

# Algebraic Topology

## Notes of the Lecture by G. Mislin

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## 0 Introduction

### 0.1 Literature

The book by Allen Hatcher is available for download online!

### 0.2 Exercises

[www.math.ethz.ch/~mislin](http://www.math.ethz.ch/~mislin) (click on “Algebraic Topology”)

### 0.3 Preliminary Remarks

We will use the language of categories (not the theory, however, so don't worry).

The category  $\underline{\text{Top}}$  consists of topological spaces  $X, Y$ , etc. (objects) and continuous maps  $X \rightarrow Y$  (morphisms) between them.

Some “algebraic” categories:

- $\underline{\text{Ab}}$ , the category of abelian groups  $A, B, \dots$  with group homomorphisms between them.
- $\underline{\text{Gr}}$ , the category of groups.

We will now relate these categories to each other by means of functors:

$$F : \quad \underline{\text{Top}} \longrightarrow \underline{\text{Gr}}$$

$$\begin{array}{ccc} X & \longmapsto & F(X) \\ f \downarrow & & \downarrow F(f) \\ Y & \longmapsto & F(Y) \end{array}$$

Define  $\underline{\text{Top}}_*$  as the category of pointed topological spaces ( $X$  with a fixed base-point  $x_0 \in X$ , with base-point preserving continuous maps).

Then the fundamental group  $\pi_1$  is an example of a functor:

$$(f : X \rightarrow Y) \mapsto (\pi_1 f : \pi_1 X \rightarrow \pi_1 Y)$$

Typical problems:

- “ $\mathbb{R}^n \cong \mathbb{R}^m \stackrel{?}{\Rightarrow} n = m$ ”

This is interesting because it is actually possible to *continuously* map the unit interval onto the unit square using peano curves!

- “Vector fields on  $S^2$  are singular” where a vector field on  $S^2$  is a continuous map

$$\begin{aligned} v : S^2 &\rightarrow \mathbb{R}^3 \\ x &\mapsto v(x) \end{aligned}$$

such that  $v(x) \cdot x = 0$  and a singular point is a zero of  $v$ . (See chapter on Lefschetz numbers.)

## 1 Some basic notions concerning topological spaces

**Definition 1.1** Let  $\underline{\text{Top}}$  be the category of topological spaces. For  $X, Y \in \underline{\text{Top}}$  we have the “morphism set”

$$C(X, Y) = \{f : X \rightarrow Y \mid f \text{ continuous}\}$$

$f : X \rightarrow Y$  in  $\underline{\text{Top}}$  is a homeomorphism if there is a  $g : Y \rightarrow X$  in  $\underline{\text{Top}}$  such that  $g \circ f = \text{id}_X, f \circ g = \text{id}_Y$ .

We write  $X \cong Y$  if  $X, Y \in \underline{\text{Top}}$  are homeomorphic.

**Definition 1.2**  $X \in \underline{\text{Top}}$  is called discrete if all subsets of  $X$  are open.

Note that  $f : X \rightarrow ?$  continuous for all  $f \iff X$  discrete. (Proof: If  $f : X \rightarrow ?$  is always continuous, choose  $A \subset X$ , and consider  $\chi_A : X \rightarrow \{0, 1\}, \{0, 1\}$  with the discrete topology. Since  $\chi_A$  is continuous,  $\chi_A^{-1}(1)$  is open, and this is true for all  $A \in X$ .)

**Definition 1.3**  $X \in \underline{\text{Top}}$  is indiscrete, if only  $\emptyset \subset X$  and  $X \subset X$  are open. (“coarsest topology”) Note:  $X$  indiscrete  $\iff$  every  $? \rightarrow X$  is continuous.

**Definition 1.4**  $X \in \underline{\text{Top}}$  is called compact if  $X$  is Hausdorff and every open cover of  $X$  admits a finite subcover.

**Definition 1.5**  $X \in \underline{\text{Top}}$  is called locally compact if every  $x \in X$  has a compact neighbourhood. (Here we do not assume  $X$  to be Hausdorff.)

**Definition 1.6**  $X \in \underline{\text{Top}}$  is called compactly generated if  $A \cap C$  closed in  $C$  for every compact  $C \subset X$  implies  $A \subset X$  closed (in  $X$ ).

**Example**  $X$  compact  $\Rightarrow$  compactly generated (Take  $A \subset X$  with  $A \cap C$  closed in  $C$  for all compact  $C \subset X$ : so for  $X = C$ :  $A \cap X = A \subset C$  closed :  $A$  closed in  $X$ ).

Also:  $\mathbb{R}^n$  compactly generated.

**Remark** Let  $X$  be compactly generated. To prove that  $C \subset X \xrightarrow{f} Y$  is continuous, we only need to check that  $f|_C$  is continuous for all  $C \subset X$  compact.

## 1.1 Quotient spaces

**Definition 1.7** Let  $X \in \underline{\text{Top}}$ , then  $Y \in \underline{\text{Top}}$  is a quotient space of  $X$  with respect to  $\pi : X \rightarrow Y$ , a surjective map, if  $A \subset Y$  closed  $\iff \pi^{-1}(A) \subset X$  closed. We then say “ $Y$  has the quotient topology”.

Typical situation:  $X \in \underline{\text{Top}}$  and “ $\sim$ ” an equivalence relation on  $X$ . Then  $X/\sim \in \underline{\text{Top}}$  is the space of equivalence classes, with the topology “ $A \subset X/\sim$  closed  $\iff \pi^{-1}(A) \subset X$  closed” where  $\pi : X \rightarrow X/\sim$  is the projection onto equivalence classes.  $X/\sim$  is a quotient space of  $X$ .

**Note** If  $Y \in \underline{\text{Top}}$  is a quotient space of  $X$  with respect to  $f : X \rightarrow Y$  (a surjective map) then  $Y \cong X/\sim$  where “ $\sim$ ” is defined by  $x_1 \sim x_2 \iff f(x_1) = f(x_2)$ ,  $x_1, x_2 \in X$

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \pi \downarrow & \nearrow \bar{f} & \\ X/\sim & & \end{array}$$

$f$  is constant on equivalence classes,  $\bar{f}$  is continuous ( $A \subset Y$  closed  $\implies \bar{f}^{-1}(A)$  closed because  $\pi^{-1}\bar{f}^{-1}(A) = f^{-1}(A)$  is closed.) and  $\bar{f}$  is a bijection of closed subsets  $\implies \bar{f}$  a homeomorphism.

**Definition 1.8** Let  $A \subset X \in \underline{\text{Top}}$ ,  $A \neq \emptyset$ , then:

$$X/A := X/\sim$$

where  $x_1 \sim x_2 \iff x_1 = x_2$  or  $x_1, x_2 \in A$

**Example**  $[0, 1]/\{0, 1\} \cong S^1$

**Theorem 1.9**  $\emptyset \neq A \subset X$  in  $\underline{\text{Top}}$ :  $X/A$  has the following universal property:

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \text{can} \downarrow & \nearrow \exists!(f/A) & \\ X/A & & \end{array}$$

for every  $f$  constant on  $A$ .

**Example**  $A \subset B$  in  $\underline{\text{Gr}}$  (i.e. a subgroup):

$$\begin{array}{ccc} B & \xrightarrow{f} & C \\ \downarrow & \nearrow & \\ \text{"B/A"} & & \end{array}$$

with  $f$  constant on  $A$  ( $f|_A = 0$ ). Take " $B/A$ " to be  $B/N(A)$ , where  $N(A)$  is the smallest normal subgroup containing  $A$ .

**Definition 1.10** Let  $X \in \underline{\text{Top}}$ . The subsets  $A \subset X$ , such that  $A \cap C$  closed in  $C$  for all compact  $C \subset \overline{X}$ , form the closed subsets of a topology on  $X$ , called the compactly generated topology of  $X$ . We write  $X_K$  for  $X$  with this topology.

**Note**  $\text{id} : X_K \rightarrow X$  is continuous.  $X$  itself is called compactly generated, if  $\text{id} : X \rightarrow X_K$  is continuous as well.

## 1.2 Products and Coproducts in Top

**Definition 1.11** Let  $\underline{\mathcal{C}}$  be a category, and  $A, B \in \underline{\mathcal{C}}$ . Then  $A \amalg B \in \underline{\mathcal{C}}$  together with  $p_A : A \amalg B \rightarrow A$ ,  $p_B : A \amalg B \rightarrow B$  is called a product of  $A$  and  $B$ , if it has the following universal property:

$$\begin{array}{ccc} & & A \\ & \nearrow f & \\ C & \xrightarrow{\exists! \langle f, g \rangle} & A \amalg B \\ & \searrow g & \\ & & B \end{array}$$

From the topology course of last semester, we know that " $\underline{\text{Top}}$  has products":  $X \times Y$  with the *product topology* and  $p_X, p_Y$  the canonical projections.

**Definition 1.12** Let  $\underline{\mathcal{C}}$  be a category, and  $A, B \in \underline{\mathcal{C}}$ . Then  $A \amalg B \in \underline{\mathcal{C}}$  together with  $i_A : A \rightarrow A \amalg B$ ,  $i_B : B \rightarrow A \amalg B$  is called a coproduct of  $A$  and  $B$ , if it has the following universal property:

$$\begin{array}{ccc} A & \xrightarrow{f} & C \\ \downarrow i_A & \searrow & \\ A \amalg B & \xrightarrow{\exists! \langle f, g \rangle} & C \\ \uparrow i_B & \nearrow g & \\ B & & \end{array}$$

**Theorem 1.13**  $\underline{\text{Top}}$  has coproducts:  $X, Y \in \underline{\text{Top}}$ . We write  $X \amalg Y \in \underline{\text{Top}}$  for the disjoint union of  $X$  and  $Y$  with the topology coming from the open subsets in  $X$  and  $Y$ , and  $i_X, i_Y$  the canonical inclusions.

**Definition 1.14**  $X \in \underline{\text{Top}}$  is called *connected*, if for any two open, disjoint  $A, B \subset X$  such that  $A \cup B = X$ , it follows that  $A = \emptyset$  or  $B = \emptyset$ . (Equivalently: every map  $X \rightarrow \{0, 1\}$ , where  $\{0, 1\}$  has the discrete topology, is constant.)

**Fact**  $X, Y \in \underline{\text{Top}}$  connected  $\iff X \times Y$  connected.

**Corollary 1.15**  $\mathbb{R} \not\cong \mathbb{R}^2$ .

**Proof** If  $\phi : \mathbb{R} \xrightarrow{\cong} \mathbb{R}^2$ , then

$$\phi|(\mathbb{R} \setminus \{0\}) : \underbrace{\mathbb{R} \setminus \{0\}}_{\text{not conn.}} \xrightarrow{\cong} \underbrace{\mathbb{R}^2 \setminus \{\phi(0)\}}_{\text{connected}}$$

which is a contradiction to the above fact. □

### 1.3 Pullback and Pushout in Top

**Definition 1.16** Consider the diagram

$$\begin{array}{ccc} & & Y \\ & & \downarrow g \\ X & \xrightarrow{f} & Z \end{array}$$

in  $\underline{\text{Top}}$ . Then the pullback of  $f$  and  $g$  is  $X \amalg_Z Y \in \underline{\text{Top}}$  given by

$$X \amalg_Z Y := \{(x, y) \in X \times Y \mid f(x) = g(y)\} \subset X \times Y$$

(with subspace topology).

**Lemma 1.17**  $X \amalg_Z Y$  has the following universal property:

$$\begin{array}{ccccc} & & & X & \\ & & \alpha & \nearrow & \\ & & & p_X & \\ W & \overset{\exists!}{\dashrightarrow} & X \amalg_Z Y & & Z \\ & & p_Y & \searrow & \\ & & \beta & \searrow & \\ & & & Y & \end{array}$$



**Proof**  $h$  is given by  $\{\alpha, \beta\} : W \rightarrow X \times Y$  which maps into  $X \amalg_Z Y$ , because we assumed  $f \circ \alpha = g \circ \beta$ .  $\square$

**Note**

$$\begin{array}{ccc} & & Y \\ & & \downarrow \\ X & \longrightarrow & \{\bullet\} \end{array}$$

yields  $X \amalg_{\{\bullet\}} Y = X \times Y$  ( $\{\bullet\}$ : terminal object in  $\underline{\text{Top}}$ ).

**Definition 1.18** Consider the diagram

$$\begin{array}{ccc} Z & \xrightarrow{g} & Y \\ f \downarrow & & \\ X & & \end{array}$$

in  $\underline{\text{Top}}$ . Then the pushout  $X \amalg_Z Y \in \underline{\text{Top}}$  of  $f$  and  $g$  is given by  $X \amalg Y / \sim$  where  $i_X f(z) \sim i_Y g(z)$  for all  $z \in Z$ .

**Lemma 1.19**  $X \amalg_Z Y$  has the following universal property:

$$\begin{array}{ccccc} & & Y & & \\ & g \nearrow & & \searrow i_Y & \\ Z & & & & X \amalg_Z Y \xrightarrow{\exists!} W \\ & f \searrow & & \nearrow i_X & \\ & & X & & \end{array}$$

Sometimes we write  $X \cup_Z Y$  instead of  $X \amalg_Z Y$ .

**Note**  $\emptyset \xrightarrow{\exists!} X \in \underline{\text{Top}}$ :  $\emptyset$  is an initial object in  $\underline{\text{Top}}$ .

$$\begin{array}{ccc} \emptyset & \longrightarrow & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & X \amalg_{\emptyset} Y \end{array}$$

so  $X \amalg_{\emptyset} Y = X \amalg Y$ .

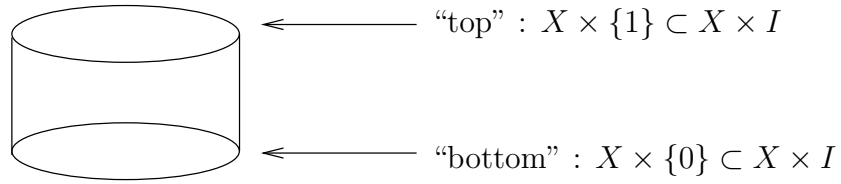


Figure 1: Cylinder on  $X$

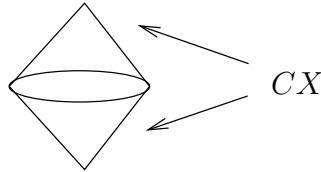


Figure 2: Suspension of  $X$

## 1.4 Cone and Suspension

**Definition 1.20** Let  $I := [0, 1] \in \underline{\text{Top}}$  be the unit interval,  $X \in \underline{\text{Top}}$ . Then  $X \times I$  is called the cylinder on  $X$  (figure 1) and

$$CX := (X \times I)/(X \times \{1\})$$

the cone on  $X$ .

**Definition 1.21**  $\Sigma X := CX \amalg_X CX$  is the suspension of  $X$ :

$$\begin{array}{ccc} X & \xrightarrow{i} & CX \\ i \downarrow & & \downarrow \\ CX & \longrightarrow & \Sigma X \end{array}$$

where  $i : X \hookrightarrow CX$ ,  $x \mapsto \overline{(x, 0)}$  is the canonical inclusion (mapping points to equivalence classes).

From figure 2, it follows that  $\Sigma X \cong CX/(X \times \{0\})$ .

**Example**  $\Sigma S^n \cong S^{n+1}$

## 1.5 Homotopy

**Definition 1.22**  $f, g : X \rightarrow Y$  in  $\underline{\text{Top}}$  are called homotopic, and we write  $f \simeq g$ , if  $\exists F : X \times I \rightarrow Y$  with  $F(x, 0) = f(x)$  and  $F(x, 1) = g(x)$ . We call  $F$  a homotopy from  $f$  to  $g$ , and write  $F : f \simeq g$ .

“ $\simeq$ ” is an equivalence relation on  $C(X, Y)$ ; write

$$[X, Y] := C(X, Y)/\simeq$$

Homotopy is compatible with composition: If

$$X \begin{array}{c} \xrightarrow{f} \\ \xrightarrow{g} \end{array} Y \begin{array}{c} \xrightarrow{\alpha} \\ \xrightarrow{\beta} \end{array} Z \begin{array}{c} \xrightarrow{u} \\ \xrightarrow{v} \end{array} W$$

and  $f \simeq g$ ,  $\alpha \simeq \beta$ ,  $u \simeq v$ , then:

$$\begin{aligned} \alpha \circ f &\simeq \beta \circ g \\ u \circ \alpha &\simeq v \circ \beta \\ u \circ \alpha \circ f &\simeq v \circ \beta \circ g \end{aligned}$$

so we can define the homotopy category of topological spaces:

**Definition 1.23**  $X, Y \in \underline{\text{Top}}$ ,  $f : X \rightarrow Y$  a continuous map. If there exists a continuous map  $g : Y \rightarrow X$  such that  $f \circ g \simeq \text{id}_Y$  and  $g \circ f \simeq \text{id}_X$ , then  $f$  is a homotopy equivalence.

$X$  and  $Y$  are called homotopy equivalent if there is a homotopy equivalence between them.

**Definition 1.24**  $\underline{\text{HTop}}$  is the category consisting of topological spaces as objects and  $\text{mor}(X, Y) := [X, Y]$  as morphisms. “Isomorphisms” in this category are homotopy equivalences (i.e.  $X, Y \in \underline{\text{Top}}$  are “isomorphic” if they are homotopy equivalent).

**Example**  $\mathbb{R}^n \simeq \mathbb{R}^m$ , because  $\mathbb{R}^n \simeq \{\cdot\} \simeq \mathbb{R}^m$ . Let:

$$\begin{aligned} F : \mathbb{R}^n \times I &\rightarrow \mathbb{R}^n \\ (x, t) &\mapsto tx \end{aligned}$$

then  $F(x, 1) = \text{id}_{\mathbb{R}^n}(x)$ ,  $F(\cdot, 0) = (0 : \mathbb{R}^n \xrightarrow{0} \mathbb{R}^n)$  i.e.  $F : 0 \simeq \text{id}_{\mathbb{R}^n}$ .  
 $\mathbb{R}^n \simeq \{\cdot\}$ :

$$f : \mathbb{R}^n \rightarrow \{\cdot\}, \quad g : \{\cdot\} \rightarrow \mathbb{R}^n, \cdot \mapsto 0$$

$f \circ g = \text{id}_{\{\cdot\}}$  and  $g \circ f = (x \mapsto 0) \simeq \text{id}_{\mathbb{R}^n}$

**Definition 1.25**  $X \in \underline{\text{Top}}$  is called contractible, if  $X \simeq \{\cdot\}$ .

**Example**  $\emptyset \neq X \in \underline{\text{Top}} \Rightarrow CX \simeq \{\bullet\}$ . Proof:

$(CX \xrightarrow{\exists!} \{\bullet\} \xrightarrow{\text{“cone point”}} CX) \simeq \text{id}_{CX}$ , where the equivalence is induced by:

$$\begin{aligned} \tilde{F} : (X \times I) \times I &\rightarrow X \times I \\ ((x, s), t) &\mapsto (x, (1-t)s + t) \end{aligned}$$

**Definition 1.26**  $A \xrightarrow{i} X \in \underline{\text{Top}}$  is called a retract if:  $\exists r : X \rightarrow A$ , s.t.  $r \circ i = \text{id}_A$  where  $i$  is the inclusion of  $A$  in  $X$ .

A retract is called a deformation retract if it satisfies the additional condition:  $i \circ r \simeq \text{id}_X$  with a homotopy  $F : X \times I \rightarrow X$  satisfying  $\forall a \in A, \forall t \in I : F(a, t) = a$ .

**Example**  $\{\text{cone point}\} \subset CX$  is a deformation retract.

**Definition 1.27** Let  $f : X \rightarrow Y$  be in  $\underline{\text{Top}}$ .

$$M_f := ((X \times I) \amalg Y) / \langle (x, 0) \sim f(x) \rangle$$

is called the mapping cylinder of  $f$ .

**Definition 1.28** Let  $f : X \rightarrow Y$  be in  $\underline{\text{Top}}$ .

$$C_f := M_f / (X \times \{1\})$$

is called the mapping cone of  $f$ .

Obviously,  $Y \xrightarrow{\text{can}} M_f$  is a deformation retract ( $\Rightarrow M_f \simeq Y$ ).

$$\text{can} : \begin{array}{ccc} x & & X \xrightarrow{f} Y \\ \downarrow & & \downarrow \cong \nearrow \text{retraction } r \\ (x, 1) & & M_f \end{array}$$

The canonical inclusion is a so called “cofibration” (see later).

**Note**  $C_f/Y \cong \Sigma X$

**Definition 1.29** Given  $f : X \rightarrow Y$ , a sequence:

$$X \xrightarrow{f} Y \rightarrow C_f \rightarrow \Sigma X \xrightarrow{\Sigma f} \Sigma Y \rightarrow C_{\Sigma f} \rightarrow \Sigma^2 X \rightarrow \dots$$

is called a mapping cone sequence (*Puppe sequence*).

**Definition 1.30** Let  $X, Y \in \underline{\text{Top}}$ , and  $C(X, Y) := \{X \xrightarrow{\text{cont}} Y\}$ , then

$$M(K, U) := \{f \in C(X, Y) \mid f(K) \subset U\}$$

where  $K \subset X$  compact and  $U \subset Y$  open, defines a subbasis of the compact-open topology (co-topology) on  $C(X, Y)$ .

Notation:  $CO(X, Y) \in \underline{\text{Top}}$  denotes  $C(X, Y)$  with this topology.

**Definition 1.31**  $x_0 \in X$ , the map defined by:

$$\begin{aligned} \text{ev}_{x_0} : C(X, Y) &\rightarrow Y \\ f &\mapsto f(x_0) =: \text{ev}_{x_0}(f) \end{aligned}$$

is called the evaluation map.

**Note**  $\text{ev}_{x_0}$  is continuous. Proof:  $U \subset Y$  open  $\Rightarrow \text{ev}_{x_0}^{-1}(U) = \{f \in C(X, Y) \mid f(x_0) \in U\} = M(\underbrace{\{x_0\}}_{\text{compact}}, U)$  open in  $CO(X, Y)$ .

Problem: in sets

$$\{X \times Y \xrightarrow{f} Z\} \xleftrightarrow{\text{bij}} \left\{ \begin{array}{l} \check{f} : X \rightarrow \text{maps}(Y, Z) \\ x \mapsto \check{f}(x) = (y \mapsto f(x, y)) \end{array} \right\}$$

**Theorem 1.32**  $X, Y, Z \in \underline{\text{Top}}$ ,  $Y$  locally compact, then there is a canonical isomorphism:  $C(X \times Y, Z) \xrightarrow{\cong} C(X, CO(Y, Z))$ .

**Example**  $Y = I = [0, 1]$

$$\left\{ \begin{array}{l} X \times I \rightarrow Z \\ \text{"homotopy"} \end{array} \right\} \xleftrightarrow{\text{bij}} \left\{ \begin{array}{l} X \rightarrow \underbrace{CO(I, Z)}_{Z^I, \text{"path space on } Z"} \end{array} \right\}$$

## 1.6 Pairs of topological spaces

**Definition 1.33** Let  $X \in \underline{\text{Top}}$ , the category whose objects are pairs  $(X, A)$  with  $A \subset X$  a subspace, and morphisms  $f : (X, A) \rightarrow (Y, B)$  with  $f : X \rightarrow Y \in \underline{\text{Top}}$ ,  $f(A) \subset B$  is called the category of pairs  $(\underline{\text{Top}}^2)$ .

**Note** We have a functor  $\underline{\text{Top}} \rightarrow \underline{\text{Top}}^2$ , given by  $X \mapsto (X, \emptyset)$ .

**Definition 1.34**  $X \in \underline{\text{Top}}^2$  with  $A = \{x_0\}$  (the base-point) is called a pointed topological space, and the category containing these spaces is the category of pointed topological spaces ( $\underline{\text{Top}}_\bullet$ ). Morphisms in this category are base-point preserving maps, and homotopies are always assumed to be based (i.e. base-point preserving).

**Note**  $\underline{\text{Top}}_\bullet \subset \underline{\text{Top}}^2$

**Definition 1.35** If  $X, Y \in \underline{\text{Top}}_\bullet$ , then  $X \simeq_\bullet Y$  denotes a based homotopy equivalence,  $\underline{\text{HTop}}_\bullet$  is the associated homotopy category.

We usually think of  $0 \in [0, 1]$  to be the base-point of  $[0, 1] \in \underline{\text{Top}}_\bullet$ .

**Definition 1.36 (wedge product)** The coproduct (see 1.12) in  $\underline{\text{Top}}_\bullet$  is defined as:

$$X \vee Y := (X \amalg Y) / \langle x_0 \sim y_0 \rangle$$

where  $x_0, y_0$  are the base-points of  $X, Y$ , and  $\bar{x}_0 = \bar{y}_0$  is the base-point of  $X \vee Y$ .

## 1.7 Mapping spaces

Let  $X, Y \in \underline{\text{Top}}_\bullet$  with base points  $x_0, y_0$ , then  $X \times Y \in \underline{\text{Top}}_\bullet$  with base point  $(x_0, y_0)$ . Consider the “forget” functor  $X \times Y \rightarrow Z$ , with  $Z \in \underline{\text{Top}}_\bullet$ . As above,  $CO(X, Y)$  denotes  $C(X, Y)$  with the compact-open topology. We want a correspondence:

$$(f : X \times Y \rightarrow Z) \leftrightarrow (\check{f} : X \rightarrow CO_\bullet(Y, Z))$$

**Definition 1.37**

$$CO_\bullet(X, Y) := \{f \in CO(X, Y) \mid f(x_0) = y_0\}$$

with the constant map  $c : x \mapsto y_0$  as base-point.

$CO_\bullet(X, Y) \subset CO(X, Y)$  with subspace topology.  $\check{f}$  should be based ( $x_0 \mapsto c$ ), i.e.

$$\check{f}(x_0)(y) = f(x_0, y) = z_0$$

$\Rightarrow f$  must map  $\{x_0\} \times Y$  to  $\{z_0\}$ . Similarly,  $\check{f}(x)(y_0) = f(x, y_0) = z_0$ . This motivates the following definition.

