mathscr{D}(w,\mathscr{D}(v,\alpha)\cdot\beta) .$$
(41)

One could not call this a ternary algebra, however, because we do not have a trilinear map on a single vector space: the "brackets" — if they could be called that — involve both V and V^* . One way to obtain an honest ternary algebra on V is to identify V and V^* g-equivariantly, which requires the existence of a g-invariant nondegenerate tensor in $V^* \otimes V^*$, e.g., an inner product. There are seven elementary types of inner products on a vector space V, but only three of them have a notion of signature. We concentrate on these because the inner product on V appears in the kinetic terms of the matter fields in the three-dimensional superconformal Chern–Simons theories and manifest unitarity would dictate that we use a positive-definite inner product. The three types of positive-definite inner product are real symmetric, complex hermitian and quaternionic hermitian. This means that V is a real orthogonal, complex unitary or quaternionic unitary representation of \mathfrak{g} , respectively. In the real and quaternionic cases, the inner product identifies V and V^{*} as representations of \mathfrak{g} , whereas in the complex case it is V^* and \overline{V} which are identified. In this latter case, by restricting scalars to the reals and in this way viewing V as a real representation (of twice the dimension) then we do have that again V and V^{*} are identified, whence the above general construction gives in each case a metric 3-Leibniz algebra subject perhaps to further axioms. The real case was already discussed above and as we saw gives rise to the 3-Leibniz algebras of Cherkis and Sämann. We will not discuss the quaternionic case here, and simply refer the reader to [28], but in the next lecture, we will concentrate instead in the complex case.

3. The metric 3-Leibniz algebras of the N=6 theories

In this third and last lecture we discuss in detail the case of the algebras underlying the N=6 theories. This lecture too is based on [28].

Aharony, Bergman, Jafferis and Maldacena (ABJM) [30] constructed an N=6 superconformal Chern–Simons theory dual to multiple M2-branes at an orbifold singularity. Although written down in a purely gauge-theoretic language, the model was reformulated in a 3-algebraic formalism by Bagger and Lambert in [31]. The 3-algebra underlying the simplest ABJM model is defined on the complex vector space V of $n \times n$ matrices with complex entries. The 3-bracket is given by

$$[x, y; z] = yz^{\dagger}x - xz^{\dagger}y , \qquad (42)$$

for all $x, y, z \in V$ and where z^{\dagger} is the hermitian adjoint of z. Although the 3-bracket is real trilinear, it is not complex trilinear due to the presence of the hermitian adjoint. Indeed, it is evident from (42) that the 3-bracket is complex linear in the first two entries and complex antilinear in the third — a fact that is reflected in the notation for the 3-bracket. It is similarly evident that it is skewsymmetric in the first two entries:

$$[x, y; z] = -[y, x; z] . (43)$$

What may not be so evident is that, in addition, the 3-bracket satisfies a version of the fundamental identity

$$[[z, v; w], x; y] - [[z, x; y], v; w] - [z, [v, x; y]; w] + [z, v; [w, y; x]] = 0.$$
(44)

Indeed, expanding each term, we get

$$\begin{split} & [[z,v;w],x;y] = xy^{\dagger}vw^{\dagger}z - xy^{\dagger}zw^{\dagger}v - vw^{\dagger}zy^{\dagger}x + zw^{\dagger}vy^{\dagger}x \\ & -[[z,x;y],v;w] = -vw^{\dagger}xy^{\dagger}z + vw^{\dagger}zy^{\dagger}x + xy^{\dagger}zw^{\dagger}v - zy^{\dagger}xw^{\dagger}v \\ & -[z,[v,x;y];w] = -xy^{\dagger}vw^{\dagger}z + vy^{\dagger}xw^{\dagger}z + zw^{\dagger}xy^{\dagger}v - zw^{\dagger}vy^{\dagger}x \\ & [z,v;[w,y;x]] = vw^{\dagger}xy^{\dagger}z - vy^{\dagger}xw^{\dagger}z - zw^{\dagger}xy^{\dagger}v + zy^{\dagger}xw^{\dagger}v , \end{split}$$

and adding them we see that the 16 monomials do indeed cancel pairwise. (The original fundamental identity in [31] is different, but as shown in [28, Lemma 14] they are equivalent for the class of algebras which obey (43).) Finally, the vector space V has a natural hermitian inner product:

$$h(x,y) = \operatorname{Tr} xy^{\dagger} , \qquad (45)$$

satisfying the following compatibility condition with the 3-bracket:

$$h([y, x; z], w) = h(y, [w, z; x]) .$$
(46)

Indeed, expanding the left-hand side, we find

$$h([y, x; z], w) = \operatorname{Tr}[y, x; z]w^{\dagger}$$

= $\operatorname{Tr}(xz^{\dagger}y - yz^{\dagger}x)w^{\dagger}$
= $\operatorname{Tr} xz^{\dagger}yw^{\dagger} - \operatorname{Tr} yz^{\dagger}xw^{\dagger}$
= $\operatorname{Tr} y(w^{\dagger}xz^{\dagger} - z^{\dagger}xw^{\dagger})$
= $\operatorname{Tr} y(zx^{\dagger}w - wx^{\dagger}z)^{\dagger}$
= $\operatorname{Tr} y[w, z; x]^{\dagger}$
= $h(y, [w, z; x])$.