**Definition 1.38 (smash product)**

$$X \wedge Y := (X \times Y) / (X \vee Y)$$

**Theorem 1.39** Let  $X, Y, Z \in \underline{\text{Top.}}$ ,  $Y$  locally compact, and define  $C.(X, Y)$  to be the set of pointed maps  $X \rightarrow Y$ . Then

$$C.(X \wedge Y, Z) \xrightarrow{\text{bij}} C.(X, CO.(Y, Z))$$

**Example**  $S^1 \wedge X \xrightarrow{\text{can}} \Sigma X$  ( $SX := S^1 \wedge X$  is called the *reduced suspension* of  $X$ ). We can set e.g.  $Y = S^1$ , then

$$C.(X \wedge S^1, Z) \xrightarrow{\text{bij}} C.(X, \Omega Z)$$

where  $\Omega Z$  denotes the *loop space*  $CO.(S^1, Z)$  (which consists of the loops in  $Z$  at the base-point  $z_0$ ).

So we have

$$\underline{\text{Top.}} \xrightleftharpoons[\Omega]{S} \underline{\text{Top.}}$$

where

$$\begin{aligned} S(X) &= SX = S^1 \wedge X \\ \Omega(X) &= \Omega X = CO.(S^1, X) \end{aligned}$$

( $S$  left-adjoint to  $\Omega$ ,  $\Omega$  right-adjoint to  $S$ ) and we get a natural bijection

$$C.(SX, Y) \xrightarrow{\cong} C.(X, \Omega Y)$$

Furthermore we can pass to the homotopy categories

$$\underline{\text{HTop.}} \xrightleftharpoons[\Omega]{S} \underline{\text{HTop.}}$$

and get

$$[SX, Y] \xrightarrow{\text{bij}} [X, \Omega Y].$$

i.e.  $S, \Omega$  is still a pair of adjoint functors. (see Hatcher, p.530, discussion after Prop.A.14)

## 1.8 Homotopy groups

**Definition 1.40 (fundamental group)** Let  $X \in \underline{\text{Top.}}$ , then the fundamental group of  $X$  is defined as:

$$\pi_1 X := [S^1, X].$$

**Definition 1.41** For  $n \geq 2$ ,

$$\pi_n X := \pi_1(\Omega^{n-1} X)$$

where  $\Omega^i X = \Omega(\Omega^{i-1} X)$  ( $i \geq 1$ ) and  $\Omega^0 X = X$ .

**Note**

$$[S^n, X] \xrightarrow{\text{bij}} [S^{n-1}, \Omega X] \xrightarrow{\text{bij}} [S^1, \Omega^{n-1} X] = \pi_n X$$

**Claim**  $\pi_n X$  is abelian for  $n \geq 2$ . This follows from

**Theorem 1.42** Let  $Y \in \underline{\text{Top}}$ . Then  $\pi_1 \Omega Y$  is abelian.

**Proof** Let  $\mu : \Omega Y \times \Omega Y \rightarrow \Omega Y$  be the obvious multiplication of loops (usually written  $\mu(\omega, \sigma) = \omega \star \sigma$ ).  $(\Omega Y, \mu)$  is a “group up to homotopy”. This means:

i) *associative*: The diagram

$$\begin{array}{ccc} \Omega Y \times \Omega Y \times \Omega Y & \xrightarrow{\text{id} \times \mu} & \Omega Y \times \Omega Y \\ \mu \times \text{id} \downarrow & & \downarrow \mu \\ \Omega Y \times \Omega Y & \xrightarrow{\mu} & \Omega Y \end{array}$$

commutes up to homotopy.

ii) *inverses*:  $\exists i : \Omega Y \rightarrow \Omega Y$  such that

$$\begin{array}{ccccc} \Omega Y & \xrightarrow{\{\text{id}, i\}} & \Omega Y \times \Omega Y & \xleftarrow{\{i, \text{id}\}} & \Omega Y \\ & \searrow \text{const} & \downarrow \mu & \swarrow \text{const} & \\ & & \Omega Y & & \end{array}$$

commutes up to homotopy.

iii) *identity element*:

$$\begin{array}{ccccc} \Omega Y & \xrightarrow{\{\text{id}, \text{const}\}} & \Omega Y \times \Omega Y & \xleftarrow{\{\text{const}, \text{id}\}} & \Omega Y \\ & \searrow & \downarrow \mu & \swarrow & \\ & & \Omega Y & & \end{array}$$



So  $[W, \Omega Y]_.$  is a group, induced by  $\mu$ .

$$[W, \Omega Y]_ . \times [W, \Omega Y]_ . \xrightarrow{\text{can}} [W, \Omega Y \times \Omega Y]_ . \xrightarrow{\mu_*} [W, \Omega Y]_ .$$

$$[\phi] \longmapsto [\mu \circ \phi]$$

Now look at  $\pi_1 \Omega Y = [S^1, \Omega Y]_.$  This group has two group structures: The “ $\pi$ -product” (being a fundamental group  $\pi_1(\cdot)$ ) and the “ $\mu$ -product” (being a loop space).

Now we have to show that  $\pi$ -product =  $\mu$ -product, and that the group is commutative.

$\mu : \Omega Y \times \Omega Y \rightarrow \Omega Y$  induces a  $\pi$ -homomorphism

$$\pi_1 \Omega Y \times \pi_1 \Omega Y \xrightarrow{\mu_*} \pi_1 \Omega Y$$

Therefore:

$$\begin{aligned} \mu_*((\alpha, \beta) \underset{\pi}{+} (\gamma, \delta)) &= \mu_* (\alpha, \beta) \underset{\pi}{+} \mu_* (\gamma, \delta) \\ \Leftrightarrow \mu_* (\alpha \underset{\pi}{+} \gamma, \beta \underset{\pi}{+} \delta) &= \mu_* (\alpha, \beta) \underset{\pi}{+} \mu_* (\gamma, \delta) \\ \Leftrightarrow (\alpha \underset{\pi}{+} \gamma) \underset{\mu}{+} (\beta \underset{\pi}{+} \delta) &= (\alpha \underset{\mu}{+} \beta) \underset{\pi}{+} (\gamma \underset{\mu}{+} \delta) \end{aligned}$$

e.g. taking  $\gamma = \beta = e$  shows that the group structure is the same:

$$\alpha \underset{\mu}{+} \delta = \alpha \underset{\pi}{+} \delta$$

and taking  $\alpha = \delta = e$  shows that the group is abelian:

$$\gamma \underset{\mu}{+} \beta = \beta \underset{\pi}{+} \gamma = \beta \underset{\mu}{+} \gamma$$

□

More generally we could use the same proof to show the

**Theorem 1.43** *X an H-space (“Hopf”)  $\Rightarrow \pi_1 X$  abelian,  $d : X \times X \rightarrow X$  with 2-sided unit up to homotopy (note: no associativity or inverses required!).*

**Corollary 1.44** *G Lie group,  $e \in G$  base-point  $\Rightarrow \pi_1 G$  abelian.*

## 1.9 Adjoint Functors

$$\underline{\mathbf{C}} \begin{array}{c} \xrightarrow{F} \\ \xleftarrow{G} \end{array} \underline{\mathbf{D}}$$

Suppose one has a natural bijection:

$$\text{mor}_{\underline{\mathbf{C}}}(GX, Y) \xrightarrow{\text{bij}} \text{mor}_{\underline{\mathbf{D}}}(X, FY)$$

Then  $G$  is called a left-adjoint to  $F$  and  $F$  is called a right-adjoint to  $G$ .  
 $\Rightarrow$  “ $G$  commutes with colim” (e.g. coproducts, pushout); “ $F$  commutes with lim” (e.g. products, pullback).

## 2 CW-Complexes

**Definition 2.1** A CW-structure on  $X \in \underline{\mathbf{Top}}$  is a filtration  $X_{-1} = \emptyset \subseteq X_0 \subseteq \dots \subseteq X_n \subseteq \dots \subseteq X$  with:

1.  $X = \bigcup X_n = \text{colim}_{n \geq 0} X_n$ , i.e.  $A \subset X$  open  $\Leftrightarrow A \cap X^n$  open  $\forall n$
2.  $X^n$  is a push-out of:

$$\begin{array}{ccc} \amalg S^{n-1} & \xrightarrow{f} & X^{n-1} \\ \downarrow & & \downarrow \\ \amalg D^n & \xrightarrow{\tilde{f}} & X^n = X^{n-1} \cup_f \amalg D^n \end{array}$$

### 2.1 Facts and definitions

1.  $X^n$  is called a  $n$ -skeleton,  $f$  the *attaching map* for the  $n$ -cells.
2. CW-complexes are Hausdorff.
3.  $\tilde{f}(D^n) =: \bar{e}^n$  is called a “closed  $n$ -cell”.
4.  $\tilde{f}(\mathring{D}^n) =: e^n$  is called an “open  $n$ -cell”.

**Remark**  $e^n$  is in general *not* open in  $X$ .

5.  $A \subset X \in \underline{\mathbf{CW}}$ , is called a *subcomplex* of  $X$  if  $A$  is closed and an union of cells of  $X$ . ( $A$  has to be closed to ensure that it has a proper CW-structure.)
6. By construction: as a set  $X = \coprod_n \coprod_k e_k^n$

7.  $X \in \underline{\text{CW}}$  is called *finite* if it is a “union” (see Hatcher, example 0.6) of finitely many cells. A finite CW-complex is *compact*.
8.  $X \in \underline{\text{CW}}, C \subset X$  compact  $\Rightarrow \exists A$  finite subcomplex of  $X$ , with  $C \subset A$ .
9. Each  $X \in \underline{\text{CW}}$  is compactly generated as a space. (Proof:  $B \subset X$  closed  $\Leftrightarrow \tilde{B} \subset \tilde{f}^{-1}(B)$  closed in  $\coprod_x D_x^n \Leftrightarrow \tilde{B} \cap D_x^n$  closed  $\forall x, n \Leftrightarrow B \cap \bar{e}_x^n$  closed).
10.  $X^0 \subseteq X$  is discrete, i.e. composed of single points.
11. If  $X = X^1$  then  $X$  is called *graph*.
12. A CW-complex  $X$  is connected if and only if it is *path-connected*.
13.  $X \in \underline{\text{CW}}$  is called  $n$ -dimensional if  $X = X^n$

**Example**  $S^n, \mathbb{R}P^n(\mathbb{C}P^n), T^2 = S^1 \times S^1$ .

$S^n$ :

$$\begin{array}{ccc} S^{n-1} & \xrightarrow{c} & \{\bullet\} \\ \downarrow & & \downarrow \\ D^n & \longrightarrow & S^n = D^0 \cup_c D^n \end{array}$$

Alternatively:  $S^n = D^0 \amalg D^0 \cup D^1 \amalg D^1 \cup \dots \cup D^n \amalg D^n$ ,  $S^1 = D^0 \amalg D^0 \cup D^1 \amalg D^1$   
 $\mathbb{R}P^n = S^n / \langle x \sim -x \rangle$ :

$$\begin{array}{ccc} S^{n-1} \amalg S^{n-1} & \longrightarrow & S^{n-1} \Big) T \\ \downarrow & \Gamma. & \downarrow \\ D_+^n \amalg D_-^n & \longrightarrow & S^n \Big) T \end{array}$$

where  $T : S^n \rightarrow S^n, x \mapsto -x$ .

One can extend the antipode  $T$  to the whole push-out diagram by letting it exchange  $D_+^n$  with  $D_-^n$ .

$\text{quot}(\Gamma.) = \Gamma. / \langle x \sim Tx \rangle$

$$\begin{array}{ccc} S^{n-1} & \xrightarrow{f} & \mathbb{R}P^{n-1} \\ \downarrow & & \downarrow \\ D^n & \longrightarrow & \mathbb{R}P^n \end{array}$$

$\Rightarrow \mathbb{R}P^n = D^0 \cup D^1 \cup \dots \cup_f D^n$ .

$\mathbb{C}P^n$ : see above,  $\mathbb{C}P^n = D^0 \cup D^2 \cup D^4 \dots \cup D^{2n}$ .

Torus:  $T = S^1 \times S^1 = S^1 \vee S^1 \cup_f D^2$

$$\begin{array}{ccc} S^1 & \xrightarrow{f} & S^1 \vee S^1 \\ \downarrow & & \downarrow \\ D^2 & \longrightarrow & S^1 \vee S^1 \cup_f D^2 =: T \end{array}$$

**Definition 2.2**  $f : X \rightarrow Y$ ,  $X, Y \in \underline{CW}$  is called cellular if:

$$f(X^n) \subseteq Y^n, \quad \forall n \geq 0$$

**Theorem 2.3 (Cellular Approximation Theorem)** Let  $f : X \rightarrow Y$ ,  $X, Y \in \underline{CW}$ ,  $f$  continuous, then  $f$  is homotopic to a cellular map  $g : X \rightarrow Y$ .

**Proof** (later, simplicial approx.) □

**Remark** There is a relative version of the cellular approximation theorem: let  $f \in \underline{CW}^2$ ,  $f : (X, A) \rightarrow (Y, B)$  ( $(X, A) \in \underline{Top}^2$ , where  $X$  and  $A \subset X$  have a CW-structure) with  $f|_A : A \rightarrow B$  cellular, then there is a cellular map  $g : (X, A) \rightarrow (Y, B)$  with  $f \simeq g$  and  $f|_A = g|_A$ .

**Corollary 2.4** For  $0 < k < n$ ,  $\pi_k(S^n) = 0$ .

**Proof**  $\pi_k(S^n) = [S^k, S^n]$ . Let  $[f] \in \pi_k(S^n)$ ,  $f : S^k \rightarrow S^n$ , replace  $f$  by  $g$ ,  $g \simeq f$ , and  $g$  cellular.

$$S^n = D^0 \amalg D^0 \cup \dots \cup D^n \amalg D^n$$

$$\begin{array}{ccc} g : S^k \longrightarrow (S^n)^k & \subsetneq & S^n \\ & \searrow & \uparrow \subseteq \\ & S^n \setminus \{\text{pt.}\} & \{\cdot\} \end{array} \simeq$$

$\Rightarrow g \simeq \text{const.} \Rightarrow \pi_k(S^n) = 0$ . □

**Corollary 2.5**  $X$  connected,  $X \in \underline{CW}_*$ ,  $X = \bigcup_{n \geq 0} X^n$

- $k \geq n + 1 \Rightarrow \pi_n X^k \xrightarrow{\cong} \pi_n X$ .
- $k = n \Rightarrow \pi_n X^n \rightarrow \pi_n X$

**Proof**  $[f : S^n \rightarrow X] \in [S^n, X]_*$ , CW-app.  $\Rightarrow \exists g : S^n \rightarrow X$ ,  $f \simeq g$ ,  $g$  cellular.  
 $\Rightarrow$

$$\begin{array}{ccc} S^n & \xrightarrow{f} & X \\ & \searrow g & \uparrow \\ & & X^k \end{array}$$

$$k \geq n \Rightarrow \pi_n X^k \rightarrow \pi_n X$$

If  $f \simeq g \in [S^n, X] \exists H : S^n \times I \rightarrow X$ ,  $H(\cdot, 0) = f$ ,  $H(\cdot, 1) = g$ ,  $H(x_0, t) = y_0$ .

Serie 3, ex.1:  $S^n \times I$  is  $n + 1$ -dim. CW-complex.  $\xrightarrow{\text{CW-app.}} \exists \tilde{H} :$

$$\begin{array}{ccc} S^n \times I & \xrightarrow{H} & X \\ & \searrow \tilde{H} & \uparrow \\ & & X^k \end{array} \quad (k \geq n + 1)$$

$$f, g \in [S^n, X^k]_* = \Pi_n(X^k)$$

$f \simeq g \Rightarrow \pi_n(X^k) \rightarrow \pi_n(X)$  is injective for  $k \geq n + 1$ . □

**Corollary 2.6**  $X$  connected CW-complex ( $x_0 \in X$ ):

$$\pi_1 X^2 \xrightarrow{\cong} \pi_1 X$$

**Definition 2.7**  $A \subset X$ , is a neighbourhood deformation retract (NDR) if there is an (open) neighbourhood  $B \subset X$  of  $A$  and  $A \subset B$  a deformation retract.

**Lemma 2.8** Let

$$\begin{array}{ccc} A & \xrightarrow{f} & Y \\ \text{NDR} \downarrow & & \downarrow \\ X & \longrightarrow & Z \end{array}$$

with  $f$  an arbitrary map, be a push-out (in Top). Then  $Y \subset Z$  is a NDR.

**Example**

$$\begin{array}{ccc} S^{n-1} & \xrightarrow{f} & X \\ \text{NDR} \downarrow & & \downarrow \\ D^n & \longrightarrow & X \cup_{S^{n-1}} D^n = X \cup_f D^n \end{array}$$

**Corollary 2.9**  $X \in \underline{\text{CW}}$ ,  $A \subset X$  subcomplex  $\Rightarrow A \subset X$  NDR.

**Definition 2.10** (Summarized from *Topology SS 05*, which see. Ed.)

The amalgamated product  $G = G_1 *_{G_{12}} G_2$  is defined by the following push-out in  $\underline{\mathbf{Gr}}$ :

$$\begin{array}{ccc} G_{12} & \longrightarrow & G_1 \\ \downarrow & & \downarrow \\ G_2 & \longrightarrow & G \end{array}$$

If  $G_{12} = 1$ , then  $G = G_1 * G_2$  is called the free product.

**Theorem 2.11 (Classical van Kampen)**  $X = U \cup V$ ,  $X \in \underline{\mathbf{Top}}_*$ ,  $U, V \subset X$  open. If  $U, V, U \cap V$  path-connected:

$$\begin{array}{ccc} U \cap V \hookrightarrow V & & \pi_1(U \cap V) \xrightarrow{\beta} \pi_1(V) \\ \downarrow & \cong & \downarrow \alpha \quad \Gamma \quad \downarrow \\ U \hookrightarrow X & & \pi_1(U) \longrightarrow \pi_1(X) \end{array}$$

i.e.  $\pi_1(X) \cong (\pi_1(V) * \pi_1(U)) / \langle \alpha x (\beta x)^{-1}, x \in \pi_1(U \cap V) \rangle$ .

There is a more general version of the classical van Kampen theorem, which does not require the involved sets to be open.

**Theorem 2.12 (van Kampen for push-outs)**

$$\begin{array}{ccc} U \hookrightarrow V \\ \downarrow & & \downarrow \\ W \hookrightarrow X \end{array}$$

a push-out in  $\underline{\mathbf{Top}}_*$ , with  $U \subset W$  and  $U \subset V$  NDRs, and  $U, V, W$  path-connected, then:

$$\begin{array}{ccc} \pi_1 X \text{ is push-out of: } & \pi_1 U & \longrightarrow & \pi_1 V \\ & \downarrow & & \\ & \pi_1 W & & \end{array}$$

**Proof** Look at:

$$\begin{array}{ccccc} U \hookrightarrow & V_1 \hookrightarrow & V \\ \downarrow & \downarrow & \downarrow \\ W_1 \longrightarrow & V \cup_U W_1 \\ \downarrow & \downarrow & \downarrow \\ W \longrightarrow & W \cup_U V_1 \hookrightarrow & X \end{array}$$

□

**Example**  $X \in \underline{CW}_*$  connected,  $X = A \cup B$ ,  $A, B$  connected subcomplexes.  $C := A \cap B$  is then also a subcomplex; assume it is connected.  $\Rightarrow C \subset A$  and  $C \subset B$  are NDR. Then:

$$\pi_1 X \cong \text{push-out: } \begin{array}{ccc} \pi_1 C & \longrightarrow & \pi_1 A \\ & \downarrow & \\ & \pi_1 B & \end{array}$$

**Corollary 2.13**  $X, Y \in \underline{CW}_* \Rightarrow \pi_1(X \vee Y) \cong \pi_1 X * \pi_1 Y$  (free product  $\equiv$  coproduct in  $\underline{Gr}$ )

**Proof**

$$\begin{array}{ccc} \{.\} \longrightarrow X & & \{1\} \longrightarrow \pi_1 X \\ \downarrow & \searrow & \downarrow \\ Y \longrightarrow X \vee Y & \rightsquigarrow & \pi_1 Y \longrightarrow \pi_1 X * \pi_1 Y \quad (\text{free product}) \end{array}$$

□

**Example** Free group in 2 generators:  $\pi_1(S^1 \vee S^1) \cong \pi_1 S^1 * \pi_1 S^1 \cong \mathbb{Z} * \mathbb{Z}$   
 $(\pi_1(S^1 \times S^1) \cong \mathbb{Z} \times \mathbb{Z})$

If you choose a base-point of  $X \in \underline{CW}_*$ , it should be a 0-cell. Now some CW-Complexes have more than one 0-cell, so you want to find a space which has exactly one 0-cell, e.g.  $S^n = D^0 \cup_\phi D^n$ , instead of  $S^n = D^0 \amalg D^0 \cup D^1 \amalg D^1 \cup \dots \cup D^n \amalg D^n$ .

## 2.2 HEP: Homotopy Extension Property

**Definition 2.14**  $(X, A) \in \underline{Top}^2$ .  $A \subset X$  has the homotopy extension property (HEP) if for every  $f : X \rightarrow Y$  and homotopy  $F : f|_A \simeq g : A \rightarrow Y$  we can extend  $F$  to  $\tilde{F} : X \times I \rightarrow Y$  such that

$$\tilde{F}|_{(A \times I)} = F$$

This is often expressed as a diagram:

$$\begin{array}{ccccc} A^c & \xrightarrow{\quad} & X & & \\ \downarrow & & \downarrow & \searrow f & \\ A \times I & \xrightarrow{\quad} & X \times I & \xrightarrow{\exists \tilde{F}} & Y \\ & \searrow F & & & \end{array}$$

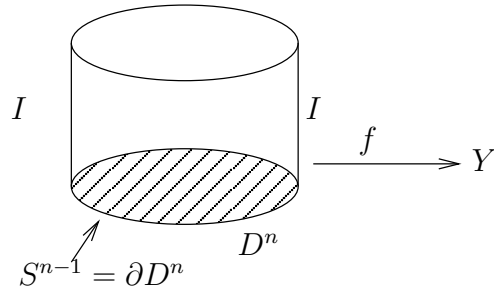


Figure 3:  $S^{n-1} \subset D^n$

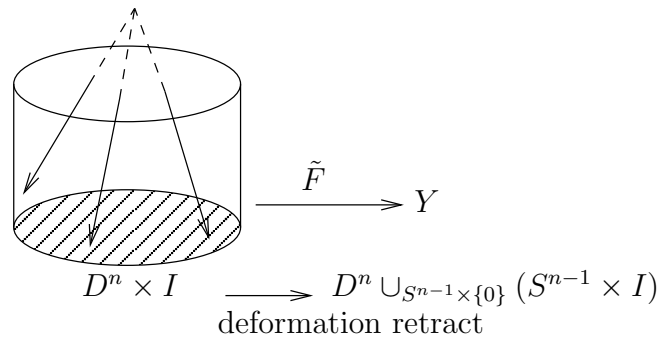


Figure 4: Definition of  $\tilde{F}$

**Example**  $S^{n-1} \subset D^n$  has HEP.

**Proof** Look at figure 3. From

$$\begin{aligned} f : D^n &\rightarrow Y \\ f|_{S^{n-1}} : S^{n-1} &\rightarrow Y \\ F : S^{n-1} \times I &\rightarrow Y \\ F|_{S^{n-1}} &\simeq g \end{aligned}$$

we get a map

$$\begin{array}{ccc} D^n \cup_{S^{n-1} \times \{0\}} (S^{n-1} \times I) & \xrightarrow{\Phi = \langle f, F \rangle} & Y \\ \rho \uparrow & \nearrow \tilde{F} & \\ D^n \times I & & \end{array}$$

so we define  $\tilde{F}$  as in figure 4, namely  $\tilde{F} := \Phi \circ \rho$ .

□



**Lemma 2.15**

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow & & \downarrow \\ X & \longrightarrow & Y \end{array}$$

has HEP

push-out in  $\underline{\text{Top}}$   $\Rightarrow B \subset Y$  has HEP.

**Definition 2.16**

$$X^Y := C(Y, X)$$

**Remark**

$$(X \times I \rightarrow Y) \xrightarrow{\text{bij}} (X \rightarrow Y^I)$$

**Proof**

$$\begin{array}{ccccc} & & & & F \circ f = G|_A \\ & & & & \curvearrowright \\ A & \xrightarrow{f} & B & & \\ \downarrow & & \downarrow & \searrow & F \\ X & \longrightarrow & Y & \xrightarrow{\tilde{F} \text{ from p.o.}} & Z^I \\ & & & \nearrow & \\ & & & & G \text{ from HEP} \end{array}$$

$\Rightarrow$  get  $\tilde{F}$  from push-out property ( $\tilde{F}$  induced by  $\{\tilde{G}, F\}$ ). □

**Corollary 2.17**  $S^{n-1} \subset D^n$  has HEP in

$$\begin{array}{ccc} S^{n-1} & \xrightarrow{f} & Y \\ \cap & & \cap \\ D^n & \longrightarrow & Y \cup_f D^n \end{array}$$

therefore so does  $Y \subset (Y \cup_f D^n)$ .

**Note** HEP is transitive:  $U \subset V$  HEP,  $V \subset W$  HEP  $\Rightarrow U \subset W$  HEP.

**Theorem 2.18**  $(X, A) \in \underline{\text{CW}}^2 \Rightarrow A \subset X$  has the HEP.

**Theorem 2.19**  $(X, A) \in \underline{\text{CW}}^2$ ,  $A \simeq \cdot$  (contractible)  $\Rightarrow$

$$pr : X \rightarrow X/A$$

is a homotopy equivalence (note that  $X/A$  is a CW-complex, see homework set 3).

**Proof**

$$\begin{array}{ccc} A & \xrightarrow{G} & X^I \\ \downarrow & \nearrow \exists \tilde{G} & \downarrow \text{ev}_0 \\ X & \xrightarrow{\text{id}} & X \end{array}$$

corresponds to  $\text{id}_A \simeq_G c_{a_0} (G : A \times I \rightarrow A)$ , so  $\exists \tilde{G} : X \times I \rightarrow X$  with

$$\begin{aligned} \tilde{G}(x, 0) &= x \\ \tilde{G}(a, 1) &= a_0 \\ \tilde{G}(a, t) &\in A \end{aligned}$$

and therefore  $\tilde{G}$  defines a map  $H$  by

$$\begin{array}{ccc} (X/A) \times I & \xrightarrow{H} & X/A \\ \uparrow \text{pr} & & \uparrow \text{pr} \\ X \times I & \xrightarrow{\tilde{G}} & X \end{array}$$
  

$$\begin{array}{ccc} X & \xrightarrow{\tilde{G}(\cdot, 1)} & X \\ \text{pr}_{X/A} \downarrow & \nearrow \tilde{g} & \downarrow \text{pr}_{X/A} \\ X/A & \xrightarrow{\simeq \text{id}_{X/A}} & X/A \end{array}$$

$\Rightarrow \tilde{g}$  and  $\text{pr}_{X/A}$  are homotopy inverses. □

**Definition 2.20** *Every group  $G$  can be described by generators  $g_i$  and relators  $r_i$ . If there are only finitely many of them, as in*

$$G = \langle g_1, \dots, g_n \mid r_1, \dots, r_m \rangle$$

*then the group is called finitely presented and  $G$  is countable. In this case we can also describe it as*

$$G = (\text{free group on } (\tilde{g}_1, \dots, \tilde{g}_n)) / (\text{normal subgroup generated by words } \tilde{r}_i)$$

**Example** (i)  $G = \langle g \mid \rangle \cong \mathbb{Z}$

(ii)  $G = \langle g \mid g^n \rangle \cong \mathbb{Z}/n\mathbb{Z}$

(iii)  $G = \langle a, b \mid aba^{-1}b^{-1} \rangle \cong \mathbb{Z} \oplus \mathbb{Z}$

**Theorem 2.21** Let  $X \in \underline{CW}$ ,  $A \subset X$  subcomplex,  $A \simeq \cdot$ . Then  $X \xrightarrow{\simeq} X/A$ , and therefore  $\pi_1 X \cong \pi_1(X/A)$ .

**Example**  $X \in \underline{CW} \Rightarrow \Sigma X \simeq S^1 \wedge X =: SX$  (“reduced suspension”)

$$\begin{aligned} & (S^1 \times X)/(S^1 \vee X) \\ & = \\ \Sigma X & \xrightarrow{\simeq} S^1 \wedge X \\ & \cong \\ & \Sigma X/(I \times \{x_0\}) \end{aligned}$$

$X \in \underline{CW}$  connected ( $\Rightarrow$  path-connected)  $\Rightarrow X_1 \subset X$  connected, i.e.  $X_1$  is a connected graph which contains a maximal subtree  $T \subset X_1$ . Note that a tree is a *contractible subcomplex* since it may not contain any loops!  $T$  also contains all vertices in  $X_1$  (if one is missing, attach it through an edge of choice).

Now suppose we contract  $T$ :

$$\begin{aligned} X & \xrightarrow{\simeq} X/T \\ \pi_1 X & \xrightarrow{\cong} \pi_1(X/T) \end{aligned}$$

$X/T$  has just one 0-cell, so it forms a natural base-point!

If we take  $Y \in \underline{CW}$  with  $Y_0 = \{\text{base-point}\}$  ( $\Rightarrow Y$  connected), then

$$\begin{array}{ccc} Y_0 \subset Y_1 : & \coprod_I S^0 & \longrightarrow & Y_0 = \{\cdot\} \\ & \downarrow & & \cap \\ & \coprod_I D^1 & \longrightarrow & Y_1 = \bigvee_I S^1 \end{array}$$

and

$$\begin{array}{ccc} Y_0 \subset Y_1 \subset Y_2 : & \coprod_I S^1 & \xrightarrow{\Phi} & Y_1 \\ & \downarrow & & \downarrow \\ & \coprod_I D^2 & \longrightarrow & Y_2 \end{array}$$

where  $\Phi$  is homotopic to a cellular map  $\tilde{\Phi}$  ( $S^1 = D^0 \cup D^1$  as CW-complex). Replacing  $\Phi$  by  $\tilde{\Phi}$  yields the push-out

$$\begin{array}{ccc} \bigvee S^1 & \xrightarrow{\tilde{\Phi}} & Y_1 \\ \downarrow & & \downarrow \\ \bigvee D^2 & \longrightarrow & \tilde{Y}_2 \simeq Y_2 \end{array}$$

( $\tilde{Y}_2 \simeq Y_2$  by Hatcher, prop. 0.18,  $\tilde{Y}_2$  a CW-complex by exercise 3.5) i.e.

$$\tilde{Y}_2 = (\bigvee_I S^1) \cup_{\tilde{\Phi}} (\bigvee_J D^2)$$

Recall:  $X \in \underline{\text{CW}}$ , connected  $\Rightarrow \pi_1 X_2 \xrightarrow{\cong} \pi_1 X$ .  
 $X_2 \supset X_1 \supset T$  maximal subtree:

$$\pi_2 X \cong \pi_2 X_2 \cong \pi_2(X_2/T)$$

(note  $X_2/T \simeq (\bigvee_I S^1) \cup (\bigvee_J D^2)$ ).

**Lemma 2.22**

$$\pi_1((\bigvee_I S^1) \cup_{\tilde{\Phi}} (\bigvee_J D^2)) \cong \langle g_\alpha, \alpha \in I \mid r_\beta, \beta \in J \rangle$$

**Note**  $\tilde{\Phi}$  yields maps

$$D_\beta^2 \supset S_\beta^1 \xrightarrow{\tilde{\Phi}_\beta} \bigvee_I S^1$$

with

$$[\tilde{\Phi}_\beta] \in \pi_1(\bigvee_I S^1) \cong F(I)$$

where  $F(I)$  is the free group on  $I$ .

**Proof** Use van Kampen Theorem for CW-complexes:

$$\begin{array}{ccc} \bigvee_J S^1 & \xrightarrow{\tilde{\Phi}} & \bigvee_I S^1 \\ \downarrow & & \downarrow \\ \bigvee_J D^2 & \longrightarrow & (\bigvee_I S^1) \cup_{\tilde{\Phi}} (\bigvee_J D^2) \end{array}$$

(note that  $\bigvee_J D^2$  is contractible). Applying  $\pi_1$  we can map this into a push-out on  $\underline{\text{Gr}}$ :

$$\begin{array}{ccc} \pi_1(\bigvee_J S^1) & \xrightarrow{\tilde{\Phi}_\#} & \pi_1(\bigvee_I S^1) \\ \downarrow & & \downarrow \\ \{1\} & \longrightarrow & G \end{array}$$

$\pi_1(\bigvee_J S^1)$  is the free group on  $J$ , and similarly for  $I$ , so we can write

$$\tilde{r}_\beta := \tilde{\Phi}_\#(f_\beta)$$

and get

$$G \cong \langle g_\alpha, \alpha \in I \mid r_\beta, \beta \in J \rangle$$

where  $r_\beta$  corresponds to  $\tilde{r}_\beta$ . □

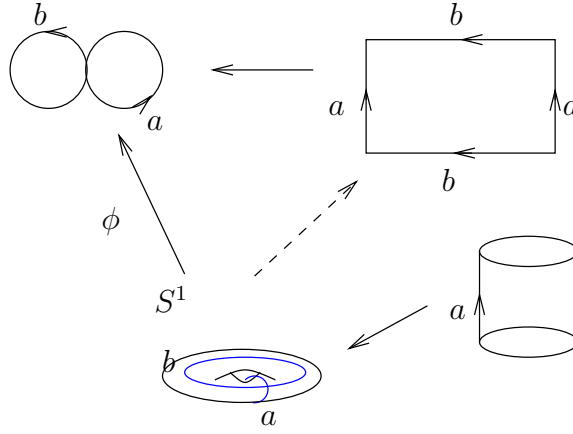


Figure 5: Example 2

**Corollary 2.23** Let  $G = \langle g_\alpha, \alpha \in I \mid r_\beta, \beta \in J \rangle$ , then there is a “canonical” 2-dimensional CW-complex  $X(G)$  with  $\pi_1 X(G) \cong G$ , namely  $X(G) := (\bigvee_I S^1) \cup_\phi (\bigvee_J D^2)$  where  $\phi$  has components  $\phi_\beta : S^1 \rightarrow \bigvee_I S^1$  corresponding to the  $r_\beta$ 's. ( $X(G)$  is called the presentation complex of  $G$  with its presentation).

**Example** 1.  $G = \mathbb{Z} = \langle g \mid \rangle \Rightarrow X(G) = S^1$  ( $\pi_1 S^1 \cong \mathbb{Z}$ )

2.  $G = \mathbb{Z} \times \mathbb{Z} = \langle a, b \mid aba^{-1}b^{-1} \rangle \Rightarrow X(G) = (S^1 \vee S^1) \cup_\phi D^2$ ,  $\phi : S^1 \rightarrow S^1 \vee S^1 : [\phi] \in \pi_1(S^1 \vee S^1) \cong \mathbb{Z} \times \mathbb{Z} = \langle \tilde{a} \rangle \times \langle \tilde{b} \rangle$ ,  $[\phi] = \tilde{a}\tilde{b}\tilde{a}^{-1}\tilde{b}^{-1}$ .

Obviously:  $(S^1 \vee S^1) \cup_\phi D^2 \cong S^1 \times S^1$ .

$$\begin{array}{ccc} S^1 & \xrightarrow{\phi} & S^1 \vee S^1 \\ \downarrow & & \downarrow \\ D^2 & \longrightarrow & (S^1 \vee S^1) \cup_\phi D^2 \cong S^1 \times S^1 \end{array}$$

a push-out, see also figure 5. ( $\pi_1(S^1 \times S^1) \cong \pi_1 S^1 \times \pi_1 S^1 \cong \mathbb{Z} \times \mathbb{Z}$ ).

**Definition 2.24**  $X \in \underline{\text{CW}}$  is called a  $K(G, 1)$ , if:

- i.  $X$  connected
- ii.  $\pi_1 X \cong G$
- iii.  $\pi_i X = 0$ ,  $i > 1$

**Remark** (without proof) Such an  $X$  depends up to homotopy only on  $G$ .

Actually:

$$\underline{\mathbf{Gr}} \xrightarrow{K(\cdot, 1)} \underline{\mathbf{HCW}}.$$

$$\begin{array}{ccc} G & \longrightarrow & K(G, 1) \\ \downarrow f & & \downarrow K(f, 1) \\ H & \longrightarrow & K(H, 1) \end{array}$$

where  $\underline{\mathbf{HCW}}$  is the homotopy category of pointed CW-complexes.

$K(\cdot, 1)$  a functor.

$K(\cdot, 1)$ : “fully faithful”, i.e.

1.  $K(G, 1) \simeq K(H, 1) \Rightarrow G \cong H$
2.  $\text{hom}(G, H) \xrightarrow{\text{bij.}} [K(G, 1), K(H, 1)]$ .

**Example** 1.  $K(\mathbb{Z}, 1) = S^1$  (i.e.  $\pi_1 S^1 \cong \mathbb{Z}$ , and  $\pi_i S^1 = 0 \forall i > 1$ )

$\pi_i S^1 = \{0\}$  for  $i > 1$ :

$$\begin{array}{ccc} & & \mathbb{R} \\ & \nearrow & \downarrow \text{universal cover} \\ S^i & \xrightarrow{\phi} & S^1 \end{array}$$

$\Rightarrow \phi \simeq \cdot$  since  $\mathbb{R} \simeq \{\cdot\}$ .

2.  $K(\mathbb{Z}/2\mathbb{Z}, 1) = \mathbb{R}P^\infty = \bigcup \mathbb{R}P^n$ , where  $\mathbb{R}P^n$  is the  $n$ -skeleton of  $\mathbb{R}P^\infty$

$\pi_1 \mathbb{R}P^\infty = \pi_1 \mathbb{R}P^2 = \pi_1(S^1 \cup_\phi D^2) = \langle g \mid g^2 \rangle \cong \mathbb{Z}/2\mathbb{Z}$ ,  $\phi : S^1 \rightarrow S^1$  of degree 2.

$i > 1$ :  $\pi_i \mathbb{R}P^\infty \cong \pi_i(\mathbb{R}P^{i+1}) \cong \pi_i S^{i+1} = \{0\}$  as  $i < i + 1$ .

$S^{i+1} \rightarrow \mathbb{R}P^{i+1}$ : 2-fold cover

3. Similarly (but harder):  $K(\mathbb{Z}, 2) = \mathbb{C}P^\infty$  i.e.  $\pi_i \mathbb{C}P^\infty = \begin{cases} \mathbb{Z} & i = 2 \\ 0 & \text{else} \end{cases}$

### 3 Homology Theories

Axioms: (S. Eilenberg + N. Steenrod, early 50's)

$$\begin{array}{ccc}
 \underline{\text{Top}}^2 & \ni & (X, A) \\
 \downarrow I & & \downarrow \\
 \underline{\text{Top}}^2 & \ni & (A, \emptyset) \\
 \uparrow & & \uparrow \\
 \underline{\text{Top}} & \ni & A
 \end{array}$$

**Definition 3.1** A homology theory  $\{h_n\}_{n \in \mathbb{Z}}$  is a family of functors:

$$h_n : \underline{\text{Top}}^2 \rightarrow \underline{\text{Ab}} \quad ((X, A) \mapsto h_n(X, A)); n \in \mathbb{Z}$$

and natural transformations

$$\partial_n : h_n \rightarrow h_{n-1} \circ I \quad (h_n(X, A) \xrightarrow{\partial_n} h_{n-1}(A, \emptyset) =: h_{n-1}(A))$$

such that the following axioms hold:

1.  $f \simeq g$  ( $f, g : (X, A) \rightarrow (Y, B)$ )  $\Rightarrow h_n f = h_n g$  (“homotopy invariance”).
2. “Long exact sequence”:  $(X, A) \in \underline{\text{Top}}^2$ . Then there is a natural long exact sequence:

$$\dots \rightarrow h_n A \rightarrow h_n X \rightarrow h_n(X, A) \xrightarrow{\partial_n} h_{n-1} A \rightarrow \dots$$

i.e.  $(A, \emptyset) \hookrightarrow (X, \emptyset) \hookrightarrow (X, A)$ . We often write just  $\partial$  for  $\partial_n$ .

3. “Additivity”:

$$\forall n : h_n(\coprod X_\alpha) \cong \bigoplus_\alpha h_n X_\alpha$$

4. “Excision”:  $X \supset B \supset A$  such that  $\bar{A} \subset \mathring{B}$ .

$$\Rightarrow h_n(X \setminus A, B \setminus A) \xrightarrow{\cong} h_n(X, B)$$

If in addition  $h_\star$  satisfies the

5. “Dimension Axiom”:  $h_n(\{\cdot\}) = 0$  if  $n \neq 0$ .

then  $h_\star$  is called an ordinary homology theory.

We write  $H_\star$  for a homology theory with

$$h_n(\{\cdot\}) \cong \begin{cases} \mathbb{Z}, & n = 0 \\ 0 & \text{else.} \end{cases}$$

**Example**  $X = X_1 \cup X_2$  with  $X_i \subset X, i = 1, 2$  open. Consider  $X \supset X_2 \supset X_2 \setminus (X_1 \cap X_2)$ :  $X_2 \setminus X_1 \cap X_2 = X \setminus X_1$  is closed. So

$$X_2 = \overset{\circ}{X}_2 \supset X_2 \setminus (X_1 \cap X_2) = \overline{X_2 \setminus (X_1 \cap X_2)}$$

We note

$$X \setminus \underbrace{(X_2 \setminus X_1 \cap X_2)}_A = X_1; \quad X_2 \setminus (X_2 \setminus X_1 \cap X_2) = X_1 \cap X_2$$

and by the excision axiom

$$h_n(X_1, X_1 \cap X_2) \xrightarrow{\cong} h_n(X, X_2)$$

**Theorem 3.2 (Mayer-Vietoris sequence)** *Let  $X = X_1 \cup X_2$ ,  $X_i \subset X$  open. Then there is a natural long exact sequence*

$$\dots \rightarrow h_n(X_1 \cap X_2) \xrightarrow{\alpha} h_n(X_1) \oplus h_n(X_2) \xrightarrow{\beta} h_n(X) \xrightarrow{\partial} h_{n-1}(X_1 \cap X_2) \rightarrow \dots$$

where

$$\alpha(x) = (h_n(j_1)(x), h_n(j_2)(x)),$$

with  $j_k : X_1 \cap X_2 \hookrightarrow X_k$ , and

$$\beta(y, z) = (h_n(i_1)(y) - h_n(i_2)(z))$$

with  $i_k : X_k \hookrightarrow X$ .

**Proof** Look at  $(X_1, X_1 \cap X_2)$  and  $(X, X_2)$ :

$$\begin{array}{ccccccc} \dots \rightarrow & h_n(X_1 \cap X_2) & \xrightarrow{\alpha_1} & h_n(X_1) & \rightarrow & h_n(X_1, X_1 \cap X_2) & \xrightarrow{\partial} & h_{n-1}(X_1 \cap X_2) & \rightarrow & \dots \\ & \alpha_2 \downarrow & & \downarrow & & \cong \downarrow \text{excision} & & \downarrow & & \\ \dots \longrightarrow & h_n(X_2) & \longrightarrow & h_n(X) & \longrightarrow & h_n(X, X_2) & \xrightarrow{\partial} & h_{n-1}(X_2) & \longrightarrow & \dots \end{array}$$

a commutative diagram  $\Rightarrow$  exactness of MV sequence follows by “diagram chasing”.

E.g. exactness of “ $\oplus$ ”: We have to prove that  $\ker \beta = \text{im } \alpha$ .



(i)  $\text{im } \alpha \subset \ker \beta: x \in h_n(X_1 \cap X_2)$

$$\begin{aligned} \Rightarrow \beta(\alpha(x)) &= \beta(h_n(j_1)(x), h_n(j_2)(x)) \\ &= h_n(i_1)h(j_1)(x) - h_n(i_2)h_n(j_2)(x) \\ &= h_n(i_1 \circ j_1)(x) - h_n(i_2 \circ j_2)(x) \\ &= 0 \end{aligned}$$

(ii)  $\ker \beta \subset \text{im } \alpha: x \in h_n(X_1) \oplus h_n(X_2) \xrightarrow{\beta} h_n(X)$ . Assume  $\beta x = 0$ , i.e.

$$\underbrace{h_n(i_1)}_{\alpha_1} x_1 = \underbrace{h_n(i_2)}_{\alpha_2} x_2 =: z \in h_n(X)$$

Now  $z \mapsto 0$  in  $h_n(X, X_2)$  and therefore (by excision)  $x_1 \mapsto 0$ , so  $\exists \tilde{x}_1$  in  $h_n(X_1 \cap X_2)$  such that  $\tilde{x}_1 \mapsto x_1$ . Suppose  $\tilde{x}_1 \mapsto \tilde{x}_2$  in  $h_n(X_2)$ . We *cannot* conclude  $\tilde{x}_2 = x_2$ , but we know that  $\tilde{x}_2 \mapsto z$ , so  $\tilde{x}_2 - x_2 \mapsto 0$ . Then take  $\Delta \in h_{n+1}(X, X_2)$  such that  $\Delta \mapsto \tilde{x}_2 - x_2$ , and take  $\tilde{\Delta} \in h_{n+1}(X_1, X_1 \cap X_2)$  with  $\tilde{\Delta} \mapsto \Delta$  (by excision). Now define  $\tilde{x}'_1 = (\tilde{x}_1 - \text{im } \tilde{\Delta})$ , which finally maps to  $x_1$  and  $x_2$  in the respective groups. [You're Not Expected To Understand This. Use a colour pen on the above diagram. Ed.]  $\square$

$X = X_1 \cup X_2$ ,  $X_i \subset X$  open.  $\Rightarrow X$  is push-out of

$$\begin{array}{ccc} X_1 \cap X_2 & \hookrightarrow & X_1 \\ \downarrow & & \\ X_2 & & \end{array}$$

Universal Property:

$$\begin{array}{ccccc} X_1 \cap X_2 & \longrightarrow & X_1 & \xrightarrow{f} & Y \\ \downarrow & & \downarrow & \exists! \nearrow & \\ X_2 & \longrightarrow & X & & \\ & \searrow & & \nearrow & \\ & & & g & \end{array}$$

**Theorem 3.3 (Mayer-Vietoris sequence for push-outs)**

$$\begin{array}{ccc} A & \longrightarrow & C \\ \downarrow & & \downarrow \\ B & \longrightarrow & D \end{array}$$

a push-out with  $A \subset B$  a NDR, and  $A$  closed in  $B$ . Then there is a natural long exact sequence (MV-Sequence)

$$\dots \longrightarrow h_n A \xrightarrow{\alpha} h_n B \oplus h_n C \xrightarrow{\beta} h_n D \xrightarrow{\partial} h_{n-1} A \longrightarrow \dots$$

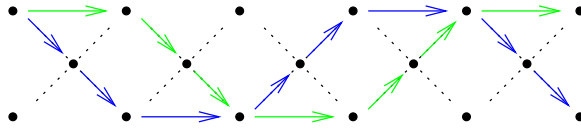


Figure 6: Braid

**Proof** As before, working with  $A$  replaced by a suitable neighbourhood.  $\square$

**Example**  $X \in \underline{CW}$ ,  $X = X_1 \cup X_2$ ,  $X_i \subset X$  subcomplex  $\Rightarrow$

$$\begin{array}{ccc} X_1 \cap X_2 & \hookrightarrow & X_1 \\ \text{NDR} \downarrow & & \downarrow \\ X_2 & \longrightarrow & X \end{array}$$

is a push-out with MV-Sequence:

$$\dots \longrightarrow h_n(X_1 \cap X_2) \longrightarrow h_n X_1 \oplus h_n X_2 \longrightarrow h_n X \xrightarrow{\partial} h_{n-1}(X_1 \cap X_2) \longrightarrow \dots$$

**Theorem 3.4**  $X \supset B \supset A$ ,  $(B, A) \hookrightarrow (X, A) \hookrightarrow (X, B)$ . Then there is a natural long exact sequence (triple sequence):

$$\dots \longrightarrow h_n(B, A) \longrightarrow h_n(X, A) \longrightarrow h_n(X, B) \xrightarrow{\partial} h_{n-1}(B, A) \longrightarrow \dots$$

**Proof** Uses “Braid Lemma”:

**Lemma 3.5 (Braid Lemma)** Given a “braid diagram” with four braids, as in figure 6. Assume 3 of them are exact, and the fourth one satisfies  $(\rightarrow \bullet \rightarrow) = (\overset{0}{\rightarrow})$  Then the fourth one is exact too.

$$\begin{array}{ccccccc} h_n(B, A) & \xrightarrow{\quad} & h_{n-1}A & \xrightarrow{\quad} & h_{n-1}X & \xrightarrow{\quad} & h_{n-1}(X, B) \\ & \searrow & \nearrow & \searrow & \nearrow & \searrow & \nearrow \\ & & h_n(X, A) & & h_{n-1}B & & h_{n-1}(X, A) \end{array}$$

is a triple sequence  $\square$

**Theorem 3.6 (relative version of MV)** Let

$$\begin{array}{ccc} A & \hookrightarrow & C \\ \downarrow & & \downarrow \\ B & \longrightarrow & D \end{array}$$

be a push-out in  $\underline{\text{Top}}$  with  $A \subset B$  a NDR and  $A \subset B$  closed. Take any  $W \subset A$ , then there is a natural long exact sequence:

$$\cdots \rightarrow h_n(A, W) \rightarrow h_n(B, W) \oplus h_n(C, W) \rightarrow h_n(D, W) \rightarrow h_{n-1}(A, W) \rightarrow \cdots$$

**Proof** As before, starting with “Triple sequence”.  $\square$

**Theorem 3.7 (Suspension Theorem)** Let  $x_0 \in X \in \underline{\text{Top}}$ . Then there is a natural isomorphism:

$$h_n(X, \{x_0\}) \xrightarrow{\cong} h_{n+1}(\Sigma X, \{x_0\})$$

**Proof** Look at:

$$\begin{array}{ccc} x_0 \in X & \hookrightarrow & CX \ni x_0 \\ \text{NDR, } X \subset CX \text{ closed} \downarrow & & \downarrow \\ CX & \longrightarrow & \Sigma X \end{array}$$

apply MV, with  $W = \{x_0\}$ . Note  $CX \simeq \{\cdot\}$  so:

$$h_n\{x_0\} \xrightarrow{\cong} h_n CX \xrightarrow{0} h_n(CX, \{x_0\}) \xrightarrow{\partial} h_{n-1}\{x_0\} \xrightarrow{\cong} h_{n-1} CX$$

$\Rightarrow h_n(CX, \{x_0\}) = 0 \forall n \Rightarrow$  MV:

$$\begin{aligned} \cdots \rightarrow h_{n+1}(X, \{x_0\}) &\rightarrow h_{n+1}(CX, \{x_0\}) \oplus h_{n+1}(CX, \{x_0\}) \rightarrow \\ &\rightarrow h_{n+1}(\Sigma X, \{x_0\}) \xrightarrow{\partial} h_n(X, \{x_0\}) \rightarrow \cdots \end{aligned}$$

where  $h_{n+1}(\Sigma X, \{x_0\})$  has to be  $\cong h_n(X, \{x_0\})$ .  $\square$

MV for CW-complexes:

$$\begin{array}{ccc} A & \xrightarrow{f} & B \\ \downarrow & & \downarrow \\ C & \longrightarrow & D \end{array}$$

$A, B, C \in \underline{\text{CW}}$ ,  $f$  cellular,  $A \subset C$  subcomplex  $\Rightarrow D \in \underline{\text{CW}}$ .

$$\cdots \rightarrow h_n A \rightarrow h_n B \oplus h_n C \rightarrow h_n D \xrightarrow{\partial} h_{n-1} A \rightarrow \cdots$$

**Definition 3.8** Let  $h_\star$  be a homology theory. Then we define

$$\tilde{h}_n(X) = \ker(h_n X \rightarrow h_n \{\cdot\})$$

for  $X \in \underline{\text{Top}}$ . We call  $\tilde{h}_\star X$  the reduced homology of  $X$ .