3.1. Deconstructing the N=6 algebras. A complex hermitian vector space (V, h) with a bracket $(x, y, z) \mapsto [x, y; z]$, complex linear in the first two entries and antilinear in the third, satisfying properties (43), (44) and (46), can be deconstructed, as we did for metric 3-Lie algebras in the second lecture, into a metric Lie algebra \mathfrak{g} acting on V faithfully and preserving h. Let us first consider the map $V \times V \to \operatorname{End} V$ sending (x, y) to D(x, y) := [-, x; y]. Notice that this map is sesquilinear: complex linear in the first entry and complex antilinear in the second. In terms of this map, the fundamental identity (44) can be written as

$$[D(x,y), D(v,w)] = D(D(x,y) \cdot v, w) - D(v, D(y,x) \cdot w)$$
(47)

and the symmetry condition (46) can be written as

$$h(D(x,z) \cdot y, w) = h(y, D(z,x) \cdot w) .$$

$$\tag{48}$$

Equation (47) says that the image of D is a *complex* Lie subalgebra of $\mathfrak{gl}(V)$ denoted $\mathfrak{g}_{\mathbb{C}}$, as it will be seen to be the complexification of a real Lie algebra \mathfrak{g} .

To understand what \mathfrak{g} might be, we use the symmetry condition, which we would like to reinterpret as a unitarity condition. Of course, a complex Lie algebra cannot leave a hermitian inner product invariant: instead one has the condition

$$h(X \cdot u, v) = -h(u, \overline{X} \cdot v) , \qquad (49)$$

for all $X \in \mathfrak{g}_{\mathbb{C}}$ and where $X \mapsto \overline{X}$ is a conjugation on the Lie algebra, whose fixed point set is the real Lie algebra \mathfrak{g} we are after. From equation (48), we see that

$$\overline{D(x,z)} = -D(z,x) , \qquad (50)$$

whence \mathfrak{g} is spanned by the real parts

$$E(x,y) := D(x,y) + \overline{D(x,y)} = D(x,y) - D(y,x) .$$
(51)

An easy consequence of the fundamental identity (47) is that

$$[E(x,y), E(v,w)] = E(E(x,y) \cdot v, w) + E(v, E(x,y) \cdot w) .$$
(52)

Indeed, expanding the left-hand side and using (51), (47) and (51) again, we obtain

$$\begin{split} [E(x,y), E(v,w)] &= [D(x,y) - D(y,x), D(v,w) - D(w,v)] \\ &= D(D(x,y) \cdot v, w) - D(v, D(y,x) \cdot w) - D(D(y,x) \cdot v, w) \\ &+ D(v, D(x,y) \cdot w) - D(D(x,y) \cdot w, v) + D(w, D(y,x) \cdot v) \\ &+ D(D(y,x) \cdot w, v) - D(w, D(x,y) \cdot v) \\ &= E(E(x,y) \cdot v, w) + E(v, E(x,y) \cdot w) \;. \end{split}$$

As in the second lecture, it is easy to show that \mathfrak{g} is metric, relative to the inner product

$$(E(x,y), E(u,v)) = \operatorname{Re} h(E(x,y) \cdot u, v) , \qquad (53)$$

which can again be shown to be symmetric, nondegenerate and \mathfrak{g} -invariant. Let us prove each property in turn. To prove symmetry we simply calculate:

$$\begin{split} h(E(x,y) \cdot u,v) &= h(D(x,y) \cdot u,v) - h(D(y,x) \cdot u,v) \\ &= h([u,x;y],v) - h([u,y;x],v) \\ &= -h([x,u;y],v) + h([y,u;x],v) & \text{using (43)} \\ &= -h(x,[v,y;u]) + h(y,[v,x;u]) & \text{using (46)} \\ &= h(x,[y,v;u]) - h(y,[x,v;u]) & \text{using (43) again} \\ &= h(x,D(v,u) \cdot y) - h(y,D(v,u) \cdot x) \\ &= h(D(u,v) \cdot x,y) - \overline{h(D(v,u) \cdot x,y)} , \end{split}$$

whence taking real parts we find

$$\operatorname{Re}h(E(x,y)\cdot u,v) = \operatorname{Re}h(E(u,v)\cdot x,y)$$
.