**Example** Let  $X \in \underline{\text{Top}}$  and  $x_0 \in X$  (note that  $h_n \emptyset = 0$  for all  $n$  by additivity).

$\{x_0\} \subset X$  yields,  $X \xrightarrow{\text{can}} \{x_0\}$

$$\cdots \longrightarrow h_n \{x_0\} \xrightleftharpoons{\cong} h_n(X) \longrightarrow h_n(X, \{x_0\}) \xrightarrow{\partial} h_{n-1} \{x_0\} \xrightleftharpoons{\cong} \cdots$$

$\Rightarrow \exists$  a split short exact sequence

$$0 \longrightarrow h_n \{x_0\} \xrightleftharpoons{\cong} h_n(X) \longrightarrow h_n(X, \{x_0\}) \longrightarrow 0$$

and therefore

$$\begin{aligned} h_n(X) &\cong h_n(X, \{x_0\}) \oplus h_n \{x_0\} \\ &\Rightarrow \tilde{h}_n(X) \cong h_n(X, \{x_0\}) \end{aligned}$$

If  $X \in \underline{\text{Top}}$  with base-point  $x_0$ ,

$$\tilde{h}_n(X) \cong_{\text{can}} h_n(X, \{\text{base-point}\})$$

**Corollary 3.9 (to MV)** *There is a natural “suspension isomorphism”*

$$\tilde{\sigma}_n(X) : \tilde{h}_n(X) \xrightarrow{\cong} \tilde{h}_{n+1}(\Sigma X)$$

$$a_0 \in \begin{array}{ccc} A & \longrightarrow & B \\ \downarrow & & \downarrow \\ C & \longrightarrow & D \end{array}$$

as before. MV-sequence “relative to  $\{a_0\}$ ” (in  $\underline{\text{CW}}_\bullet$ ):

$$\cdots \longrightarrow h_n(A, \{a_0\}) \longrightarrow h_n(B, \{a_0\}) \oplus h_n(C, \{a_0\}) \longrightarrow h_n(D, \{a_0\}) \xrightarrow{\partial} \cdots$$

$$\cdots \longrightarrow \tilde{h}_n A \longrightarrow \tilde{h}_n B \oplus \tilde{h}_n C \longrightarrow \tilde{h}_n D \xrightarrow{\partial} \cdots$$

“MV sequence for reduced homology”.

**Note**  $\tilde{h}_n \{\bullet\} = 0 \forall n \Rightarrow$  if  $X$  is contractible, then  $\tilde{h}_n X = 0 \forall n$ .

**Corollary 3.10**  $\tilde{h}_n X \cong \tilde{h}_{n+1} \Sigma X$

**Proof** Look at

$$x_0 \in \begin{array}{ccc} X^c & \longrightarrow & CX \\ \downarrow & \text{push} & \downarrow \\ CX & \longrightarrow & \Sigma X \\ & \text{out} & \end{array}$$

MV-sequence yields

$$\dots \rightarrow \tilde{h}_n X \rightarrow \underbrace{\tilde{h}_n CX}_0 \oplus \underbrace{\tilde{h}_n CX}_0 \rightarrow \tilde{h}_n \Sigma X \xrightarrow{\partial} \tilde{h}_{n-1} X \rightarrow \underbrace{\dots}_0$$

so  $\partial$  must be an isomorphism. □

**Example**  $\tilde{h}_n S^k \cong \tilde{h}_{n-1} S^{k-1} \cong \dots \cong \tilde{h}_{n-k} S^0$

$$S^k \cong \Sigma S^{k-1}$$

But  $S^0 \cong \{\bullet\} \amalg \{\bullet\}$ :

$$h_n S^0 \cong \underbrace{h_n \{\bullet\}}_A \oplus \underbrace{h_n \{\bullet\}}_A$$

and

$$\tilde{h}_n S^0 \cong \ker(A \oplus A \xrightarrow{\phi} A; (a, b) \mapsto a + b) \cong A$$

via

$$\begin{aligned} A &\xrightarrow{\cong} \ker(A \oplus A \xrightarrow{\phi} A) \\ x &\mapsto (x, -x) \end{aligned}$$

We conclude that  $\tilde{h}_i S^0 \cong h_i \{\bullet\}$  for all  $i$ , and therefore

$$\tilde{h}_n S^k \cong \tilde{h}_{n-k} S^0 \cong h_{n-k} \{\bullet\}$$

So, if  $h_\star$  satisfies the dimension axiom:

$$\tilde{h}_n S^k \cong \begin{cases} h_0 \{\bullet\}, & \text{if } n = k \\ 0 & \text{else.} \end{cases}$$

If  $H_\star$  is an “ordinary homology theory with coefficients  $\mathbb{Z}$ ”, i.e.

$$H_n \{\bullet\} \cong \begin{cases} \mathbb{Z}, & \text{if } n = 0 \\ 0 & \text{else.} \end{cases}$$

then

$$H_n S^k \cong \begin{cases} \mathbb{Z} \oplus \mathbb{Z} & \text{if } n = k = 0 \\ \mathbb{Z} & \text{if } n = 0 \text{ or } n = k, k > 0 \\ 0 & \text{else} \end{cases}$$

**Proof** (1)  $k = 0$ :

$$H_n S^0 \cong H_n \{\cdot\} \oplus H_n \{\cdot\} \cong \begin{cases} \mathbb{Z} \oplus \mathbb{Z}, & n = 0 \\ 0 & \text{else.} \end{cases}$$

(2)  $k > 0$ :

$$\begin{aligned} H_n S^k &\cong \tilde{H}_n S^k \oplus H_n \{\cdot\} \\ H_{n-k} \{\cdot\} &\cong \tilde{H}_{n-k} S^0 \\ \Rightarrow H_n S^k &= \begin{cases} \mathbb{Z}, & n = 0 \\ \mathbb{Z}, & n = k \\ 0 & \text{else.} \end{cases} \end{aligned}$$

□

**Note** In the reduced case this boils down to

$$\tilde{H}_n S^k \cong \begin{cases} \mathbb{Z}, & n = k \\ 0 & \text{else.} \end{cases}$$

because

$$\tilde{H}_n S^k \cong \tilde{H}_{n-k} S^0 \cong H_{n-k}(\{\cdot\})$$

**Corollary 3.11**  $H_1 S^1 \cong \mathbb{Z}$

**Definition 3.12** Let  $\theta$  be a generator of  $H_1 S^1$ .  $f : S^1 \rightarrow S^1$  has  $\deg(f) \in \mathbb{Z}$  the degree of  $f$  defined by:

$$(H_1 f)(\theta) = \deg(f) \cdot \theta \in H_1 S^1$$

**Lemma 3.13** Let  $f_k : S^1 \rightarrow S^1$  be the  $k$ -power map:

$$z \mapsto z^k, \quad z \in S^1 = \{c \in \mathbb{C} \mid |c| = 1\}$$

then  $\deg(f_k) = k$ .

**Proof**  $k=2$ :  $f_2(z) = z^2$  corresponds to:

$$S^1 \xrightarrow{c} S^1 \vee S^1 \xrightarrow{\nabla} S^1$$

where  $\nabla$  is a folding map  $\langle \text{id}, \text{id} \rangle$ .

$c$  induces:

$$\begin{aligned} C_*(S^1 \vee S^1, X) &\longrightarrow C_*(S^1, X) \\ &\cong \\ \Omega X \times \Omega X &\xrightarrow{\mu} \Omega X \end{aligned}$$

thus:

$$H_1(f_2) : H_1 S^1 \xrightarrow{H_1 c} \underbrace{H_1(S^1 \vee S^1)}_{\cong H_1 S^1 \oplus H_1 S^1} \xrightarrow{H_1 \nabla} H_1 S^1$$

yields:

$$\begin{aligned} \mathbb{Z} &\rightarrow \mathbb{Z} \oplus \mathbb{Z} \xrightarrow{\nabla_*} \mathbb{Z} \\ 1 &\mapsto (s, t) \mapsto s + t \end{aligned}$$

where  $s$  is obtained from:

$$\begin{array}{ccc} H_1 S^1 & \longrightarrow & H_1(S^1 \vee S^1) \\ & \searrow \text{id} & \downarrow \text{pr} \\ & & H_1 S^1 \end{array}$$

where  $\text{id}$  maps  $\theta$  to  $s \cdot \theta$ , therefore  $s = 1$ , and similarly  $t = 1$ .

$$\Rightarrow H_1(f_2)(\theta) = 2\theta : \deg f_2 = 2.$$

□

**Remark**  $f_k : S^1 \rightarrow S^1$  yields  $\Sigma^{n-1} f_k : S^n \rightarrow S^n$  using the suspension isomorphism:

$$H_n(\Sigma^{n-1} f_k) : H_n S^n \rightarrow H_n S^n$$

which is a multiplication by  $k$  (i.e.  $\Sigma^{n-1} f_k : S^n \rightarrow S^n$  has degree  $k$ )

**Lemma 3.14**  $X, Y \in \underline{\text{Top}}$ , then  $\tilde{h}_n(X \vee Y) \cong \tilde{h}_n X \oplus \tilde{h}_n Y$  if “base-point is good” (i.e.  $\{x_0\} \subset X$  and  $\{y_0\} \subset Y$  NDR), and  $H_n(X \vee Y) \cong H_n X \oplus H_n Y$  if  $n \neq 0$ .

**Proof**

$$\begin{array}{ccc} \{\bullet\} & \longrightarrow & X \\ \downarrow & & \downarrow \\ Y & \longrightarrow & X \vee Y \end{array}$$

a push-out. MV:

$$\underbrace{\tilde{h}_n \{\bullet\}}_0 \rightarrow \tilde{h}_n X \oplus \tilde{h}_n Y \rightarrow \tilde{h}_n(X \vee Y) \xrightarrow{\partial} \underbrace{\tilde{h}_{n-1} \{\bullet\}}_0$$

□

**Remark** CW-complexes are locally contractible, therefore every  $x_0 \in X \in \underline{CW}$  is a “good” base-point.

**Definition 3.15**  $k > 0, k \in \mathbb{Z}: f_k : S^1 \rightarrow S^1$ , then the Moore-space of type  $(\mathbb{Z}/k\mathbb{Z}, 1)$  is defined as:

$$M(\mathbb{Z}/k\mathbb{Z}, 1) := S^1 \cup_{f_k} D^2$$

**Lemma 3.16**

$$\tilde{H}_i M(\mathbb{Z}/k\mathbb{Z}, 1) \cong \begin{cases} \mathbb{Z}/k\mathbb{Z} & i = 1 \\ 0 & \text{else} \end{cases}$$

or more generally:  $H_n X \cong \tilde{H}_n X$  if  $n \neq 0$  and:

$$H_n M(\mathbb{Z}/k\mathbb{Z}, 1) \cong \begin{cases} \mathbb{Z} & n = 0 \\ \mathbb{Z}/k\mathbb{Z} & n = 1 \\ 0 & \text{else} \end{cases}$$

**Proof** We have a push-out diagram:

$$\begin{array}{ccc} S^1 & \xrightarrow{f_k} & S^1 \\ \downarrow & & \downarrow \\ \{\cdot\} \simeq D^2 & \longrightarrow & M(\mathbb{Z}/k\mathbb{Z}, 1) =: M \end{array}$$

and the MV-sequence yields:

$$\dots \rightarrow \tilde{H}_i S^1 \rightarrow \underbrace{\tilde{H}_i D^2}_0 \oplus \tilde{H}_i S^1 \rightarrow \tilde{H}_i M \xrightarrow{\partial} \dots$$

where  $\tilde{H}_i S^1 \rightarrow \tilde{H}_i S^1$  has degree  $k$ . so:

$$0 \oplus \underbrace{\tilde{H}_2 S^1}_{=0} \rightarrow \tilde{H}_2 M \xrightarrow{\partial} \underbrace{\tilde{H}_1 S^1}_{\cong \mathbb{Z}} \rightarrow 0 \oplus \underbrace{\tilde{H}_1 S^1}_{\cong \mathbb{Z}} \rightarrow \tilde{H}_1 M \xrightarrow{\partial} 0$$

$\xrightarrow{\text{mult. by } k}$

$$\Rightarrow \tilde{H}_1 M = \mathbb{Z}/k\mathbb{Z}, \tilde{H}_i M = 0, i \neq 1. \quad \square$$

**Corollary 3.17**

$$\tilde{H}_i(\Sigma M(\mathbb{Z}/k\mathbb{Z}, 1)) = \begin{cases} \mathbb{Z}/k\mathbb{Z} & i = 2 \\ 0 & \text{else} \end{cases}$$

$$\tilde{H}_i(\Sigma^{n-1}(M(\mathbb{Z}/k\mathbb{Z}, 1))) = \begin{cases} \mathbb{Z}/k\mathbb{Z} & i = n \\ 0 & \text{else} \end{cases}$$

and  $M(\mathbb{Z}/2\mathbb{Z}, 1) = S^1 \cup_{f_2} D^2 = \mathbb{R}P^2$ .



### 3.1 Application of MV-sequence

**Theorem 3.18** *Let  $h_*$  be a homology theory, then:*

$$h_n(S^d \times X) \cong h_n(X) \oplus h_{n-d}(X)$$

**Proof** Consider the following push-out:

$$\begin{array}{ccc} S^d \times X & \hookrightarrow & (D^{d+1} \times X) \simeq X \\ \text{NDR} \downarrow & & \downarrow \\ D^{d+1} \times X & \longrightarrow & \Sigma S^d \times X \end{array}$$

Now form the MV-sequence “mod  $X$ ” (i.e.  $X \subset S^d \times X$ , by choosing a base-point for  $S^d$ ), remember that  $\Sigma S^d \cong S^{d+1}$

$$\begin{aligned} \dots \rightarrow h_{n+1}(D^{d+1} \times X, X) \oplus h_{n+1}(D^{d+1} \times X, X) &\rightarrow h_{n+1}(S^{d+1} \times X, X) \xrightarrow{\partial} \\ &\xrightarrow{\partial} h_n(S^d \times X, X) \rightarrow h_n(D^{d+1} \times X, X) \oplus h_n(D^{d+1} \times X, X) \rightarrow \dots \end{aligned}$$

$\Rightarrow h_{n+1}(S^{d+1} \times X, X) \xrightarrow{\cong} h_n(S^d \times X, X)$ , and

$$h_n(S^d \times X, X) \xrightarrow{\cong} h_{n-1}(S^{d-1} \times X, X) \xrightarrow{\cong} \dots \xrightarrow{\cong} h_{n-d}(S^0 \times X, X)$$

with  $S^0 \times X \cong X \amalg X$

$$h_{n-d}(X) \xrightarrow{\cong} h_{n-d}(S^0 \times X) \xrightarrow{\cong} h_{n-d}(S^0 \times X, X)$$

$\Rightarrow h_{n-d}(S^0 \times X, X) \cong h_{n-d}(X)$

$X \subset S^d \times X$  yields:

$$\dots \xrightarrow{0} h_n(X) \rightarrow h_n(S^d \times X) \rightarrow h_n(S^d \times X, X) \xrightarrow{0} h_{n-1}(X) \rightarrow \dots$$

$$\Rightarrow h_n(S^d \times X) \cong h_n(X) \oplus \underbrace{h_n(S^d \times X, X)}_{\cong h_{n-d}(X)} \quad \square$$

**Corollary 3.19**  $H_i(\underbrace{S^1 \times \dots \times S^1}_{k \text{ copies}}) \cong \begin{cases} \mathbb{Z}^{\binom{k}{i}} & 0 \leq i \leq k \\ 0 & \text{else} \end{cases}$

**Remark** Recall:  $H_i(*) \cong \begin{cases} \mathbb{Z} & i = 0 \\ 0 & \text{else} \end{cases}$

**Proof**  $H_i(S^1 \times \underbrace{S^1 \times \dots \times S^1}_{k-1 \text{ copies}}) \cong \underbrace{H_i((S^1)^{k-1})}_{\mathbb{Z}^{\binom{k-1}{i}}} \oplus \underbrace{H_{i-1}((S^1)^{k-1})}_{\mathbb{Z}^{\binom{k-1}{i-1}}} \cong \mathbb{Z}^{\binom{k}{i}} \quad \square$

**Example**  $H_i(S^1 \times S^1) \cong \begin{cases} \mathbb{Z} & i = 0 \\ \mathbb{Z} \oplus \mathbb{Z} & i = 1 \\ \mathbb{Z} & i = 2 \\ 0 & \text{else} \end{cases}$

## 4 Singular and cellular homology

### 4.1 Singular homology

We want to construct an ordinary homology theory on  $\underline{\text{Top}}^2$ .

**Definition 4.1** *Standard  $n$ -simplex:*

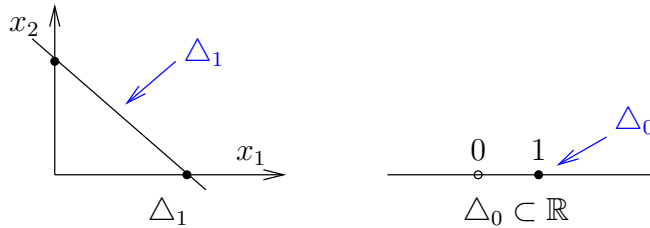
$$\Delta_n := \left\{ (x_1, \dots, x_{n+1}) \in \mathbb{R}^{n+1} \mid \sum x_j = 1, x_i \geq 0 \forall i \right\}$$

$\Delta_n$  has  $n+1$  "faces"  $i_k^n : \Delta_{n-1} \rightarrow \Delta_n$  given by:

$$i_k^n(x_1, \dots, x_n) = \begin{cases} (0, x_1, \dots, x_n) & k = 1 \\ (x_1, \dots, 0, x_k, \dots, x_n) & 1 < k < n+1 \\ (x_1, \dots, x_n, 0) & k = n+1 \end{cases}$$

(so  $1 \leq k \leq n+1$ )

**Example**



**Definition 4.2**  $X \in \underline{\text{Top}}$ :

$$C_n^{\text{sing}}(X) := \bigoplus_{\sigma: \Delta_n \rightarrow X} \mathbb{Z}_\sigma$$

with  $\mathbb{Z}_\sigma \cong \mathbb{Z}$  (free abelian group, with basis  $\{\sigma : \Delta_n \rightarrow X\}$ )

$\sigma : \Delta_n \rightarrow X$  is called a singular  $n$ -simplex of  $X$ , and:

$$\begin{aligned} \partial_n : C_n^{\text{sing}}(X) &\rightarrow C_{n-1}^{\text{sing}}(X) \\ (\sigma : \Delta_n \rightarrow X) &\mapsto \sum_k (-1)^{k+1} (\Delta_{n-1} \xrightarrow{i_k^n} \Delta_n \xrightarrow{\sigma} X) \end{aligned}$$

for which we write:  $\partial_n \sigma = \sum_k (-1)^{k+1} \sigma \circ i_k^n$

One checks that

$$C_n^{\text{sing}}(X) \xrightarrow{\partial_n} C_{n-1}^{\text{sing}}(X) \xrightarrow{\partial_{n-1}} C_{n-2}^{\text{sing}}(X)$$

is 0, i.e.  $\partial_{n-1} \partial_n = 0$ :

$$B_{n-1}^{\text{sing}}(X) := \text{im}(\partial_n) \subset \ker \partial_{n-1} =: Z_{n-1}^{\text{sing}}(X)$$

$((n-1)$ -cycles) and  $B_{n-1}^{\text{sing}}(X)$   $((n-1)$ -boundaries)

$$H_n^{\text{sing}}(X) := Z_n^{\text{sing}}(X) / B_n^{\text{sing}}(X)$$

“ $n$ -th singular homology group of  $X$ ”

$$H_0^{\text{sing}}(X) := C_0^{\text{sing}}(X) / B_0^{\text{sing}}(X)$$

We use the following convention:  $C_i^{\text{sing}}(X) = 0$  if  $i < 0$ , and

$$C_1^{\text{sing}}(X) \xrightarrow{\partial_1} C_0^{\text{sing}}(X) \xrightarrow{\partial_0} C_{-1}^{\text{sing}}(X) = 0$$

$\{C_n^{\text{sing}}(X), \partial_n\}_{n \in \mathbb{Z}}$  is the singular chain complex of  $X$ . We usually just write  $C_\star^{\text{sing}}(X)$  and we often just write  $\partial$  for  $\partial_n$  ( $\Rightarrow \partial \partial = 0$ ).

$H_n^{\text{sing}}$  is a functor: Given  $f : X \rightarrow Y$ , we define

$$C_n^{\text{sing}}(f) : C_n^{\text{sing}}(X) \rightarrow C_n^{\text{sing}}(Y)$$

by looking at a generator  $\sigma : \Delta_n \rightarrow X$  of  $C_n^{\text{sing}}(X)$ :

$$(\sigma : \Delta_n \rightarrow X) \mapsto (\Delta_n \xrightarrow{\sigma} X \xrightarrow{f} Y)$$

so  $C_n^{\text{sing}}(f)(\sigma) = f \circ \sigma$ , and therefore  $C_n^{\text{sing}}(\text{id}) = \text{id}$  and

$$C_n^{\text{sing}}(f \circ g)(\sigma) = (f \circ g) \circ \sigma = f \circ (g \circ \sigma) = (C_n^{\text{sing}}(f) \circ C_n^{\text{sing}}(g))(\sigma)$$

Compatibility with “ $\partial$ ”: Given  $f : X \rightarrow Y$ , we consider

$$\begin{array}{ccc} C_n^{\text{sing}}(X) & \xrightarrow{C_n^{\text{sing}} f} & C_n^{\text{sing}}(Y) \\ \downarrow \partial & & \downarrow \partial \\ C_{n-1}^{\text{sing}}(X) & \xrightarrow{C_{n-1}^{\text{sing}} f} & C_{n-1}^{\text{sing}}(Y) \end{array}$$

This diagram is commutative: Take a generator  $\sigma \in C_n^{\text{sing}}(X)$  and compute

$$\partial((C_n^{\text{sing}} f)(\sigma)) = \partial(f \circ \sigma) = \sum_k (-1)^{k+1} (f \circ \sigma) \circ i_k^n$$

$$(C_{n-1}^{\text{sing}} f)(\partial\sigma) = C_{n-1}^{\text{sing}}(f) \left( \sum_k (-1)^{k+1} \sigma \circ i_k^n \right) = \sum_k (-1)^{k+1} f \circ (\sigma \circ i_k^n)$$

so the two turn out to be the same, therefore

$$C_n^{\text{sing}}(f) (Z_n^{\text{sing}}(X)) \subset Z_n^{\text{sing}}(Y)$$

$$C_n^{\text{sing}}(f) B_n^{\text{sing}}(X) \subset B_n^{\text{sing}}(Y)$$

Therefore,  $f$  induces

$$\begin{array}{ccccc} B_n^{\text{sing}}(X) & \hookrightarrow & Z_n^{\text{sing}}(X) & \twoheadrightarrow & H_n^{\text{sing}}(X) \\ \downarrow & & \downarrow & & \downarrow H_n f \\ B_n^{\text{sing}}(Y) & \hookrightarrow & Z_n^{\text{sing}}(Y) & \twoheadrightarrow & H_n^{\text{sing}}(Y) \end{array}$$

Definition for  $H_n^{\text{sing}}$  on  $\underline{\text{Top}}^2$ : Take  $(X, A) \in \underline{\text{Top}}^2$ ,  $A \subset X$ , then

$$\begin{aligned} C_n^{\text{sing}}(A) &\subset C_n^{\text{sing}}(X) \\ (\sigma : \Delta_n \rightarrow A) &\mapsto (\sigma : \Delta_n \rightarrow A \subset X) \end{aligned}$$

$$C_n^{\text{sing}}(X, A) := C_n^{\text{sing}}(X) / C_n^{\text{sing}}(A)$$

and we can define  $\partial_n$  as the induced map  $\partial$  from

$$\begin{array}{ccc} C_n^{\text{sing}}(X) & \longleftarrow & C_n^{\text{sing}}(A) \\ \downarrow \partial & & \downarrow \partial \\ C_{n-1}^{\text{sing}}(X) & \longleftarrow & C_{n-1}^{\text{sing}}(A) \end{array}$$

which means  $C_n^{\text{sing}}(X, A) \xrightarrow{\partial_n} C_{n-1}^{\text{sing}}(X, A)$ . Now we can finally write down the

**Definition 4.3** Let  $(X, A) \in \underline{\text{Top}}^2$ ; then

$$H_n^{\text{sing}}(X, A) := \ker \left( C_n^{\text{sing}}(X, A) \xrightarrow{\partial_n} C_{n-1}^{\text{sing}}(X, A) \right) \\ / \text{im} \left( C_{n+1}^{\text{sing}}(X, A) \xrightarrow{\partial_{n+1}} C_n^{\text{sing}}(X, A) \right)$$

This defines functors  $H_n : \underline{\text{Top}}^2 \rightarrow \underline{\text{Ab}}$ .

We need a natural transformation  $H_n^{\text{sing}}(X, A) \xrightarrow{\partial} H_{n-1}^{\text{sing}}(A)$ . This “ $\partial$ ” is defined as follows: Take  $[z] \in H_n^{\text{sing}}(X, A)$ ,  $z \in C_n^{\text{sing}}(X, A)$ . Look at a cycle  $\tilde{z} \in C_n^{\text{sing}} X$ :

$$\begin{array}{ccccccc} C_n^{\text{sing}}(A) & \hookrightarrow & C_n^{\text{sing}} X & \xrightarrow{\alpha} & C_n^{\text{sing}}(X, A) & \ni & z = \alpha \tilde{z} \\ \downarrow & & \downarrow \partial & & \downarrow \partial & & \downarrow \\ C_{n-1}^{\text{sing}}(A) & \hookrightarrow & C_{n-1}^{\text{sing}} X & \twoheadrightarrow & C_{n-1}^{\text{sing}}(X, A) & \ni & 0 \end{array}$$

$\partial \tilde{z} \in C_{n-1}^{\text{sing}} A \subset C_{n-1}^{\text{sing}}(X)$  is a cycle in  $C_{n-1}^{\text{sing}}(A)$ , namely  $\partial(\partial \tilde{z}) = (\partial \partial)z = 0$ . So define:

$$H_n(X, A) \xrightarrow{\partial} H_{n-1}(A) \\ [z] \mapsto [\partial \tilde{z}]$$

If we choose another counter image  $\tilde{z}' \in C_n^{\text{sing}}(X)$  of  $z$ :  $\tilde{z}' - \tilde{z} \in C_n^{\text{sing}}(A)$ , so for some  $a \in C_n^{\text{sing}}(A)$  we have  $\partial \tilde{z}' - \partial \tilde{z} = \partial a \in C_{n-1}^{\text{sing}}(A)$  and therefore  $[\partial \tilde{z}'] = [\partial \tilde{z}] \in H_{n-1}^{\text{sing}}(A)$

**Theorem 4.4**  $(H_*^{\text{sing}}, \partial)$  is a homology theory, satisfying the dimension axiom.

**Proof** (Sketch)

1. Homotopy Axiom:

$$F : f \simeq g; f : X \rightarrow Y, g : X \rightarrow Y \xrightarrow{?} H_n f = H_n g : H_n^{\text{sing}} X \rightarrow H_n^{\text{sing}} Y. \\ F : X \times I \rightarrow Y, F(x, 0) = f(x), F(x, 1) = g(x)$$

$$X \xrightarrow[i_1]{i_0} X \times I \xrightarrow{F} Y \quad F i_0 = f, F i_1 = g$$

$\Rightarrow$  it suffices to check that  $H_n^{\text{sing}} i_0 = H_n^{\text{sing}} i_1$ , because then:

$$\begin{aligned} H_n^{\text{sing}} f &= H_n^{\text{sing}}(F \circ i_0) = H_n^{\text{sing}} F \circ H_n^{\text{sing}} i_0 \\ &= H_n^{\text{sing}} F \circ H_n^{\text{sing}} i_1 = H_n^{\text{sing}}(F \circ i_1) = H_n^{\text{sing}}(g) \end{aligned}$$

So we have to consider:  $X \begin{matrix} \xrightarrow{i_0} \\ \xrightarrow{i_1} \end{matrix} X \times I :$

$$C_n^{\text{sing}} i_0, C_n^{\text{sing}} i_1 : C_n^{\text{sing}} X \rightrightarrows C_n^{\text{sing}}(X \times I)$$

$C_*^{\text{sing}} i_0, C_*^{\text{sing}} i_1$  are chain homotopic (see chapter 6)  $\Rightarrow H_* i_0 = H_* i_1$

2. Long exact sequence axiom:

$(X, A) \in \underline{\text{Top}}^2 :$

$$0 \rightarrow C_*^{\text{sing}} A \rightarrow C_*^{\text{sing}} X \rightarrow C_*^{\text{sing}}(X, A) \rightarrow 0$$

short exact sequence of chain complexes. This gives rise to a long exact “homology sequence” (see chapter 6)

$$\dots \rightarrow H_n^{\text{sing}} X \rightarrow H_n^{\text{sing}}(X, A) \xrightarrow{\partial} H_{n-1}^{\text{sing}} A \rightarrow H_{n-1}^{\text{sing}} X \rightarrow \dots$$

3. Additivity:  $C_n^{\text{sing}}(\coprod_{\alpha \in I} X_\alpha) \cong \bigoplus_{\alpha \in I} C_n^{\text{sing}}(X_\alpha)$ .  $\Delta_n \xrightarrow{f} \coprod_{\alpha \in I} X_\alpha$ , compatible with  $\partial \Rightarrow f(\Delta_n) \subset X_\alpha$  for some  $\alpha$  (because  $\Delta_n$  is connected)  $\Rightarrow$  induces:

$$H_n^{\text{sing}}\left(\coprod_{\alpha \in I} X_\alpha\right) \cong \bigoplus_{\alpha \in I} H_n^{\text{sing}}(X_\alpha)$$

4. Excision: Given  $X \supset B \supset A$  with  $\bar{A} \subset \overset{\circ}{B} \subset X$

$$\xrightarrow{?} H_n^{\text{sing}}(X \setminus A, B \setminus A) \xrightarrow{\cong} H_n^{\text{sing}}(X, B)$$

Let  $\mathfrak{U} = \{U_\alpha\}_{\alpha \in I}$  be a covering of  $X$  with  $U_\alpha \subset X$ ,  $\alpha \in I$  with  $\bigcup_{\alpha \in I} \overset{\circ}{U}_\alpha = X$ . Define  $C_n^{\mathfrak{U}}(X)$  as subgroup of  $C_n^{\text{sing}} X$  generated by the singular  $n$ -simplices  $f : \Delta_n \rightarrow X$  such that  $f(\Delta_n) \subset U_\alpha$  for some  $\alpha$  (“ $\mathfrak{U}$ -small simplices”).  $\Rightarrow C_*^{\mathfrak{U}}(X) \subset C_*^{\text{sing}}(X)$  is a subcomplex and it induces an isomorphism in homology:

$$\ker(C_n^{\mathfrak{U}} \xrightarrow{\partial} C_{n-1}^{\mathfrak{U}}) / \text{im}(C_{n+1}^{\mathfrak{U}} \rightarrow C_n^{\mathfrak{U}}) =: H_n^{\mathfrak{U}} X \xrightarrow{\cong} H_n^{\text{sing}} X$$

(See Lück p. 29). Idea: for  $\Delta_n$  “barycentric subdivision”: new vertices are barycentres of faces (figure 7). Now take for  $\mathfrak{U}$  the cover:  $X = X \setminus A \cup B$

$$(X \setminus \bar{A}) = (X \setminus \overset{\circ}{A}) \subset (X \setminus A) \Rightarrow X = (X \setminus \overset{\circ}{A}) \cup \overset{\circ}{B}, \quad \bar{A} \subset \overset{\circ}{B} \subset B$$

the function:

$$C_n^{\text{sing}}(X \setminus A) / C_n^{\text{sing}}(B \setminus A) \rightarrow C_n^{\text{sing}} X / C_n^{\text{sing}} B$$

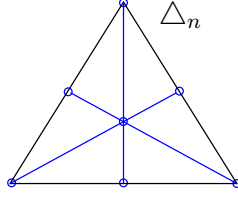


Figure 7: Barycentric subdivision

should induce an isomorphism in homology. Look at:

$$\begin{aligned}
C_n^{\mathcal{U}}(X) &= C_n^{\text{sing}}(X \setminus A) + C_n^{\text{sing}}(B) \subset C_n^{\text{sing}} X \\
\Rightarrow C_n^{\text{sing}}(X \setminus A)/C_n^{\text{sing}}(B \setminus A) &\cong \\
&\cong \underbrace{(C_n^{\text{sing}}(X \setminus A) + C_n^{\text{sing}}(B))}_{C_n^{\mathcal{U}}(X)} / \underbrace{(C_n^{\text{sing}}(B \setminus A) + C_n^{\text{sing}}(B))}_{C_n^{\text{sing}}(B)}
\end{aligned}$$

$\phi : C_n^{\mathcal{U}}(X)/C_n^{\text{sing}}(B) \rightarrow C_n^{\text{sing}}(X)/C_n^{\text{sing}}(B)$  use the following lemma:

**Lemma 4.5** *Given a diagram of chain complexes:*

$$\begin{array}{ccccccc}
0 & \longrightarrow & A_* & \longrightarrow & B_* & \longrightarrow & C_* \longrightarrow 0 \\
& & \alpha \downarrow & & \beta \downarrow & & \gamma \downarrow \\
0 & \longrightarrow & D_* & \longrightarrow & E_* & \longrightarrow & F_* \longrightarrow 0
\end{array}$$

*if two of  $H_*\alpha$ ,  $H_*\beta$ ,  $H_*\gamma$  are an isomorphism, then the third one is too.*

**Proof**

$$\begin{array}{cccccccc}
\cdots & \longrightarrow & H_n A_* & \longrightarrow & H_n B_* & \longrightarrow & H_n C_* & \xrightarrow{\partial} & H_{n-1} A_* & \longrightarrow & H_{n-1} B_* & \longrightarrow & \cdots \\
& & \downarrow \cong & & \downarrow \cong & & \downarrow & & & & & & & \\
\cdots & \longrightarrow & H_n D_* & \longrightarrow & H_n E_* & \longrightarrow & H_n F_* & \xrightarrow{\partial} & H_{n-1} D_* & \longrightarrow & H_{n-1} E_* & \longrightarrow & \cdots
\end{array}$$

□

$$\begin{array}{ccccccc}
0 & \longrightarrow & C_*^{\text{sing}} B & \longrightarrow & C_*^{\mathcal{U}} X & \longrightarrow & C_*^{\mathcal{U}} X / C_*^{\text{sing}} B \longrightarrow 0 \\
& & \downarrow \text{id} & & \downarrow \text{lemma} & & \downarrow \\
0 & \longrightarrow & C_*^{\text{sing}} B & \longrightarrow & C_*^{\text{sing}} X & \longrightarrow & C_*^{\text{sing}} X / C_*^{\text{sing}} B \longrightarrow 0
\end{array}$$

$\Rightarrow H_*\phi$  is an isomorphism.

$\Rightarrow H_*^{\text{sing}}$  is a homology theory.

5.  $H_*^{\text{sing}}$  satisfies the dimension axiom:

Claim:

$$H_n^{\text{sing}}(\{*\}) \cong \begin{cases} 0 & n \neq 0 \\ \mathbb{Z} & n = 0 \end{cases}$$

Indeed:

$$\dots \rightarrow \underbrace{C_n^{\text{sing}}(\{*\})}_{\text{generated by } \sigma_n : \Delta_n \xrightarrow{\cong} \{*\}} \xrightarrow{\partial} C_{n-1}^{\text{sing}}(\{*\}) \rightarrow \dots \rightarrow C_0^{\text{sing}}(\{*\}) \rightarrow 0$$

so  $C_*^{\text{sing}}(\{*\})$  looks as follows:

$$\dots \rightarrow \mathbb{Z} = \langle \sigma_n \rangle \xrightarrow{\partial_n} \mathbb{Z} = \langle \sigma_{n-1} \rangle \xrightarrow{\partial_{n-1}} \dots \rightarrow \mathbb{Z} \rightarrow 0$$

with

$$\partial_n \sigma_n = \sum_{1 \leq k \leq n+1} (-1)^{k+1} (\sigma_{n-1}) = \begin{cases} 0 & n+1 \text{ even} \\ \sigma_{n-1} & n+1 \text{ odd} \end{cases}$$

$C_*^{\text{sing}}(\{*\})$ :

$$\begin{array}{ccccccc} \dots & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{Z} & \longrightarrow & \dots \xrightarrow{0} \mathbb{Z} \xrightarrow{\partial_1} \mathbb{Z} \xrightarrow{\partial_0} 0 \\ & & & & & & \begin{array}{ccc} = & & = \\ \langle \sigma_1 \rangle & & \langle \sigma_0 \rangle \end{array} \end{array}$$

and  $H_n^{\text{sing}}(\{*\}) = 0$  for  $n > 0$ .  $H_0^{\text{sing}}(\{*\}) = \underbrace{\ker(\partial_0)}_{\mathbb{Z}} / \underbrace{\text{im}(\partial_1)}_{\{0\}} \cong \mathbb{Z}$

□

Some Applications:

$$1. H_n^{\text{sing}}(S^0) = \begin{cases} \mathbb{Z} \oplus \mathbb{Z} & n = 0 \\ 0 & \text{else} \end{cases}$$

$$2. \text{ if } k > 0: H_n^{\text{sing}}(S^k) \cong \begin{cases} \mathbb{Z} & n = 0 \text{ or } n = k \\ 0 & \text{else} \end{cases}$$

**Corollary 4.6 (Brouwer fixed point theorem)** *Every map  $f : D^n \rightarrow D^n$  has a fixed point (i.e. an  $x \in D^n$  with  $f(x) = x$ ).*



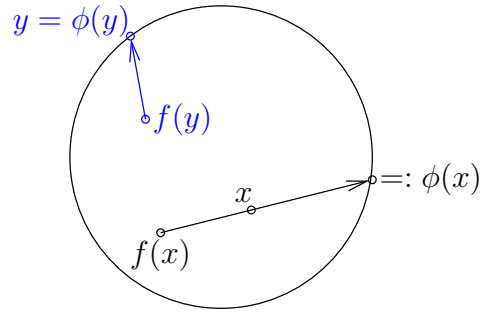


Figure 8: Definition of  $\phi$

**Proof** Suppose  $f$  has no fixed point. Consider the ray from  $f(x)$  to  $x$  ( $x \in D^n$ ), and its intersection  $\phi(x)$  with  $\partial D^n = S^{n-1}$  (figure 8).

$$\begin{array}{ccc} \phi : D^n & \longrightarrow & S^{n-1} \\ \uparrow & \nearrow & \phi|_{S^{n-1}} = \text{id} \\ S^{n-1} & & \end{array}$$

Apply  $H_{n-1}^{\text{sing}}$  (assuming  $n > 0$ )

$$\begin{array}{ccc} H_{n-1}^{\text{sing}} D^n & \longrightarrow & H_{n-1}^{\text{sing}} S^{n-1} \\ \uparrow & \nearrow & \text{id} \\ H_{n-1}^{\text{sing}} S^{n-1} & & \end{array}$$

$$\begin{array}{ccc} \text{if } n = 1: & \mathbb{Z} \longrightarrow \mathbb{Z} \oplus \mathbb{Z} & \text{if } n > 1: & 0 \longrightarrow \mathbb{Z} \\ \uparrow & \nearrow & \text{id} & \uparrow & \nearrow & \text{id} \\ \mathbb{Z} \oplus \mathbb{Z} & & & \mathbb{Z} & & \end{array}$$

in either case, this is a contradiction. □

**Corollary 4.7 (Invariance of dimension)**  $\mathbb{R}^n \cong \mathbb{R}^m \Rightarrow n = m$

**Proof** Let:

$$\begin{aligned} \phi : \mathbb{R}^n &\xrightarrow{\cong} \mathbb{R}^m \\ x_0 &\mapsto \phi(x_0) \end{aligned}$$

$\Rightarrow$  induces  $\mathbb{R}^n \setminus \{x_0\} \xrightarrow{\cong} \mathbb{R}^m \setminus \{\phi(x_0)\}$ . But:  $\mathbb{R}^n \setminus \{x_0\} \simeq S^{n-1}$  and  $\mathbb{R}^m \setminus \{\phi(x_0)\} \simeq S^{m-1}$  imply:  $S^{n-1} \simeq S^{m-1}$  and therefore  $H_*^{\text{sing}} S^{n-1} \cong H_*^{\text{sing}} S^{m-1} \Rightarrow n = m$ . □

**Theorem 4.8 (Borsuk-Ulam Theorem)** *There is no injective map  $S^2 \rightarrow \mathbb{R}^2$ .*

**Note**  $S^2 \setminus \{x\} \cong \mathbb{R}^2 \hookrightarrow \mathbb{R}^2 \setminus \{0\}$  via  $\mathbb{R}^2 \cong \dot{D}^2 \subset \mathbb{R}^2$

**Proof** Suppose  $\phi : S^2 \rightarrow \mathbb{R}^2$  injective  $\Rightarrow \phi(x) \neq \phi(-x) \forall x \in S^2$ . Let  $\psi(x) = \frac{\phi(x) - \phi(-x)}{\|\phi(x) - \phi(-x)\|} \in S^1$

$$\begin{array}{ccc}
 S^2 & \xrightarrow{\psi} & S^1 \\
 x \sim -x \downarrow & & \downarrow y \sim -y \\
 \mathbb{R}P^2 & \xrightarrow{\exists \bar{\psi}} & \mathbb{R}P^1 \cong S^1
 \end{array} \tag{D}$$

$\bar{\psi}$  induced by  $\psi$  because  $\psi(A_2x) = A_1\psi(x)$  with  $A_2 : S^2 \rightarrow S^2, x \mapsto -x$ ,  $A_1 : S^1 \rightarrow S^1, y \mapsto -y$ .

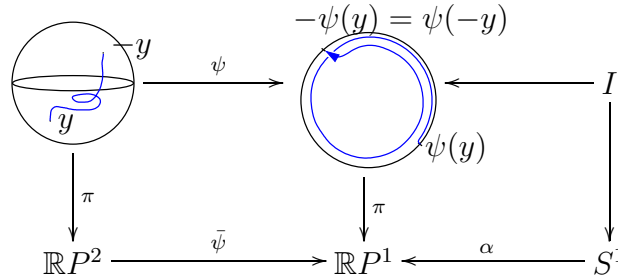
Claim: From the diagram (D) we have

$$H_1^{\text{sing}}(\bar{\psi}) : H_1^{\text{sing}}\mathbb{R}P^2 \xrightarrow{\neq 0} H_1^{\text{sing}}\mathbb{R}P^1$$

which is a contradiction because

$$\begin{array}{ll}
 H_1^{\text{sing}}\mathbb{R}P^2 = \mathbb{Z}/2\mathbb{Z} & (\mathbb{R}P^2 = S^1 \cup_2 e^2) \\
 H_1^{\text{sing}}\mathbb{R}P^1 = \mathbb{Z} & (\mathbb{R}P^1 \cong S^1)
 \end{array}$$

Proof of the claim: We use the following fact on covering spaces: Let  $X \xrightarrow{\pi} Y$  be a covering. For every loop  $\omega$  with base-point  $x_0$ , there is a unique lift  $\tilde{\omega}$  for a given initial point  $\tilde{x}_0$  over  $x_0$  (i.e.  $\pi(\tilde{x}_0) = x_0$ ). (See Topologie SS 05.) If  $w \simeq \text{const.}$  (i.e.  $[\omega] = 0 \in \pi_1(X, x_0)$ ) then  $\tilde{\omega}$  has to be a loop too (this follows from the homotopy lifting property for  $\pi : X \rightarrow Y$ ). So we can look at (D) as



If we take a path  $\sigma : I \rightarrow S^2$  from  $y$  to  $-y$ , then  $\pi\sigma$  is a loop in  $\mathbb{R}P^2 \Rightarrow [\pi\sigma] \in \pi_1(\mathbb{R}P^2)$  is not trivial. The loop  $[\pi\psi\sigma] \in \pi_1\mathbb{R}P^1$  is  $\neq 0 \Rightarrow$  degree of the corresponding map  $S^1 \xrightarrow{\alpha} S^1 = \mathbb{R}P^1$  is  $\neq 0$

$$\mathbb{Z}/2\mathbb{Z} \cong H_1^{\text{sing}}(\mathbb{R}P^2) \xrightarrow{H_1^{\text{sing}}(\bar{\psi})} H_1^{\text{sing}}(\mathbb{R}P^1) \cong \mathbb{Z}$$

$\Rightarrow H_1^{\text{sing}}(\bar{\psi}) \neq 0.$  □

**Remark** General Borsuk-Ulam:  $S^n \not\hookrightarrow \mathbb{R}^n$

**Proof** As before

$$\begin{array}{ccc} S^n & \xrightarrow{\psi} & S^{n-1} \\ \downarrow \pi & & \downarrow \pi \\ \mathbb{R}P^n & \xrightarrow{\bar{\psi}} & \mathbb{R}P^{n-1} \end{array}$$

However for  $n > 2$

$$H_1^{\text{sing}}\mathbb{R}P^n = H_1^{\text{sing}}\mathbb{R}P^{n-1} = \mathbb{Z}/2\mathbb{Z}$$

so we need to show that any map

$$H_1^{\text{sing}}\mathbb{R}P^n \rightarrow H_1^{\text{sing}}\mathbb{R}P^{n-1}$$

is 0 (see later). □

**Remark** Application: For every point  $x$  on the earth, let  $t(x)$  be the temperature and  $p(x)$  the pressure. Then  $\exists x_1 \neq x_2$  on the earth with  $t(x_1) = t(x_2)$  and  $p(x_1) = p(x_2)$ , because otherwise we could embed

$$\begin{aligned} S^2 &\hookrightarrow \mathbb{R}^2 \\ x &\mapsto (t(x), p(x)) \end{aligned}$$

which of course is a contradiction.

## 4.2 Cellular homology

Let  $X \in \underline{\text{CW}}$ . We want to define an easily computable  $H_n^{\text{cell}}X$  such that  $H_n^{\text{cell}}X \cong H_n^{\text{sing}}X$ .

**Theorem 4.9 (MV for CW-complexes: a variation)**

$$\begin{array}{ccc} A & \xrightarrow{f} & Y \\ \downarrow & & \downarrow \\ X & \longrightarrow & Y \cup_f X \end{array}$$

If  $(X, A) \in \underline{\text{CW}}^2$ ,  $Y \in \underline{\text{CW}}$ , and  $f$  cellular  $\Rightarrow Y \cup_f X \in \underline{\text{CW}}$ .

Then

$$H_i^{\text{sing}}(X, A) \xrightarrow{\cong} H_i^{\text{sing}}(Y \cup_f X, Y) \forall i$$

**Proof** Look at the MV-sequence “mod  $A$ ”.

$$\begin{array}{ccc} & & Z(f) \\ & \nearrow & \downarrow \simeq \\ A & \xrightarrow{f} & Y \end{array}$$

where  $Z(f)$  is the mapping cylinder  $\Rightarrow$  we can assume  $f$  is injective, mapping homeomorphically onto its image: “ $A \subset Y$ ”. So:

$$\dots \underbrace{H_i^{\text{sing}}(A, A)}_0 \rightarrow H_i^{\text{sing}}(X, A) \oplus H_i^{\text{sing}}(Y, A) \xrightarrow{(*)} H_i^{\text{sing}}(Y \cup_f X, A) \xrightarrow{\partial} \underbrace{H_{i-1}^{\text{sing}}(A, A)}_0$$

and  $A \subset Y \subset (Y \cup_f X)$  yields

$$\xrightarrow{\partial} H_i^{\text{sing}}(Y, A) \xrightarrow{\phi} H_i^{\text{sing}}(Y \cup_f X, A) \rightarrow H_i^{\text{sing}}(Y \cup_f X, Y) \xrightarrow{\partial}$$

with  $\phi$  injective from  $(*)$ , therefore  $H_i^{\text{sing}}(Y \cup_f X, Y) \cong \text{coker}(\phi)$   $\square$

**Definition 4.10 (Cellular homology)** Let  $X \in \underline{\text{CW}}$ ,  $X_0 \subset X_1 \subset \dots \subset X$ . By definition we have a push-out

$$\begin{array}{ccc} \coprod S^{n-1} & \xrightarrow{f} & X_{n-1} \\ \downarrow & & \downarrow \\ \coprod D^n & \longrightarrow & X_n \end{array}$$

and by the above theorem

$$H_i^{\text{sing}}(X_n, X_{n-1}) \cong H_i^{\text{sing}}(\coprod D^n, \coprod S^{n-1}) \cong \bigoplus_I H_i^{\text{sing}}(D^n, S^{n-1})$$

but if we look at the long exact sequence of  $D^n \supset S^{n-1}$ ,

$$H_i^{\text{sing}}(D^n, S^{n-1}) \cong \begin{cases} \mathbb{Z}, & i = n \\ 0, & \text{else.} \end{cases}$$

so we define

$$C_n^{\text{cell}}(X) := H_n^{\text{sing}}(X_n, X_{n-1}) \cong \bigoplus_{\# \text{ n-cells}} \mathbb{Z}$$

We need to define  $\partial_n : C_n^{\text{cell}}(X) \rightarrow C_{n-1}^{\text{cell}}(X)$ :  $X_{n-2} \subset X_{n-1} \subset X_n$  yields the triple sequence

$$\begin{array}{ccccccc} H_n^{\text{sing}}(X_n, X_{n-2}) & \longrightarrow & H_n^{\text{sing}}(X_n, X_{n-1}) & \xrightarrow{\partial} & H_{n-1}^{\text{sing}}(X_{n-1}, X_{n-2}) & \longrightarrow & \dots \\ & & \parallel & & \parallel & & \\ & & C_n^{\text{cell}} X & \longrightarrow & C_{n-1}^{\text{cell}} X & & \end{array}$$

Claim:  $C_n^{\text{cell}} X \xrightarrow{\partial_n} C_{n-1}^{\text{cell}} X \xrightarrow{\partial_{n-1}} C_{n-2}^{\text{cell}} X$  is zero. Indeed

$$\begin{array}{ccccc}
 H_n^{\text{sing}}(X_n, X_{n-1}) & \xrightarrow{\partial_n} & H_{n-1}^{\text{sing}}(X_{n-1}, X_{n-2}) & \xrightarrow{\partial_{n-1}} & H_{n-2}^{\text{sing}}(X_{n-2}, X_{n-3}) \\
 \searrow \partial & & \nearrow & & \nearrow \\
 & & H_{n-1}^{\text{sing}} X_{n-1} & \xrightarrow{0} & H_{n-2}^{\text{sing}} X_{n-2}
 \end{array}$$

$\Rightarrow \partial_{n-1} \partial_n = 0 \Rightarrow$  define

$$H_n^{\text{cell}} X := \ker(\partial_n) / \text{im}(\partial_{n+1})$$

**Theorem 4.11**  $X \in \underline{\text{CW}} \Rightarrow H_n^{\text{cell}} X \cong H_n^{\text{sing}} X \forall n$ .

**Proof** First, we claim:  $H_i^{\text{sing}} X_n \xrightarrow{\cong} H_i^{\text{sing}} X$  if  $i < n$ ; and  $H_n^{\text{sing}} X_n \xrightarrow{\text{onto}} H_n^{\text{sing}} X$ .  
Indeed,  $(X_{n+1}, X_n)$ :

$$\dots \rightarrow \underbrace{H_{i+1}^{\text{sing}}(X_{n+1}, X_n)}_{0 \text{ if } i \neq n} \xrightarrow{\partial} H_i^{\text{sing}}(X_n) \rightarrow H_i^{\text{sing}}(X_{n+1}) \rightarrow \underbrace{H_i^{\text{sing}}(X_{n+1}, X_n)}_{0 \text{ if } i \neq n+1} \xrightarrow{\partial} \dots$$

so if  $i < n$ :

$$H_i^{\text{sing}} X_n \xrightarrow{\cong} H_i^{\text{sing}} X_{n+1} \xrightarrow{\cong} H_i^{\text{sing}} X_{n+2} \rightarrow \dots$$

$\Rightarrow$  for  $X$  finite dimensional:  $H_i^{\text{sing}}(X_n) \xrightarrow{\cong} H_i^{\text{sing}} X$  if  $i < n$ .