To prove nondegeneracy, let us assume that some linear combination $X := \sum_i E(x_i, y_i)$ is orthogonal to all E(u, v), so that

$$\operatorname{Re}h(X \cdot u, v) = 0$$
 for all $u, v \in V$.

Now, since h is nondegenerate, so is Reh because Imh(x, y) = Reh(-ix, y), whence this means that $X \cdot u = 0$ for all u, showing that the endomorphism X = 0. Finally, we show that it is **g**-invariant. Using (52), we find

$$\begin{split} (E(z,w), [E(x,y), E(u,v)]) &= (E(z,w), E(E(x,y) \cdot u, v) + E(u, E(x,y) \cdot v)) \\ &= \operatorname{Reh}(E(z,w) \cdot E(x,y) \cdot u, v) + \operatorname{Reh}(E(z,w) \cdot u, E(x,y) \cdot v) \\ &= \operatorname{Reh}(E(z,w) \cdot E(x,y) \cdot u, v) - \operatorname{Reh}(E(x,y) \cdot E(z,w) \cdot u, v) \\ &= \operatorname{Reh}([E(z,w), E(x,y)] \cdot u, v) \\ &= ([E(z,w), E(x,y)], E(u,v)) \ , \end{split}$$

which is the ad-invariance of the inner product on \mathfrak{g} .

For example, for the algebra of equation (42), one finds

$$D(y,z) \cdot x = yz^{\dagger}x - xz^{\dagger}y \implies E(y,z) \cdot x = (yz^{\dagger} - zy^{\dagger})x + x(y^{\dagger}z - z^{\dagger}y) .$$
(54)

The $n \times n$ matrices $yz^{\dagger} - zy^{\dagger}$ and $y^{\dagger}z - z^{\dagger}y$ are skewhermitian, whence in $\mathfrak{u}(n)$. Their traces sum to zero, whence only the $\mathfrak{su}(n)$ components act effectively on V. In other words, $\mathfrak{g} = \mathfrak{su}(n) \oplus \mathfrak{su}(n)$ and V is the bifundamental representation $(\boldsymbol{n}, \overline{\boldsymbol{n}})$. The inner product (53) on \mathfrak{g} has split signature, being given by the difference of the traces in the fundamental representations:

$$(X_L \oplus X_R, Y_L \oplus Y_R) = \operatorname{Tr} X_L Y_L - \operatorname{Tr} X_R Y_R , \qquad (55)$$

for all $X_L, X_R, Y_L, Y_R \in \mathfrak{su}(n)$.

3.2. Reconstructing the N=6 algebras. Conversely, let us start with a metric Lie algebra \mathfrak{g} with inner product (-, -) and a faithful complex unitary representation (V, h). (In our somewhat unusual conventions, the hermitian inner product is complex antilinear in the second argument.) We will reconstruct a 3-bracket $(x, y, z) \mapsto [x, y; z]$ on V, complex linear in the first two entries and antilinear in the third, obeying both the fundamental identity (44) and the symmetry condition (46), but not in general the skewsymmetry condition (43), which lands

us in a similar situation as with the metric 3-Lie algebras. This then prompts us to ask how to characterise those 3-algebras which obey (43) and we will see that they are characterised in terms of certain kinds of metric Lie superalgebras, in agreement with an observation in [32] based on [33, 34].

Let $\mathfrak{g}_{\mathbb{C}}$ denote the complexification of \mathfrak{g} . We extend the inner product complex bilinearly in such a way that $\mathfrak{g}_{\mathbb{C}}$ becomes a complex metric Lie algebra. Similarly we extend the action of \mathfrak{g} on V to an action of $\mathfrak{g}_{\mathbb{C}}$, using the fact that V is already a complex vector space. This action remains faithful, but it is no longer unitary. Instead, we have

$$h(X \cdot v, w) = -h(v, \overline{X} \cdot w) , \qquad (56)$$

for all $v, w \in V$ and $X \in \mathfrak{g}_{\mathbb{C}}$ with \overline{X} its complex conjugate. Given $v, w \in V$, we define $D(v, w) \in \mathfrak{g}_{\mathbb{C}}$ by transposing the action of $\mathfrak{g}_{\mathbb{C}}$ on V. Explicitly, we have

$$(D(v,w),X) = h(X \cdot v,w) , \qquad (57)$$

which shows that D(v, w) is complex linear in v, but complex antilinear in w, whence it defines a sesquilinear map $D: V \times V \to \mathfrak{g}_{\mathbb{C}}$. As before, we see that image of D is all of $\mathfrak{g}_{\mathbb{C}}$, since if Xis perpendicular to the image of D, it must annihilate all $v \in V$, and since the representation is faithful, then X = 0.