If  $i = n$ :  $H_n^{\text{sing}} X_n \twoheadrightarrow H_n^{\text{sing}} X_{n+1} \xrightarrow{\cong} \dots$ , so  $H_n^{\text{sing}} X_n \twoheadrightarrow H_n^{\text{sing}} X$  if  $X$  is finite dimensional.

Now consider the diagram

$$\begin{array}{ccccc}
 & & H_n^{\text{sing}} X_n & \xrightarrow{\phi} & H_n^{\text{sing}} X \\
 & \nearrow \partial =: \alpha & \downarrow \beta & & \\
 H_{n+1}^{\text{sing}}(X_{n+1}, X_n) = C_{n+1}^{\text{cell}} X & \xrightarrow{\partial_{n+1}} & C_n^{\text{cell}} X & \xrightarrow{\partial_n} & C_{n-1}^{\text{cell}} X = H_{n-1}^{\text{sing}}(X_{n-1}, X_{n-2}) \\
 & & \downarrow \partial & \nearrow & \\
 & & H_{n-1}^{\text{sing}} X_{n-1} & & 
 \end{array}$$

Kernel of  $\phi$ : Look at the long exact sequence of  $(X_{n+1}, X_n)$ :

$$C_{n+1}^{\text{cell}} X = H_{n+1}^{\text{sing}}(X_{n+1}, X_n) \xrightarrow{\alpha} H_n^{\text{sing}} X_n \xrightarrow{\phi} H_n^{\text{sing}} X_{n+1} \cong H_n^{\text{sing}} X$$

$\Rightarrow \ker(\phi) = \text{im}(\alpha)$ .

Define

$$\begin{aligned} \gamma : H_n^{\text{sing}}(X) &\longrightarrow H_n^{\text{cell}} X = \ker(\partial_n) / \text{im}(\partial_{n+1}) \\ x &\longmapsto [\beta(x)] \end{aligned}$$

Then  $\gamma$  bijective follows from the diagram above.  $\square$

$X \in \underline{\text{CW}}$ :  $H_n^{\text{sing}}(X) \xrightarrow{\cong} H_n^{\text{cell}}(X)$

relative groups:

1.  $X \in \underline{\text{CW}}$ :

$$\tilde{H}_n^{\text{cell}}(X) = \ker(H_n^{\text{cell}}(X) \rightarrow H_n^{\text{cell}}(\{*\}))$$

2.  $(X, A) \in \underline{\text{CW}}^2$ ,  $A \neq \emptyset$ :

$$H_n^{\text{cell}}(X, A) := \tilde{H}_n^{\text{cell}}(X/A) \quad (H_n^{\text{cell}}(X, \emptyset) := H_n^{\text{cell}}(X))$$

$\Rightarrow H_n^{\text{cell}}(X, A) \cong H_n^{\text{sing}}(X, A)$  because:

$$\left. \begin{array}{ccc} A & \xrightarrow{\text{NDR}} & X \\ \downarrow \text{NDR} & & \downarrow \\ CA & \longrightarrow & X \cup_A CA \end{array} \right\} \begin{aligned} H_n^{\text{sing}}(X, A) &\cong H_n^{\text{sing}}(X \cup_A CA, CA) \\ &\cong H_n^{\text{sing}}(X/A, \{*\}) \cong \tilde{H}_n^{\text{sing}}(X/A) \end{aligned}$$

$\Rightarrow (X, A) \in \underline{\text{CW}}^2$  yields a long exact sequence:

$$\dots \rightarrow H_n^{\text{cell}} A \rightarrow H_n^{\text{cell}} X \rightarrow H_n^{\text{cell}}(X, A) \xrightarrow{\partial} H_{n-1}^{\text{cell}} A \rightarrow \dots$$

Final Remarks:

1.  $X \in \underline{\text{Top}} \Rightarrow H_0^{\text{sing}}(X) \cong \bigoplus_{\pi_0(X)} \mathbb{Z}$ , where  $\pi_0 X := [\{*\}, X]$

**Note**  $f, g : \{*\} \rightarrow X$  are homotopic if and only if  $f(*)$  and  $g(*)$  are in the same path component of  $X$ :  $\pi_0 X \xrightarrow{\text{bij.}} \{\text{path components of } X\}$

**Proof**

$$\dots \rightarrow C_1^{\text{sing}} X \xrightarrow{\partial_1} C_0^{\text{sing}} X \xrightarrow{\partial_0} 0$$

$C_0^{\text{sing}}$  has basis  $\sigma : \{0\} = \Delta_0 \rightarrow X$

$\Rightarrow H_0^{\text{sing}}(X) = C_0^{\text{sing}}(X) / \text{im}(\partial_1)$

$\Rightarrow C_0^{\text{sing}}(X) \ni c = \sum_{x \in X} n_x x$  finite sum  $n_x \in \mathbb{Z}$

$$\begin{aligned} &\rightarrow C_1^{\text{sing}}(X) \xrightarrow{\partial_1} C_0^{\text{sing}}(X) \xrightarrow{\partial_0} \\ &(\sigma : \Delta_1 \rightarrow X) \mapsto \sigma(0, 1) - \sigma(1, 0) \end{aligned}$$

$\Rightarrow C_0^{\text{sing}}(X) / \text{im}(\partial_1) \cong \bigoplus_{\pi_0(X)} \mathbb{Z}$   $\square$

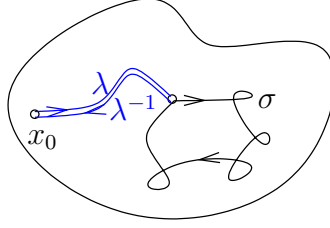


Figure 9: proof

2.  $X \in \underline{\text{Top}}$ ,  $X$  path connected ( $\Rightarrow H_0^{\text{sing}}(X) \cong \mathbb{Z} \Rightarrow$

$$H_1^{\text{sing}}(X) \cong \pi_1(X)/[\pi_1 X, \pi_1 X]$$

with  $[\pi_1 X, \pi_1 X]$  the commutator subgroup of  $\pi_1(X)$

**Proof** (Sketch)

Consider the ‘‘Hurewicz Homomorphism’’:

$$\begin{aligned} Hu : \pi_1(X) &\rightarrow H_1^{\text{sing}}(X) \\ [S^1 \xrightarrow{f} X]_* &\mapsto H_1^{\text{sing}}(f)(c_1) \quad \text{where } \langle c_1 \rangle = H_1^{\text{sing}} S^1 \end{aligned}$$

claim:  $Hu$  induces  $\pi_1 X/[\pi_1 X, \pi_1 X] \xrightarrow{\cong} H_1^{\text{sing}}(X)$

- onto:

$$H_1^{\text{sing}}(X) \leftarrow Z_1^{\text{sing}}(X) = \ker(C_1^{\text{sing}}(X) \xrightarrow{\partial_1} C_0^{\text{sing}}(X))$$

$\partial_1 \sigma = 0$ , with  $(\sigma : \Delta_1 \rightarrow X) \in C_1^{\text{sing}}(X)$ .

$\partial_1 \sigma = 0 \Rightarrow \sigma$  a loop. ‘‘ $\sigma \sim$  loop at base point’’ . See figure 9.

$[\lambda] + [\lambda^{-1}] \in C_1^{\text{sing}}(X)$  is a boundary.

- $\ker(Hu) = [\pi_1 X, \pi_1 X]$ : without proof

□

More in general:

**Theorem 4.12 (Hurewicz)** *Let  $X \in \underline{\text{Top}}$  path connected. Then:*

1.  $\pi_1 X/[\pi_1 X, \pi_1 X] \xrightarrow{\cong} H_1^{\text{sing}}(X)$
2. if  $\pi_i X = 0$  for  $1 \leq i < n$  then:  $Hu : \pi_n X \xrightarrow{\cong} H_n^{\text{sing}}(X)$

**Example** 1.  $\pi_1 S^1 \xrightarrow{\cong} H_1^{\text{sing}}(S^1)$

2.  $n > 1$ :  $\pi_n S^n \xrightarrow{\cong} H_n^{\text{sing}} S^n \cong \mathbb{Z}$ ,  $[f : S^n \rightarrow S^n]_* \mapsto \deg(f) \cdot c_n$ ,  $H_n^{\text{sing}}(S^n) = \langle c_n \rangle$ .

## 5 Lefschetz Numbers

### 5.1 Facts from Linear Algebra

Let  $V, W$  be finite dimensional  $\mathbb{Q}$ -vector spaces, and  $f : V \rightarrow W$  a linear map.

**Definition 5.1** *If  $V = W$ , then  $f : V \rightarrow V$ , thus the trace  $\text{tr}(f) \in \mathbb{Q}$  is well-defined: Choose a basis  $\{e_1, \dots, e_n\}$  of  $V$ , then  $f$  can be expressed by an  $(n \times n)$ -matrix  $(f_{ij})$  with coefficients  $f_{ij} \in \mathbb{Q}$ . Put*

$$\text{tr}(f) := \sum_{i=1}^n f_{ii}$$

Properties of  $\text{tr}$ :

- (1) “Trace property”: If

$$V \xrightarrow{f} W \xrightarrow{g} V \xrightarrow{f} W$$

then

$$\text{tr}(g \circ f) = \text{tr}(f \circ g)$$

(Use that for  $A$  an  $(n \times k)$ -matrix,  $B$  a  $(k \times n)$ -matrix,  $\text{tr}(AB) = \text{tr}(BA)$ .)

- (2)  $\text{tr}(\text{id}_V) = \dim_{\mathbb{Q}}(V)$

- (3)  $\text{tr} : \text{End}_{\mathbb{Q}}(V) \rightarrow \mathbb{Q}$  is linear:

$$\begin{aligned} \text{tr}(f + g) &= \text{tr}(f) + \text{tr}(g) \\ \text{tr}(\lambda f) &= \lambda \text{tr}(f) \quad (\lambda \in \mathbb{Q}) \end{aligned}$$

- (4) Consider the following (commutative) diagram of short exact sequences of  $\mathbb{Q}$ -vector spaces:

$$\begin{array}{ccccccccc} 0 & \longrightarrow & V & \longrightarrow & W & \longrightarrow & Z & \longrightarrow & 0 \\ & & \downarrow f & & \downarrow g & & \downarrow h & & \\ 0 & \longrightarrow & V & \longrightarrow & W & \longrightarrow & Z & \longrightarrow & 0 \end{array}$$

Then

$$\text{tr}(g) = \text{tr}(f) + \text{tr}(h)$$

Since we can choose any basis of  $W$ , choose one by “extending” a basis of  $V \Rightarrow$  matrix of  $g$  has the form

$$\begin{pmatrix} A_f & * \\ 0 & A_h \end{pmatrix}$$

where  $A_f$  and  $A_h$  are the matrices of  $f$  and  $h$ , respectively.



- (5) Let  $A$  be a finitely generated abelian group, and  $f : A \rightarrow A$  a homomorphism, then

$$\begin{aligned} \operatorname{tr}(f \otimes \mathbb{Q}) &\in \mathbb{Z} \\ (f \otimes \mathbb{Q} : A \otimes \mathbb{Q} &\rightarrow A \otimes \mathbb{Q}) \end{aligned}$$

Indeed:

$$\begin{array}{ccc} A & \xrightarrow{\text{can}} & A \otimes \mathbb{Q} \\ & \searrow & \\ & & A/TA \end{array}$$

where  $TA \subset A$  is the torsion subgroup  $\Rightarrow A/TA$  is torsion-free  $\Rightarrow$  has basis.

Note that if  $a$  is a torsion element, i.e.  $n \cdot a = 0$ , then

$$a \otimes 1 = n \cdot a \otimes \frac{1}{n} = 0$$

so tensoring with  $\mathbb{Q}$  also “divides out torsion”.

$A/TA \cong \mathbb{Z}^n$ ,  $A \otimes \mathbb{Q} \cong \mathbb{Q}^n$ :

$$\operatorname{tr}(f \otimes \mathbb{Q}) = \operatorname{tr}(\bar{f} : A/TA \rightarrow A/TA)$$

$\bar{f}$  is expressed by a matrix with coefficients in  $\mathbb{Z}$  with respect to a basis of  $A/TA$ .

**Definition 5.2** Let  $X \in \underline{\text{Top}}$  with  $H_i^{\text{sing}}(X)$  finitely generated for all  $i$ , and 0 for  $i \gg 0$ . Let  $f : X \rightarrow X$ . Then

$$L(f) := \sum_i (-1)^i \operatorname{tr}(H_i(f) \otimes \mathbb{Q}) \in \mathbb{Z}$$

is called the Lefschetz number of  $f$ .

$$\left( H_i(f) \otimes \mathbb{Q} : H_i^{\text{sing}}(X) \otimes \mathbb{Q} \rightarrow H_i^{\text{sing}}(X) \otimes \mathbb{Q} \right)$$

**Example**  $X \simeq \{\bullet\}$  contractible  $\Rightarrow L(f) = 1 \forall f : X \rightarrow X$  since

$$H_i^{\text{sing}}(X) \cong \begin{cases} \mathbb{Z}, & i = 0 \\ 0, & i \neq 0 \end{cases}$$

$$\begin{array}{ccc} X & \xrightarrow{\simeq} & \{\bullet\} \\ \downarrow f & & \downarrow \text{id} \\ X & \xrightarrow{\simeq} & \{\bullet\} \end{array}$$

is homotopy commutative.

**Note**  $X$  a finite CW-complex  $\Rightarrow H_i^{\text{cell}} X$  are finitely generated abelian groups, and  $H_i^{\text{cell}} X = 0$  for  $i > \dim X \Rightarrow L(f) \in \mathbb{Z}$  is defined for any  $f : X \rightarrow X$ .

**Definition 5.3**  $f = \text{id} : X \rightarrow X$ . Then

$$\chi(X) = L(f)$$

is called the Euler characteristic of  $X$ .

**Note**

$$\chi(X) = \sum (-1)^i \dim_{\mathbb{Q}} (H_i^{\text{sing}}(X) \otimes \mathbb{Q})$$

where  $\dim_{\mathbb{Q}}(H_i^{\text{sing}}(X) \otimes \mathbb{Q}) =: \beta_i(X)$  is called the  $i$ -th Betti number.

**Theorem 5.4** Let  $X$  be a finite CW-complex. Then

$$\chi(X) := \sum_i (-1)^i C_i$$

where  $C_i$  is the number of  $i$ -cells of  $X$ .

**Proof**  $H_i^{\text{cell}} X \cong H_i^{\text{sing}} X, \forall i$ . We need to show that

$$\sum (-1)^i \dim_{\mathbb{Q}}(H_i^{\text{cell}}(X) \otimes \mathbb{Q}) = \sum_i (-1)^i C_i$$

Let:

$$\begin{aligned} C_i &:= C_i^{\text{cell}} X \cong \bigoplus_{\# \text{ } i\text{-cells}} \mathbb{Z} \cong \mathbb{Z}^{C_i} \\ Z_i &:= \ker(\partial_i : C_i^{\text{cell}} X \rightarrow C_{i-1}^{\text{cell}} X) \\ B_i &:= \text{im}(\partial_{i+1} : C_{i+1}^{\text{cell}} X \rightarrow C_i^{\text{cell}} X) \end{aligned}$$

with  $H_i := H_i^{\text{cell}} X = Z_i / B_i$

$\Rightarrow$  Have short exact sequences:

$$B_i \hookrightarrow Z_i \rightarrow H_i \Rightarrow B_i \otimes \mathbb{Q} \hookrightarrow Z_i \otimes \mathbb{Q} \rightarrow H_i \otimes \mathbb{Q}$$

and

$$Z_i \hookrightarrow C_i \rightarrow B_{i-1} \subset C_{i-1}$$

resp.

$$Z_i \otimes \mathbb{Q} \hookrightarrow C_i \otimes \mathbb{Q} \rightarrow B_{i-1} \otimes \mathbb{Q}$$

$$\Rightarrow \dim_{\mathbb{Q}} Z_i \otimes \mathbb{Q} = \dim_{\mathbb{Q}} B_i \otimes \mathbb{Q} + \dim_{\mathbb{Q}} H_i \otimes \mathbb{Q}$$

$$\underbrace{\dim_{\mathbb{Q}} C_i \otimes \mathbb{Q}}_{C_i} = \dim_{\mathbb{Q}} Z_i \otimes \mathbb{Q} + \dim_{\mathbb{Q}} B_{i-1} \otimes \mathbb{Q}$$

$\Rightarrow$

$$\begin{aligned} &\sum_i (-1)^i C_i = \sum (-1)^i (\dim_{\mathbb{Q}} Z_i \otimes \mathbb{Q} + \dim_{\mathbb{Q}} B_{i-1} \otimes \mathbb{Q}) \\ &= \sum_i (-1)^i (\dim_{\mathbb{Q}} B_i \otimes \mathbb{Q} + \dim_{\mathbb{Q}} H_i \otimes \mathbb{Q} + \dim_{\mathbb{Q}} B_{i-1} \otimes \mathbb{Q}) \\ &= \sum_i (-1)^i \dim_{\mathbb{Q}} H_i \otimes \mathbb{Q} \end{aligned}$$

□

**Example**  $S^n = D^0 \cup D^n$ , therefore

$$\chi(S^n) = \begin{cases} 2, & n \text{ even} \\ 0, & n \text{ odd} \end{cases}$$

**Application** “Euler’s Formula”

Take a polyhedral decomposition of  $S^2$ , e.g. a cube, a tetrahedron,  $\dots$ , and write

$$\begin{aligned} v &= (\# \text{ vertices} = 0\text{-cells}) \\ e &= (\# \text{ edges} = 1\text{-cells}) \\ f &= (\# \text{ faces} = 2\text{-cells}) \end{aligned}$$

Then

$$v - e + f = 2 = \chi(S^2)$$

**Definition 5.5**  $X$  is an ENR (Euclidean neighbourhood retract) if:

$$\exists \phi : X \xrightarrow{\cong} \phi(X) \subset \mathbb{R}^n$$

such that  $\phi(X)$  is a retract of some neighbourhood in  $\mathbb{R}^n$ . e.g. finite CW-complexes are compact ENR’s (see Hatcher)

**Note** Definition of (finite) simplicial complex should be clear (if not, it’s time to go to the library!)

**Lemma 5.6** A compact ENR is a retract of a finite simplicial complex.

**Proof**  $X \subset \mathbb{R}^n$ ,  $X$  compact, retract of neighbourhood  $X \subset N \subset \mathbb{R}^n$ ,  $N \xrightarrow{r} X$ ; we may assume that  $N$  is open in  $\mathbb{R}^n$ .

Triangulate  $\mathbb{R}^n$ , such that all simplices are “very small” and we can assume that if a simplex  $\sigma$  of  $\mathbb{R}^n$  has  $\sigma \cap X \neq \emptyset$ , then  $\sigma \subset N$ .

$\Rightarrow$  choose finite simplicial complex  $Y \equiv \{\sigma \subset \mathbb{R}^n \mid \sigma \cap X \neq \emptyset\}$ . Then  $X \subset Y \subset N$ ,  $Y \xrightarrow{r/Y} X$ . □

**Theorem 5.7 (Simplicial Approximation Theorem)** • *Simplicial map between simplicial complexes*

$$\begin{array}{ccc} f : X & \longrightarrow & Y \\ \uparrow & & \uparrow \\ \sigma \text{ (simplex)} & \xrightarrow{\text{affine map}} & f(\sigma) \text{ (simplex)} \end{array}$$

- $X$  simplicial complex,  $B(X)$ : “barycentric subdivision”,  $B^k X$ :  $k$ -fold barycentric subdivision ( $B^0 X = X$ ).

**Theorem 5.8** Let  $X, Y$  be finite simplicial complexes and  $f : X \rightarrow Y$  any (continuous) map. Then there is a  $k \geq 0$  such that:  $f : B^k X \rightarrow Y$  is homotopic to a simplicial map  $g : B^k X \rightarrow Y$ . (see Hatcher)

**Theorem 5.9** Let  $X$  be a finite simplicial complex,  $f : X \rightarrow X$  and  $\varepsilon > 0$ . Then there is a  $k \geq 0$  and a simplicial map  $g : B^k X \rightarrow B^k X$  with  $g \simeq f$  and  $\|g(x) - f(x)\| < \varepsilon \forall x$ . (see Hatcher)

**Theorem 5.10 (Lefschetz Fixed Point Theorem)** Let  $f : X \rightarrow X$ ,  $X$  a compact ENR. If  $L(f) \neq 0$  then  $f$  has a fixed point.

**Proof** Choose  $X \xrightarrow{i} Y$ ,  $Y \xrightarrow{r} X$ ,  $Y$  finite simplicial complex. Put  $\tilde{f} := i \circ f \circ r : Y \rightarrow Y$ . Claim:  $L(\tilde{f}) = L(f)$ .  $H_i \tilde{f} : H_i^{\text{sing}} Y \rightarrow H_i^{\text{sing}} Y$  has:

$$\begin{aligned} \text{tr}(H_i^{\text{sing}} \tilde{f}) &= \text{tr}(H_i^{\text{sing}}(i \circ f \circ r)) \\ &= \text{tr}(H_i^{\text{sing}}(i) \circ (H_i^{\text{sing}}(f) \circ H_i^{\text{sing}}(r))) \\ &= \text{tr}(H_i^{\text{sing}}(f) \underbrace{(H_i^{\text{sing}}(r) \circ H_i^{\text{sing}}(i))}_{id}) = \text{tr}(H_i^{\text{sing}}(f)) \end{aligned}$$

$\Rightarrow L(\tilde{f}) = L(f)$ . Moreover:

$$\text{Fix}(f) = \{x \in X \mid f(x) = x\} = \text{Fix}(\tilde{f}) = \{y \in Y \mid \tilde{f}y = y\}$$

Indeed:

$$1. x \in \text{Fix}(f) \Rightarrow \tilde{f}(x) = i f(\underbrace{rx}_x) = f(x) = x$$

$$2. y \in \text{Fix}(\tilde{f}) \Rightarrow \underbrace{\tilde{f}(y)}_{if(ry)} = y \Rightarrow y \in X \Rightarrow ry = y \Rightarrow f(y) = y \in X.$$

$\Rightarrow$  we may assume that  $X$  is a finite simplicial complex. Assume that  $L(f) \neq 0$  and  $\text{Fix}(f) = \emptyset$ . We will show that this yields a contradiction:

$f : X \rightarrow X$ ,  $X$  with metric  $\|\cdot\| \Rightarrow \exists m > 0$  such that  $\|f(x) - x\| \geq m \forall x$  because of compactness.

Choose  $k \gg 0$  so that  $f \simeq g : B^k X \rightarrow B^k X$ ,  $g$  simplicial and  $\|g(x) - f(x)\| < \frac{m}{2} \Rightarrow \text{Fix } g = \emptyset$ .

$\Rightarrow$  we can choose  $k$  even larger, so that  $g(\sigma) \cap \sigma = \emptyset$  for every  $\sigma$  simplex of  $B^k X$ ;  $g$  is cellular and induces:

$$C_i^{\text{cell}} g : C_i^{\text{cell}}(B^k X) \rightarrow C_i^{\text{cell}} B^k X$$

with matrix:

$$\begin{pmatrix} 0 & & * \\ & \ddots & \\ * & & 0 \end{pmatrix}$$

$$\Rightarrow \text{tr}(C_i^{\text{cell}}(g)) = 0$$

$$\Rightarrow \sum (-1)^i \text{tr}(C_i^{\text{cell}}(g)) = 0 = \sum (-1)^i \text{tr}(H_i^{\text{cell}}(g)) = L(g).$$

So  $L(f) \neq 0 \Rightarrow f$  has a FP.  $\square$

An application of this theorem is this generalization of Brouwer's Fixed Point Theorem:

**Theorem 5.11** *Let  $f : X \rightarrow X$ ,  $X$  compact, contractible ENR. Then  $f$  has a fixed point.*

**Proof**

$$H_i^{\text{sing}}(X) \cong \begin{cases} \mathbb{Z} & i = 0 \\ 0 & \text{else} \end{cases}$$

since  $X \simeq_{\phi} \{\bullet\}$ .

$$\begin{array}{ccc} H_0^{\text{sing}} f : H_0^{\text{sing}}(X) & \longrightarrow & H_0^{\text{sing}}(X) \\ \phi_* \downarrow \cong & & \phi_* \downarrow \cong \\ H_0^{\text{sing}}(\{\bullet\}) & \xrightarrow{\text{id}} & H_0^{\text{sing}}(\{\bullet\}) \end{array}$$

$\Rightarrow L(f) = 1 \neq 0$ :  $f$  has a fixed point.  $\square$

**Theorem 5.12** *Let  $f : X \rightarrow X$  be a simplicial automorphism of a finite simplicial complex. Then*

$$L(f) = \chi(\text{Fix}(f))$$

where  $\text{Fix}(f) = \{x \in X \mid f(x) = x\} \subset X$ .

**Proof** Replace  $X$  by its second barycentric subdivision  $B^2(X) \Rightarrow \text{Fix}(f)$  subcomplex of  $B^2(X) \Rightarrow$  if  $\sigma \in B^2(X)$  is a  $k$ -simplex then either  $f|\sigma = \text{id}_{\sigma}$ , or  $f(\dot{\sigma}) \cap \dot{\sigma} = \emptyset$ . Then look at  $C_*^{\text{cell}} B^2 X =: C_*$ :

$$\begin{array}{ccc} C_n & \xrightarrow{C_n(f)} & C_n \\ \parallel & & \parallel \\ C_n^{\text{cell}}(\text{Fix}(X)) \oplus B & \xrightarrow{\phi} & C_n(\text{Fix}(X)) \oplus B \end{array}$$

where  $\phi$  has a matrix of the form

$$\left( \begin{array}{c|ccc} \text{id} & & & \\ \hline & 0 & & \\ & & \ddots & \\ & & & 0 \end{array} \right)$$

thus

$$\text{tr } C_n(f) = (\# \text{ } n\text{-simplices in } \text{Fix}(B^2 X))$$

Then on the one hand

$$\Rightarrow \sum_{n=0}^{\dim X} (-1)^n \text{tr } C_n(f) = \sum (-1)^n (\# \text{ } n\text{-simplices of } \text{Fix}(B^2 X)) = \chi(\text{Fix}(X))$$

and on the other hand

$$\sum_{n=0}^{\dim X} (-1)^n \text{tr } C_n(f) = \sum (-1)^n \text{tr}(H_n^{\text{cell}} f) = L(f)$$

□

**Example** Let  $\phi : S^n \rightarrow S^n$ ,  $n > 0$ , the reflection on the equator.

$$\begin{aligned} L(\phi) &= \underbrace{\text{tr}(H_0^{\text{cell}} \phi)}_1 + (-1)^n \text{tr } H_n^{\text{cell}}(\phi) = \chi(\text{Fix}(\phi)) = \chi(S^{n-1}) \\ &= 1 + (-1)^{n-1} \cdot 1 \end{aligned}$$

$$\begin{array}{ccc} H_n^{\text{cell}}(\phi) : & H_n^{\text{cell}} S^n & \longrightarrow & H_n^{\text{cell}} S^n = \langle c_n \rangle \\ & \downarrow \cong & & \downarrow \cong \\ & \mathbb{Z} & \longrightarrow & \mathbb{Z} \end{array}$$

$\text{deg } \phi$  defined by  $H_n^{\text{cell}}(\phi)(c_n) = \text{deg } \phi \cdot c_n$

$$\Rightarrow \text{deg}(\phi) = -1 \forall n$$

## 6 Universal Coefficient Theorem

### 6.1 Remarks concerning the tensor product

$A, B$  abelian groups. Then

$$A \otimes B := \bigoplus_{(a,b) \in A \times B} \mathbb{Z}_{(a,b)} / R$$

where  $\mathbb{Z}_{(a,b)} = \mathbb{Z}$  with generator  $1_{(a,b)}$  and  $R$  the subgroup generated by the elements of the forms

$$1_{(a'+a'',b)} - 1_{(a',b)} - 1_{(a'',b)}, \text{ and}$$

$$1_{(a,b'+b'')} - 1_{(a,b')} - 1_{(a,b'')}$$

There is a canonical map (not a homomorphism!)

$$A \times B \longrightarrow A \otimes B$$

$$(a, b) \longmapsto \overline{1_{(a,b)}} =: a \otimes b$$

Universal property of  $A \otimes B$ :

Note that  $A \times B \xrightarrow{\text{can}} A \otimes B$ ,  $(a, b) \mapsto a \otimes b$  is biadditive:

$$\text{can}(a' + a'', b) = \text{can}(a', b) + \text{can}(a'', b)$$

$$\text{can}(a, b' + b'') = \text{can}(a, b') + \text{can}(a, b'')$$

It follows that

$$\begin{array}{ccc} A \times B & \xrightarrow{f} & C \\ \text{can} \downarrow & \nearrow \exists! \tilde{f} & \\ A \otimes B & & \end{array}$$

( $\tilde{f}$  is defined by  $\tilde{f}(a \otimes b) := f(a, b)$ , which is well defined as  $f(a' + a'', b) = f(a', b) + f(a'', b)$  etc.)

**Example**  $\mathbb{Z} \otimes \mathbb{Z} \cong \mathbb{Z}$ . Check the universal property:

$$\begin{array}{ccc} (m, n) & \mathbb{Z} \times \mathbb{Z} & \xrightarrow{f} C \\ \downarrow & \text{can} \downarrow & \\ m \cdot n & \mathbb{Z} & \end{array}$$

The map  $(m, n) \mapsto m \cdot n$  is biadditive because of the distributive law. Since  $f(m, n) = m \cdot f(1, n) = mnf(1, 1)$ ,  $f$  is determined uniquely by  $f(1, 1)$  and we can define  $\tilde{f}$  as  $\tilde{f}(k) = k \cdot \tilde{f}(1) = k \cdot f(1, 1)$ .

Similarly,

$$\mathbb{Z}/m\mathbb{Z} \otimes \mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}/\text{gcd}(m, n)\mathbb{Z}$$

Functoriality: Let  $f : A \rightarrow C$ ,  $g : B \rightarrow D$ .

$$\begin{array}{ccc} A \times B & \xrightarrow{\text{biadd.}} & C \otimes D \\ \downarrow & \nearrow \exists! f \otimes g & \\ A \otimes B & & \end{array}$$

where  $(f \otimes g)(a \otimes b) := f(a) \otimes g(b)$ .

$$A \otimes - : \quad \underline{\text{Ab}} \longrightarrow \underline{\text{Ab}}$$

$$\begin{array}{ccc} B & \longrightarrow & A \otimes B \\ g \downarrow & & \downarrow A \otimes g := \text{id}_A \otimes g \\ D & \longrightarrow & A \otimes D \end{array}$$

similarly for  $- \otimes B$ . Note  $A \otimes B \cong B \otimes A$ .

Generalization:  $M \in \underline{\text{Mod-}\Lambda}$  (right  $\Lambda$ -modules),  $N \in \underline{\Lambda\text{-Mod}}$  (left  $\Lambda$ -modules).  
 $M \otimes_{\Lambda} N$  an abelian group:

$$M \otimes_{\Lambda} N = M \otimes N / \langle m\lambda \otimes n - m \otimes \lambda n \mid \lambda \in \Lambda \rangle$$

Case where  $\Lambda$  is commutative:  $M, N \in \underline{\Lambda\text{-Mod}}$  thinking of  $M$  as a right-module by  $m\lambda := \lambda m$ ,  $\lambda \in \Lambda$ ,  $m \in M$ . Then  $M \otimes_{\Lambda} N$  (note  $(\lambda m) \otimes_{\Lambda} n = m \otimes_{\Lambda} (\lambda n)$ ) has a  $\Lambda$ -module structure by:

$$\lambda(x \otimes_{\Lambda} y) := (\lambda x) \otimes_{\Lambda} y$$

**Example**  $\Lambda \otimes_{\Lambda} \Lambda \cong \Lambda$

Let  $\phi : \Lambda \rightarrow \Gamma$  be a ring homomorphism, in particular  $\phi(1_{\Lambda}) = 1_{\Gamma}$ .

$$\begin{array}{ccc} \underline{\Lambda\text{-Mod}} & \xrightarrow{\phi_*} & \underline{\Gamma\text{-Mod}} \\ M & \longmapsto & \Gamma \otimes_{\Lambda} M \quad (\gamma\phi(\lambda) \otimes_{\Lambda} m = \gamma \otimes \lambda m) \end{array}$$

$\Gamma$  a  $\Lambda$  right module via

$$\gamma \cdot \lambda := \gamma \cdot \phi(\lambda), \quad \lambda \in \Lambda, \gamma \in \Gamma$$

**Example**  $M = \Lambda$ :

$$\begin{array}{ccc} \Lambda & \longrightarrow & \Gamma \otimes_{\Lambda} \Lambda \xrightarrow{\cong} \Gamma \\ & & \gamma \otimes_{\Lambda} \lambda \longmapsto \gamma\phi(\lambda) \end{array}$$

as  $\Gamma$  left module.

Tensor products commute with  $\oplus$ : Let  $A_{\alpha}$  a family of abelian groups,  $\alpha \in I$ . Then

$$\left( \bigoplus_I A_{\alpha} \right) \otimes B \cong \bigoplus_I (A_{\alpha} \otimes B)$$



**Proof**  $i_\alpha : A_\alpha \rightarrow \bigoplus_I A_\alpha$

$$\Rightarrow i_\alpha \otimes B : A_\alpha \otimes B \rightarrow \left( \bigoplus_I A_\alpha \right) \otimes B$$

which defines

$$\bigoplus_I (A_\alpha \otimes B) \xrightarrow{\text{can}} \left( \bigoplus_I A_\alpha \right) \otimes B$$

Define “inverse” by using the biadditive map

$$\left( \bigoplus_I A_\alpha \right) \times B \xrightarrow{\Phi} \bigoplus_I (A_\alpha \otimes B)$$

by  $\Phi|(A_\alpha \times B) : (a_\alpha, b) \mapsto a_\alpha \otimes b$ .

So  $\Phi$  induces

$$\tilde{\Phi} : \left( \bigoplus_I A_\alpha \right) \otimes B \rightarrow \bigoplus_I (A_\alpha \otimes B)$$

which is inverse to “can”. □

**Definition 6.1**  $M \in \underline{\Lambda\text{-Mod}}$  is called free, if

$$M \cong \bigoplus_{\alpha \in I} \Lambda_\alpha, \quad \Lambda_\alpha := \Lambda$$

or equivalently,  $M$  has a basis  $\{m_\alpha\}_{\alpha \in I}$  i.e. every  $m \in M$  can be expressed as a finite sum  $m = \sum \lambda_\alpha m_\alpha$  in a unique way.

**Note**  $\Lambda = K$  a field  $\Rightarrow$  all  $K$ -modules are free (every  $K$ -vector space has a basis).

$\Lambda = \mathbb{Z}$ :  $\underline{\mathbb{Z}\text{-Mod}} = \underline{\text{Ab}}$ , free  $\mathbb{Z}$ -module  $\equiv$  free abelian groups.

**Definition 6.2**  $P \in \underline{\Lambda\text{-Mod}}$  is projective  $:\Leftrightarrow \exists Q \in \underline{\Lambda\text{-Mod}}$  with  $P \oplus Q$  a free  $\Lambda$ -module.

**Note**  $\Lambda = K$  a field  $\Rightarrow$  all  $\Lambda$ -modules are projective.

$\Lambda = \mathbb{Z}$ : projective  $\mathbb{Z}$ -modules  $\equiv$  free abelian groups.

**Example**  $\mathbb{Z}/2\mathbb{Z}$  is a non-free, projective  $\mathbb{Z}/6\mathbb{Z}$ -module.

**Definition 6.3** A chain complex  $(C_*, \partial)$  consists of modules  $C_i \in \underline{\Lambda\text{-Mod}}$  connected by morphisms  $\partial_i \in \underline{\Lambda\text{-Mod}}$  ( $i \geq 0$ ):

$$\dots \rightarrow C_{i+1} \rightarrow C_i \xrightarrow{\partial_i} C_{i-1} \rightarrow \dots \rightarrow C_1 \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

such that  $\partial_{i-1}\partial_i = 0 (\equiv \partial^2 = 0)$  ( $\Leftrightarrow \text{im } \partial_i \subseteq \ker \partial_{i-1}$ )

**Definition 6.4** Homology of  $(C_n, \partial_n)$ :

$$H_n(C_*) := \ker \partial_n / \text{im } \partial_{n+1} \quad (n \geq 0)$$

**Definition 6.5** A morphism of chain complexes,  $f_* : C_* \rightarrow D_*$  is a family of  $\Lambda$ -linear maps  $f_i : C_i \rightarrow D_i$  ( $i \geq 0$ ), such that:  $\partial_i f_i = f_{i-1} \partial_i$ ,  $i \geq 1$ .

$$\begin{array}{ccccccc} \cdots & \longrightarrow & C_{i+1} & \xrightarrow{\partial} & C_i & \xrightarrow{\partial} & C_{i-1} & \longrightarrow & \cdots \\ & & \downarrow f_{i+1} & & \downarrow f_i & & \downarrow f_{i-1} & & \\ \cdots & \longrightarrow & D_{i+1} & \xrightarrow{\partial} & D_i & \xrightarrow{\partial} & D_{i-1} & \longrightarrow & \cdots \end{array}$$

**Remark**  $f_*$  induces a map of homology groups, i.e.  $H_*(f) : H_* C_* \rightarrow H_* D_*$

**Definition 6.6**  $f_*, g_* : C_* \rightarrow D_*$  are called chain homotopic if  $\exists \{h_n : C_n \rightarrow D_{n+1} \mid n \geq 0\}$  such that  $f - g = \partial h + h \partial$  ( $f_n - g_n = \partial_{n+1} h_n + h_{n-1} \partial_n$ )  
Notation:  $f \simeq g$

**Lemma 6.7**  $f_*, g_* : C_* \rightarrow D_*$ ,  $f \simeq g \Rightarrow H_*(f) = H_*(g)$

**Proof**  $[x] \in H_n C_*$ ,  $x \in \ker \partial_n$ ,  $(H_n f)([x]) = [f(x)]$ ,  $H_n g([x]) = [g(x)] \in H_n D_*$

$$\begin{aligned} (H_n f - H_n g)[x] &= [f(x) - g(x)] = [\partial_{n-1} h_n + h_{n-1} \partial_n x] \\ &= \underbrace{[\partial h x]}_{=0} + \underbrace{[h \partial x]}_{=0} = 0 \end{aligned}$$

$\Rightarrow H_n f = H_n g$ ,  $\forall n \geq 0$  □

**Definition 6.8** Let  $M \in \underline{\Lambda}\text{-Mod}$ . A projective resolution is a chain complex  $P_*$  such that:

$$\cdots \rightarrow P_i \rightarrow \cdots \rightarrow P_1 \xrightarrow{\partial_1} P_0 \xrightarrow{\partial_0} M \rightarrow 0 \quad M = P_0 / \text{im } \partial_1$$

is exact, and each  $P_i$ ,  $i \geq 0$  is a projective  $\Lambda$ -module.

**Lemma 6.9** Every  $\Lambda$ -module  $M$  admits a projective resolution

$$F_*(M) \twoheadrightarrow M$$

(canonical free resolution)

**Proof**

$$F_0(M) = \bigoplus_{\alpha \in M} \Lambda_\alpha \xrightarrow{\phi_0} M$$

$$1_\alpha \mapsto \alpha$$

$$F_1(M) \xrightarrow{\phi_1} F_0(M) \xrightarrow{\phi_0} M$$

$$\downarrow \swarrow$$

$$\ker \phi_0$$

$F_1(M) = F_0(\ker \phi_0)$ , then the claim follows inductively  $\square$

We need an equivalent definition of projective modules:

**Lemma 6.10**  $P \in \underline{\Lambda}\text{-Mod proj.} \Leftrightarrow \forall g : N \twoheadrightarrow M, \forall P \xrightarrow{f} M \exists \tilde{f} : P \rightarrow N$   
such that  $g \circ \tilde{f} = f$  i.e.

$$\begin{array}{ccc} & N & \\ & \downarrow g & \\ P & \xrightarrow{f} & M \end{array}$$

$$\begin{array}{ccc} & \nearrow \exists \tilde{f} & \\ & & \end{array}$$

**Proof** “ $\Rightarrow$ ” This is obvious for free  $\Lambda$ -modules, thus choose  $Q \in \underline{\Lambda}\text{-Mod}$  such that  $P \oplus Q = F$  ( $\equiv$  free module)

$$\begin{array}{ccc} & N & \\ & \downarrow & \\ F = P \oplus Q & \xrightarrow{\quad} & P \longrightarrow M \end{array}$$

$$\begin{array}{ccc} & \nearrow \tilde{\lambda} & \\ & & \end{array}$$

$$\begin{array}{ccc} & \leftarrow i_p & \\ & & \end{array}$$

$$\tilde{\lambda} \circ i_p = \tilde{f}$$

“ $\Leftarrow$ ” Let  $F_0(P) = \bigoplus_{\alpha \in P} \Lambda_\alpha$

$$\begin{array}{ccc} & F_0(P) & \\ & \downarrow \pi & \\ P & \xrightarrow{\text{id}} & P \end{array}$$

$$\begin{array}{ccc} & \nearrow \exists j & \\ & & \end{array}$$

$\square$

**Theorem 6.11** • Let  $P_* \twoheadrightarrow M$  be a projective chain complex (i.e.  $P_i$  is projective  $\Lambda$ -mod. and  $\partial^2 = 0$ )

- Let  $0 \leftarrow R_* \twoheadrightarrow N$  be a resolution (i.e.  $\ker \partial = \text{im } \partial$ ).

Assume a map  $M \xrightarrow{\phi} N$ . Then there is a map of chain complexes:

$$\begin{array}{ccc} P_* & \longrightarrow & M \\ \downarrow \phi_* & & \downarrow \phi \\ R_* & \longrightarrow & N \end{array}$$

This map  $\phi_*$  is unique up to homotopy.

**Proof** First we prove existence of  $\phi_* : P_* \rightarrow R_*$  (use definition of projective module):

$$\begin{array}{ccccc} P_1 & \xrightarrow{\partial_1^P} & P_0 & \xrightarrow{\partial_0^P} & M \\ \downarrow \phi_1 & & \downarrow \phi_0 & & \downarrow \phi \\ R_1 & \xrightarrow{\partial_1^R} & R_0 & \xrightarrow{\partial_0^R} & N \\ \downarrow & \nearrow & & & \\ & \text{ker } \partial_0^R & & & \end{array}$$

Check:  $\text{im}(\phi_0 \partial_1^P) \subset \text{ker } \partial_0^R \Rightarrow \exists \phi_1$ . The rest follows by induction.

Next we want to show that  $\phi_*$  is unique up to homotopy. Let  $\sigma_*$  be another “lifting” of  $\phi$ , i.e.

$$\begin{array}{ccc} P_* & \longrightarrow & M \\ \phi_* \downarrow \sigma_* & & \downarrow \phi \\ R_* & \longrightarrow & N \end{array}$$

want to show that  $\exists \{h_n : P_n \rightarrow R_{n+1}, n \geq 0\}$  such that  $\phi - \sigma = \partial h + h \partial$ :

$$\begin{array}{ccccccc} \cdots & \longrightarrow & P_{i+1} & \xrightarrow{\partial_{i+1}} & P_i & \xrightarrow{\partial_i} & P_{i-1} & \longrightarrow & \cdots \\ & & \searrow h_i & \swarrow \phi_i & \downarrow \sigma_i & \swarrow h_{i-1} & \searrow \partial_i & & \\ \cdots & \longrightarrow & R_{i+1} & \xrightarrow{\partial_{i+1}} & R_i & \xrightarrow{\partial_i} & R_{i-1} & \longrightarrow & \cdots \end{array}$$

(Proof by induction)

so by induction we have:  $\partial_i h_{i-1} + h_{i-2} \partial_{i-1} = \phi_{i-1} - \sigma_{i-1}$

We want to “solve” the equation for  $h_i$ :

$$\begin{aligned} \partial_{i+1} h_i + h_{i-1} \partial_i &= \phi_i - \sigma_i \\ \Leftrightarrow \partial_{i+1} h_i &= \underbrace{\phi_i - \sigma_i - h_{i-1} \partial_i}_{\text{this maps to } \text{ker}(\partial_i : R_i \rightarrow R_{i-1})} \quad (*) \end{aligned}$$

Proof of (\*):  $x \in R_i$

$$\begin{aligned}
\partial_i(\phi_i - \sigma_i - h_{i-1}\partial_i)(x) &= \partial_i\phi_i(x) - \partial_i\sigma_i(x) - \underbrace{\partial_i h_{i-1}}_{\phi_{i-1} - \sigma_{i-1} - h_{i-2}\partial_{i-1}} \partial_i(x) \\
&= \partial_i\phi_i(x) - \partial_i\sigma_i(x) - \phi_{i-1}\partial_i(x) + \sigma_{i-1}\partial_i(x) + \underbrace{h_{i-2}\partial_{i-1}\partial_i x}_{=0} \\
&= 0 \quad \text{since } \phi_*, \sigma_* \text{ are chain maps}
\end{aligned}$$

The lifting property of projective modules shows that:

$$\begin{array}{ccccc}
P_{i+1} & \longrightarrow & P_i & \xrightarrow{\partial_i} & \cdots \\
& \searrow \exists h_i & \downarrow \phi_i & \downarrow \sigma_i & \swarrow h_{i-1} \\
R_{i+1} & \longrightarrow & R_i & \xrightarrow{\partial_i} & \cdots \\
& \downarrow & \swarrow & & \\
& & \ker \partial_i & & 
\end{array}$$

$$\Rightarrow \partial_{i+1}h_i = \phi_i - \sigma_i - h_{i-1}\partial_i \Leftrightarrow \partial_{i+1}h_i + h_{i-1}\partial_i = \phi_i - \sigma_i \quad \square$$

**Corollary 6.12** *Let  $P_*^{(i)} \rightarrow M$ ,  $i = 1, 2$  be two projective resolutions of  $M$ . Then  $P_*^{(1)}$  and  $P_*^{(2)}$  are chain homotopy equivalent, i.e.*

$$\begin{aligned}
\exists j_1 : P_*^{(1)} &\rightarrow P_*^{(2)} \\
\exists j_2 : P_*^{(2)} &\rightarrow P_*^{(1)}
\end{aligned}$$

such that  $j_1 \circ j_2 \simeq \text{id}$ ,  $j_2 \circ j_1 \simeq \text{id}$ .

**Proof** (Use theorem above)

$$\begin{array}{ccc}
P_*^{(1)} & \twoheadrightarrow & M \\
\downarrow j_1 & & \downarrow \text{id} \\
P_*^{(2)} & \twoheadrightarrow & M \\
\downarrow j_2 & & \downarrow \text{id} \\
P_*^{(1)} & \longrightarrow & M
\end{array}$$

but

$$\begin{array}{ccc}
P_*^{(1)} & \longrightarrow & M \\
\downarrow \text{id} & & \downarrow \text{id} \\
P_*^{(1)} & \longrightarrow & M
\end{array}$$

is also a lifting. By uniqueness we get  $j_2 \circ j_1 \simeq \text{id}$ . Analog for  $j_1 \circ j_2 \simeq \text{id}$   $\square$

For simplicity assume  $\Lambda$  is a commutative ring.

**Definition 6.13** Let  $M, N \in \underline{\Lambda\text{-Mod}}$  (in general  $M \in \underline{\text{Mod-}\Lambda}$ ,  $N \in \underline{\Lambda\text{-Mod}}$ ). For  $i \geq 0$ ,

$$\text{Tor}_i^\Lambda(M, N) := H_i(F_*(M) \otimes_\Lambda N)$$

**Note**

$$\dots \rightarrow F_i(M) \otimes_\Lambda N \xrightarrow{\partial_i \otimes \text{id}_N} F_{i-1}(M) \otimes_\Lambda N \rightarrow \dots$$

$\Rightarrow F_*(M) \otimes_\Lambda N$  is a chain complex.

It is important to see that  $\text{Tor}_i^\Lambda$  does not depend on the choice of the projective resolution ( $F_*(M)$ ).

**Lemma 6.14** Let  $P_* \rightarrow M$  be any projective resolution, then

$$\text{Tor}_i^\Lambda(M, N) \cong H_i(P_* \otimes_\Lambda N)$$

**Proof**

$$\begin{array}{ccc} F_*(M) & \twoheadrightarrow & M \\ \downarrow & & \downarrow \text{id} \\ P_* & \twoheadrightarrow & M \\ \downarrow & & \downarrow \text{id} \\ F_*(M) & \twoheadrightarrow & M \end{array}$$

$\Rightarrow "F_*(M) \simeq P_*$ ".  $- \otimes_\Lambda N$  preserves the homotopy since  $- \otimes_\Lambda N$  is additive (i.e.  $(f + g) \otimes_\Lambda N = f \otimes_\Lambda N + g \otimes_\Lambda N$ ).

$f \simeq g, \exists h : g - f = \partial h + h\partial$

$$\begin{aligned} g \otimes N - f \otimes N &= (g - f) \otimes N = (\partial h + h\partial) \otimes N \\ &= \partial h \otimes N + h\partial \otimes N = (\partial \otimes N)(h \otimes N) + (h \otimes N)(\partial \otimes N) \end{aligned}$$

$F_*(M) \simeq P_* \Rightarrow F_*(M) \otimes_\Lambda N \simeq P_* \otimes_\Lambda N \Rightarrow$

$$\text{Tor}_*^\Lambda(M, N) = H_*(F_*(M) \otimes_\Lambda N) \cong H_*(P_* \otimes_\Lambda N)$$

□

**Lemma 6.15** The functor  $- \otimes_\Lambda N : \underline{\text{Mod-}\Lambda} \rightarrow \underline{\text{Ab}}$  is right exact, i.e. if  $U \xrightarrow{\alpha} V \xrightarrow{\beta} W \rightarrow 0$  is exact, then

$$U \otimes_\Lambda N \rightarrow V \otimes_\Lambda N \rightarrow W \otimes_\Lambda N \rightarrow 0$$

is exact.