Complex conjugating (57), we find

$$(\overline{D(v,w)},\overline{X}) = \overline{h(X \cdot v,w)} \qquad \text{by (57)}$$
$$= h(w, X \cdot v) \qquad \text{since } h \text{ is hermitian}$$
$$= -h(\overline{X} \cdot w, v) \qquad \text{by (56)}$$
$$= -(D(w,v),\overline{X}) ,$$

whence

$$\overline{D(v,w)} = -D(w,v) .$$
(58)

Now let $X \in \mathfrak{g}_{\mathbb{C}}$ and $v, w \in V$. Then for all $Y \in \mathfrak{g}_{\mathbb{C}}$ we have,

$$\begin{split} ([D(v,w),X],Y) &= (D(v,w),[X,Y]) & \text{since } (-,-) \text{ is invariant} \\ &= h([X,Y] \cdot v,w) & \text{by } (57) \\ &= h(X \cdot Y \cdot v,w) - h(Y \cdot X \cdot v,w) & \text{since } V \text{ is a representation} \\ &= -h(Y \cdot v,\overline{X} \cdot w) - h(Y \cdot X \cdot v,w) & \text{by } (56) \\ &= -\left(D(v,\overline{X} \cdot w),Y\right) - (D(X \cdot v,w),Y) & \text{again by } (57) , \end{split}$$

whence abstracting Y,

$$[X, D(v, w)] = D(X \cdot v, w) + D(v, \overline{X} \cdot w) .$$
⁽⁵⁹⁾

Substituting X = D(x, y), whence $\overline{X} = -D(y, x)$, we obtain equation (47). This in turn is equivalent to the fundamental identity (44) for the " $2\frac{1}{2}$ -bracket" $V \times V \times V \to V$ defined by

$$[x,y;z] := D(y,z) \cdot x$$
 .

In terms of this bracket, equation (56) becomes (46), whereas the symmetry of the inner product on $\mathfrak{g}_{\mathbb{C}}$, applied to (D(x, y), D(v, w)) becomes

$$h([x, v; w], y) = h([v, x; y], w) .$$
(60)

However the condition (43) does not follow from the construction and must be imposed by hand. This prompts the question of how to characterise the data \mathfrak{g} , (-, -) and (V, h) such that condition (43) is satisfied. We still don't know how to do this a priori, but we can nevertheless

characterise those algebras which do in terms of metric Lie superalgebras. The details appear in [28, Section 3.3]. To summarise, condition (43) turns out to be one component of the Jacobi identity in a complex Lie superalgebra with underlying vector space $\mathfrak{g}_{\mathbb{C}} \oplus (V \oplus \overline{V})$ and whose only nonvanishing odd-odd bracket is given by $D: V \otimes V \to \mathfrak{g}_{\mathbb{C}}$, which being sesquilinear means that $[V, V] = [\overline{V}, \overline{V}] = 0$. Furthermore this complex Lie superalgebra is the complexification of a metric real Lie superalgebra with underlying vector space $\mathfrak{g} \oplus [V]$, where [V] is the real vector space obtained from V by restricting scalars to \mathbb{R} or, equivalently, $[V] \otimes \mathbb{C} = V \oplus \overline{V}$.

In summary, there is a one-to-one correspondence between the metric 3-algebras in the Bagger-Lambert description of the N=6 theories of ABJM-type and metric real Lie superalgebras $\mathfrak{g} \oplus \llbracket V \rrbracket$ with V a complex unitary representation of \mathfrak{g} whose only nonvanishing odd-odd brackets are of mixed type. For the example (42), the corresponding Lie superalgebra is the real form $\mathfrak{psu}(n|n)$ of the simple Lie superalgebra A(n-1, n-1).

The emerging picture is thus the following: three-dimensional Chern–Simons + matter theories admit a formulation in terms of metric ternary algebras, which can be constructed from a metric Lie algebra and a faithful unitary representation. The generic ternary algebras obtained in this way should correspond to theories with $N \leq 3$ supersymmetry, whereas for $N \geq 4$ supersymmetry, we need to specialise to ternary algebras obeying additional symmetry conditions, as we have seen for the N=6 and the N=8 theories in this and the previous lectures. The precise dictionary between the amount of supersymmetry and the type of ternary algebra is the subject of a forthcoming publication.

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