**Proof**  $W \otimes_{\Lambda} N$  is generated by elements  $w \otimes n = \beta \tilde{v} \otimes m = (\beta \otimes \text{id})(\tilde{v} \otimes n)$   
 $\Rightarrow \beta \otimes \text{id}$  is surjective.

Obviously  $\text{im } \alpha \otimes \text{id} \subseteq \ker(\beta \otimes \text{id})$ . Want to prove that  $\ker(\beta \otimes \text{id}) = \text{im}(\alpha \otimes \text{id})$ .  
 For that we construct an inverse map to

$$V \otimes_{\Lambda} N / \text{im}(\alpha \otimes \text{id}) \xrightarrow{\pi} V \otimes_{\Lambda} N / \ker(\beta \otimes \text{id}) \cong W \otimes_{\Lambda} N$$

Construct  $\gamma$  as

$$\begin{aligned} \gamma : W \times N &\rightarrow V \otimes_{\Lambda} N / \text{im}(\alpha \otimes \text{id}) \\ (w, n) &\mapsto \overline{\tilde{v} \otimes n} \end{aligned}$$

$\gamma$  is well-defined: Let  $\hat{v}, \beta \hat{v} = n$ .

$$\tilde{v} \otimes n - \hat{v} \otimes n = (\tilde{v} - \hat{v}) \otimes n = \alpha u \otimes n = (\alpha \otimes \text{id})(u \otimes n)$$

The following is easily checked:

- $\gamma$  is bilinear  $\Rightarrow$

$$\gamma : W \otimes_{\Lambda} N \rightarrow V \otimes_{\Lambda} N / \text{im}(\alpha \otimes \text{id})$$

- $\gamma \circ \pi = \text{id}$
- $\pi \circ \gamma = \text{id}$

$$\Rightarrow \ker(\beta \otimes \text{id}) = \text{im}(\alpha \otimes \text{id}) \quad \square$$

**Corollary 6.16** *There is a natural isomorphism*

$$\text{Tor}_0^{\Lambda}(M, N) \cong M \otimes_{\Lambda} N$$

**Proof**  $- \otimes_{\Lambda} N$  is right exact.

$$\begin{array}{ccccccc} F_1 & \rightarrow & F_0 & \twoheadrightarrow & M & \rightarrow & 0 \\ & & \downarrow & & & & \\ & & 0 & & & & \end{array} \xrightarrow{- \otimes_{\Lambda} N} \begin{array}{ccccccc} F_1 \otimes_{\Lambda} N & \xrightarrow{\partial_1 \otimes N} & F_0 \otimes_{\Lambda} N & \xrightarrow{\partial_0 \otimes N} & M \otimes_{\Lambda} N & \longrightarrow & 0 \\ & & \downarrow & & & & \\ & & 0 & & & & \end{array}$$

thus

$$\text{Tor}_0(M, N) = F_0 \otimes N / \text{im}(\partial_1 \otimes N) \cong M \otimes_{\Lambda} N$$

because  $\text{im}(\partial_1 \otimes N) = \ker(\partial_0 \otimes N)$  from right exactness.  $\square$

**Example** (Group Homology)

For some group  $G$ , define

$$\mathbb{Z}G = \left\{ \sum n_g g \mid g \in G \right\}$$

Let  $M \in \underline{\mathbb{Z}G}\text{-Mod}$  and  $\mathbb{Z} \in \underline{\text{Mod-}\mathbb{Z}G}$  with trivial  $G$ -action (i.e.  $m \cdot g = m$ ,  $m \in \mathbb{Z}$ ,  $g \in G$  linearly extended).

$\mathbb{Z}G$  is a ring:

$$\left( \sum n_g g \right) \cdot \left( \sum m_k k \right) = \sum n_g m_k gk$$

As an abelian group:

$$\mathbb{Z}G = \bigoplus_G \mathbb{Z}$$

If  $P_* \rightarrow \mathbb{Z}$  a projective resolution of  $\mathbb{Z}$  over  $\mathbb{Z}G$ :

$$H_i(G; M) := \text{Tor}_i^{\mathbb{Z}G}(\mathbb{Z}, M)$$

$$(H_*(S, M) \cong H_*^{\text{sing}}(K(S, 1), M))$$

$$H_0(G; M) = \mathbb{Z} \otimes_{\mathbb{Z}G} M = M / \langle m - gm \mid g \in G \rangle$$

[FIXME: Konfusion zum Jahreswechsel]

Then  $H_i((P_*M) \otimes_{\Lambda} N) \cong \text{Tor}_i^{\Lambda}(M, N) := H_i(F_*(M) \otimes_{\Lambda} N)$ ,  $F_*M \rightarrow M$  ( $F_0M = \bigoplus_M \Lambda$  etc. ) “canonical free resolution”

*special case:* “Homology groups of  $G$  with coefficients in  $M$ ”

$H_i(G; M) := \text{Tor}_i^{\mathbb{Z}G}(\mathbb{Z}, M)$ , where  $G$  is a group,  $M$  left  $\mathbb{Z}G$ -module.

$$H_i(G; -) : \underline{\mathbb{Z}G}\text{-Mod} \rightarrow \underline{\text{Ab}} \quad i \in \mathbb{Z}$$

$- \otimes_{\Lambda} N$  is right-exact. ( $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  exact, then  $M' \otimes_{\Lambda} N \rightarrow M \otimes_{\Lambda} N \rightarrow M'' \otimes_{\Lambda} N \rightarrow 0$  is exact)

$\Rightarrow \text{Tor}_0^{\Lambda}(M, N) \cong M \otimes_{\Lambda} N$  (e.g.  $H_0(G; M) \cong \mathbb{Z} \otimes_{\mathbb{Z}G} M \cong M_G := M / \langle m - gm \rangle$ , with  $m \in M, g \in G$ )

Case  $\Lambda = \mathbb{Z}$ :  $\underline{\Lambda}\text{-Mod} = \underline{\text{Ab}} = \underline{\text{Mod-}\Lambda}$

**Lemma 6.17**  $A, B \in \underline{\text{Ab}} \Rightarrow \text{Tor}_i^{\mathbb{Z}}(A, B) = 0, i > 1.$

(We write  $\text{Tor}(A, B)$  for  $\text{Tor}_1^{\mathbb{Z}}(A, B)$ , and  $\text{Tor}_0^{\mathbb{Z}}(A, B) \cong A \otimes_{\mathbb{Z}} B$ )

**Proof** 1. A free abelian group,  $A \xrightarrow{\text{id}(\cong)} A$  is a proj. resolution

$$\Rightarrow H_i(P_*(A) \otimes_{\mathbb{Z}} B) = 0, i > 0$$

$$\Rightarrow \text{Tor}_i^{\mathbb{Z}}(A, B) = 0 \text{ for } i > 0$$



2.  $A$  arbitrary abelian group:

$$P_1(A) := K \hookrightarrow F_0 A \xrightarrow{\varepsilon} A \quad \text{proj. res of } A$$

$P_i(A) = 0, i > 1, K := \ker \varepsilon$  free abelian group (subgroup of free abelian group).

$$\Rightarrow \underbrace{H_i(P_*(A) \otimes_{\mathbb{Z}} B)}_{\cong \text{Tor}_i^{\mathbb{Z}}(A, B)} = 0 \text{ for } i > 1.$$

□

**Remark** Also true for modules over a PID.

**Exercise**  $\text{Tor}(A, B)$  is a torsion group ( $A, B \in \underline{\text{Ab}}$ )

## 6.2 Computation of Tor-groups

We would like to show:

$$\text{Tor}_i^{\Lambda}(\text{dirlim}_I M_{\alpha}, \text{dirlim}_J N_{\beta}) \cong \text{dirlim}_{I \times J} \text{Tor}_i^{\Lambda}(M_{\alpha}, N_{\beta})$$

Direct limit (of groups, modules, sets, etc.):

- basic example:  $M = \bigcup_{\alpha \in I} M_{\alpha}, M_{\alpha}, M \in \underline{\Lambda\text{-Mod}}$  s.t.  $I$  partially ordered “index” set:  $PO$ -set, with:

$$\alpha \leq \beta \Leftrightarrow M_{\alpha} \subset M_{\beta} \subset M$$

“directed” i.e. if  $\alpha, \beta \in I$  then  $\exists \gamma \in I$  with  $\alpha \leq \gamma, \beta \leq \gamma$  (so  $M_{\alpha} \subset M, M_{\beta} \subset M$  satisfy  $M_{\alpha} \subset M_{\gamma}, M_{\beta} \subset M_{\gamma}$ )

- Example:  $M \in \underline{\Lambda\text{-Mod}}$  with  $\{M_{\alpha}\}$  the family of finitely generated submodules of  $M$ , then  $M \cong \text{dirlim } M_{\alpha}$
- **Definition 6.18**  $I$   $PO$ -set, directed  $\Rightarrow$  defines a category  $\underline{I}$ , with objects the elements  $\alpha \in I$ , and morphisms:

$$\text{mor}(\alpha, \beta) = \begin{cases} \emptyset & \text{if } \alpha \not\leq \beta \\ \text{one morphism} & \text{if } \alpha \leq \beta \end{cases}$$

( $\alpha \leq \beta$  and  $\beta \leq \alpha$  then  $\alpha = \beta$ )

- A functor  $F : \underline{I} \rightarrow \underline{C}$  defines a “directed family”  $\{F(\alpha)\}_{\alpha \in I}$  in  $\underline{C}$ . (e.g.  $\underline{C} = \underline{\text{Sets}}, \underline{\text{Gr}}, \underline{\Lambda\text{-Mod}}, \underline{\text{Ab}}$ ).

so if  $\alpha \leq \beta$ :  $F(\alpha) \xrightarrow{f_{\alpha\beta}} F(\beta)$

$$\begin{array}{ccc} F(\sigma) & \xrightarrow{f_{\sigma\omega}} & F(\omega) \\ F(\tau) & \xrightarrow{f_{\tau\omega}} & F(\omega) \end{array} \quad \text{for } \sigma, \tau \in I, \sigma \leq \omega, \tau \leq \omega$$

$F : \underline{I} \rightarrow \underline{C}$

$\text{colim } F \in \underline{C}$  is an object of  $\underline{C}$ , together with morphisms  $\phi_\alpha : F(\alpha) \rightarrow \text{colim } F$ ,  $\alpha \in \underline{I}$ , with the universal property expressed by the diagram

$$\begin{array}{ccc} & & \psi_\alpha \\ & \xrightarrow{\phi_\alpha} & \text{colim } F \xrightarrow{\exists!} X \in \underline{C} \\ f_{\alpha\beta} \downarrow & \nearrow \phi_\beta & \\ F(\beta) & \xrightarrow{\phi_\gamma} & \text{colim } F \\ & \nearrow \psi_\beta & \\ F(\gamma) & \xrightarrow{\phi_\gamma} & \text{colim } F \\ & \nearrow \psi_\gamma & \\ & \vdots & \end{array}$$

so  $\text{colim } F$  (together with  $\phi_\alpha$ 's) is unique up to a canonical isomorphism, if it exists.

Case of  $\underline{C} = \underline{\Lambda\text{-Mod}}$  (or  $\underline{\text{Sets}}$ , or  $\underline{\text{Gr}}$ ):

$F : \underline{I} \rightarrow \underline{\Lambda\text{-Mod}}$  a directed family of  $\Lambda$ -modules. Put

$$\text{dirlim}_I F(\alpha) := \coprod_I F(\alpha) / \sim$$

(disjoint union!) with  $x_\alpha \sim y_\beta$  for  $x_\alpha \in F(\alpha)$ ,  $y_\beta \in F(\beta)$  if  $\exists \gamma$  such that  $\alpha \leq \gamma$ ,  $\beta \leq \gamma$  and  $f_{\alpha\gamma}(x_\alpha) = f_{\beta\gamma}(y_\beta)$ .

$$\begin{array}{ccc} x_\alpha \in F(\alpha) & \longrightarrow & \\ y_\beta \in F(\beta) & \longrightarrow & F(\gamma) \ni f_{\alpha\gamma}(x_\alpha) = f_{\beta\gamma}(y_\beta) \end{array}$$

$\Rightarrow \text{dirlim } F(\alpha)$  has a natural  $\Lambda$ -modul structure. We have canonical maps  $F(\alpha) \xrightarrow{\phi_\alpha} \text{dirlim } F(\alpha) \Rightarrow \{\text{dirlim}_I F(\alpha), \phi_\alpha\}$  is “ $\text{colim } F$ ”.

**Note** The universal property of  $\text{colim}$  then means:

$$\text{Hom}_\Lambda(\text{dirlim } F(\alpha), N) \xrightarrow{\cong} \text{invlm Hom}_\Lambda(F(\alpha), N)$$

**Lemma 6.19**  $\text{dirlim}$  is an exact functor on  $\underline{\Lambda}\text{-Mod}$  (or  $\underline{\text{Gr}}$ ), meaning the following: Let

$$0 \rightarrow A_\alpha \rightarrow B_\alpha \rightarrow C_\alpha \rightarrow 0$$

be a family of short exact sequences in  $\underline{\Lambda}\text{-Mod}$ ,  $\alpha \in I$  (directed PO-set). Assume that if  $\alpha \leq \beta$ , we have

$$\begin{array}{ccccccc} 0 & \rightarrow & A_\alpha & \rightarrow & B_\alpha & \rightarrow & C_\alpha \rightarrow 0 \\ & & a_{\alpha\beta} \downarrow & & b_{\alpha\beta} \downarrow & & c_{\alpha\beta} \downarrow \\ 0 & \rightarrow & A_\beta & \rightarrow & B_\beta & \rightarrow & C_\beta \rightarrow 0 \end{array}$$

commutative.

Then

$$0 \rightarrow \text{dirlim}_I A_\alpha \rightarrow \text{dirlim}_I B_\alpha \rightarrow \text{dirlim}_I C_\alpha \rightarrow 0$$

is exact.

Use this to check

$$\text{Tor}_i^\Lambda(\text{dirlim}_I M_\alpha, \text{dirlim}_J N_\beta) \cong \text{dirlim}_{I \times J} \text{Tor}_i^\Lambda(M_\alpha, N_\beta)$$

### 6.3 Long exact Tor-sequences

**Theorem 6.20** Let  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  and  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$  be short exact sequences in  $\underline{\text{Mod}}\text{-}\underline{\Lambda}$ , resp.  $\underline{\Lambda}\text{-Mod}$ , and take  $X \in \underline{\text{Mod}}\text{-}\underline{\Lambda}$ ,  $Y \in \underline{\Lambda}\text{-Mod}$ . Then there are natural exact sequences

$$\begin{aligned} \cdots \rightarrow \text{Tor}_i^\Lambda(A, Y) \rightarrow \text{Tor}_i^\Lambda(B, Y) \rightarrow \text{Tor}_i^\Lambda(C, Y) \xrightarrow{\partial} \text{Tor}_{i-1}^\Lambda(A, Y) \rightarrow \cdots \\ \cdots \rightarrow A \otimes_\Lambda Y \rightarrow B \otimes_\Lambda Y \rightarrow C \otimes_\Lambda Y \rightarrow 0 \end{aligned}$$

and

$$\begin{aligned} \cdots \rightarrow \text{Tor}_i^\Lambda(X, U) \rightarrow \text{Tor}_i^\Lambda(X, V) \rightarrow \text{Tor}_i^\Lambda(X, W) \xrightarrow{\partial} \text{Tor}_{i-1}^\Lambda(X, U) \rightarrow \cdots \\ \cdots \rightarrow X \otimes_\Lambda U \rightarrow X \otimes_\Lambda V \rightarrow X \otimes_\Lambda W \rightarrow 0 \end{aligned}$$

**Note**  $\text{Tor}_i^\Lambda(X, U) \cong H_i(X \otimes_\Lambda P_* U)$ , where  $P_* U$  is a projective resolution of  $U$ .

**Proof** (1) Given  $0 \rightarrow C_* \rightarrow D_* \rightarrow E_* \rightarrow 0$  a short exact sequence of chain complexes. Then one gets a long exact sequence

$$\cdots \rightarrow H_i(C_*) \rightarrow H_i(D_*) \rightarrow H_i(E_*) \xrightarrow{\partial} H_{i-1}(C_*) \rightarrow \cdots$$

where  $\partial$  is defined as follows:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & C_i & \longrightarrow & D_i & \longrightarrow & E_i & \longrightarrow & 0 \\
 & & & & \in & & \in & & \\
 & & & & \hat{x}_i & \longmapsto & \tilde{x}_i & & \\
 & & & & \downarrow & & & & \\
 & & & & \partial \hat{x}_i & \longmapsto & 0 \in E_{i-1} & & 
 \end{array}$$

$\Rightarrow \partial \hat{x}_i \in C_{i-1}$  a cycle:  $\partial(\partial \hat{x}_i) = 0$ .

(2)

$$\begin{array}{ccccccc}
 0 & \longrightarrow & A & \longrightarrow & B & \longrightarrow & C & \longrightarrow & 0 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 0 & \longrightarrow & P_*A & \longrightarrow & P_*B & \longrightarrow & P_*C & \longrightarrow & 0
 \end{array}$$

Take  $P_iB := P_iA \oplus P_iC$  (see next time).

(next time, with different notation...)

We want to “replace”  $0 \rightarrow U \rightarrow V \rightarrow W \rightarrow 0$  by a short exact sequence of projective resolutions:

$$0 \rightarrow P_*U \rightarrow P_*V \rightarrow P_*W \rightarrow 0$$

this is how:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W & \longrightarrow & 0 \\
 & & \uparrow & & \uparrow & & \uparrow & & \\
 0 & \longrightarrow & P_*U & \longrightarrow & P_*V & \longrightarrow & P_*W & \longrightarrow & 0
 \end{array}$$

choose  $P_*U$  and  $P_*W$ , put  $P_iV := P_iU \oplus P_iW$  (which is projective).

induction:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & U & \longrightarrow & V & \xrightarrow{\pi} & W & \longrightarrow & 0 \\
 & & \uparrow \varepsilon_U & & \uparrow \varepsilon_V & \swarrow \exists \phi & \uparrow \varepsilon_W & & \\
 0 & \longrightarrow & P_0U & \longrightarrow & (x, y) \in P_0V & \longrightarrow & y \in P_0W & \longrightarrow & 0
 \end{array}$$

$\exists \phi$  s.t.  $\pi \phi = \varepsilon_W$ , since  $P_0W$  is proj.

$\varepsilon_V(x, y) := \varepsilon_U(x) + \phi(y) \in V$

continue:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & U & \longrightarrow & V & \longrightarrow & W \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & P_0U & \longrightarrow & P_0V & \longrightarrow & P_0W \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 0 & \longrightarrow & \ker \varepsilon_U & \longrightarrow & \ker \varepsilon_V & \longrightarrow & \ker \varepsilon_W \longrightarrow 0 \\
 & & \uparrow & & \uparrow & & \uparrow \\
 & & P_1U & \longrightarrow & P_1V & \longrightarrow & P_1W
 \end{array}$$

exact  
(serpent lemma)

⇒ get:

$$0 \rightarrow P_*U \rightarrow P_*V \rightarrow P_*W \rightarrow 0$$

short exact sequence of resolutions; from  $X \otimes_{\Lambda} -$

$$0 \rightarrow X \otimes_{\Lambda} P_*U \rightarrow X \otimes_{\Lambda} P_*V \rightarrow X \otimes_{\Lambda} P_*W \rightarrow 0$$

is exact ( $P_iU \rightarrow P_iV$  has splitting  $P_iV \rightarrow P_iU$ )

Take long exact sequence: “Tor-sequence”

□

**Example**  $\text{Tor} \in \underline{\text{Ab}}$ .

Take  $\mathbb{Z} \hookrightarrow \mathbb{Q} \twoheadrightarrow \mathbb{Q}/\mathbb{Z}$  here  $\text{Tor}_i^{\mathbb{Z}} \equiv 0, i \geq 2; \text{Tor}_1^{\mathbb{Z}} = \text{Tor}, \text{Tor}_0^{\mathbb{Z}} = “\otimes_{\mathbb{Z}}”$   
 $\forall A \in \underline{\text{Ab}}$ :

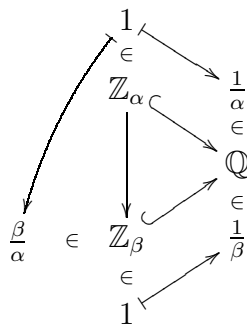
$$\begin{array}{ccccccc}
 0 & \rightarrow & \text{Tor}(\mathbb{Z}, A) & \rightarrow & \text{Tor}(\mathbb{Q}, A) & \rightarrow & \text{Tor}(\mathbb{Q}/\mathbb{Z}, A) \\
 & & \xrightarrow{\partial} & & \mathbb{Z} \otimes_{\mathbb{Z}} A & \rightarrow & \mathbb{Q} \otimes_{\mathbb{Z}} A \rightarrow (\mathbb{Q}/\mathbb{Z}) \otimes_{\mathbb{Z}} A \rightarrow 0
 \end{array}$$

Claim:  $\mathbb{Q} \cong \text{dirlim}_{\alpha \in \mathbb{N}} \mathbb{Z}_{\alpha}, \mathbb{Z}_{\alpha} = \mathbb{Z}$  where  $\{\mathbb{Z}_{\alpha}\}_{\alpha \in \mathbb{N}}$  is the following directed system:

$\mathbb{N}$  PO set: divisibility:  $\alpha \leq \beta \Leftrightarrow \alpha | \beta \Rightarrow$  directed PO set

**Note**  $A \subset \mathbb{Q}$  finitely generated subgroup, is either  $\cong \mathbb{Z}$  or 0.

**Proof**



$\Rightarrow$  check now that  $\mathbb{Q}$  has universal property of  $\text{dirlim}_{\mathbb{N}} \mathbb{Z}_{\alpha}$ . □

$$\begin{aligned} \Rightarrow \quad \text{Tor}(\mathbb{Q}, A) &\cong \text{dirlim}_{\mathbb{N}} \text{Tor}(\mathbb{Z}_{\alpha}, A) = 0 \\ (\Rightarrow \text{Tor}(\mathbb{Q}/\mathbb{Z}, A) &\cong \ker(A \rightarrow A \otimes_{\mathbb{Z}} \mathbb{Q}, a \mapsto a \otimes 1)) \\ (\Rightarrow \text{Tor}(\mathbb{Q}/\mathbb{Z}, A) &\cong TA \subset A) \end{aligned}$$

**Note**  $F \in \underline{\Lambda}\text{-Mod}$  free  $\Rightarrow \text{Tor}_i^{\Lambda}(-, F) \equiv 0, i > 0$   
 $\text{Tor}_i^{\mathbb{Z}}(\mathbb{Q}, -) \equiv 0, i > 0$  but  $\mathbb{Q} \in \underline{\text{Ab}}$  not free.

**Definition 6.21**  $M \in \underline{\Lambda}\text{-Mod}$  is called flat, if

$$\begin{aligned} - \otimes_{\Lambda} M : \underline{\text{Mod-}\Lambda} &\rightarrow \underline{\text{Ab}} \\ N &\mapsto N \otimes_{\Lambda} M \end{aligned}$$

is exact, i.e. if  $0 \rightarrow N_1 \rightarrow N_2 \rightarrow N_3 \rightarrow 0$  short exact in  $\underline{\text{Mod-}\Lambda}$ , then  $0 \rightarrow N_1 \otimes_{\Lambda} M \rightarrow N_2 \otimes_{\Lambda} M \rightarrow N_3 \otimes_{\Lambda} M \rightarrow 0$  short exact.

**Theorem 6.22**  $M \in \underline{\Lambda}\text{-Mod}$  is flat  $\Leftrightarrow \text{Tor}_i^{\Lambda}(-, M) = 0 \forall i > 0$ .

**Proof**  $\text{Tor}_1^{\Lambda}(-, M) = 0 \Rightarrow M$  flat follows from long exact Tor sequence.

Claim:  $M$  flat  $\Rightarrow \text{Tor}_i^{\Lambda}(-, M) = 0 \forall i > 0$ .

Look at  $0 \rightarrow \Omega N \rightarrow F_0 N \rightarrow N \rightarrow 0$ :

$$\cdots \rightarrow \underbrace{\text{Tor}_1^{\Lambda}(F_0 N, M)}_0 \rightarrow \text{Tor}_1^{\Lambda}(N, M) \rightarrow \underbrace{\Omega N \otimes_{\Lambda} M \rightarrow F_0 N \otimes_{\Lambda} M \rightarrow N \otimes_{\Lambda} M}_{\text{short exact}}$$

since  $M$  flat.

Thus  $M$  flat  $\Rightarrow \text{Tor}_1^{\Lambda}(-, M) \equiv 0 \Rightarrow$  (need to show)  $\text{Tor}_i^{\Lambda}(-, M) \equiv 0 \forall i > 1$ .

$N \in \underline{\text{Mod-}\Lambda}$ :  $0 \rightarrow \Omega N \rightarrow F_0 N \rightarrow N \rightarrow 0$ . Long exact Tor sequence (for  $j \geq 2$ ):

$$0 \rightarrow \text{Tor}_j^{\Lambda}(N, M) \xrightarrow{\partial} \text{Tor}_{j-1}^{\Lambda}(\Omega N, M) \rightarrow 0$$

(“dimension shifting”:  $\forall N \in \underline{\text{Mod-}\Lambda}, \forall M \in \underline{\Lambda}\text{-Mod}$ ):

$$\text{Tor}_j^{\Lambda}(N, M) \cong \text{Tor}_{j-1}^{\Lambda}(\Omega N, M)$$

for  $j \geq 2$ . □

## What abelian groups are flat?

**Lemma 6.23**  $A \in \underline{\text{Ab}}$  flat  $\Leftrightarrow A$  torsion-free.

**Proof** If  $x \in B$  has order  $n > 0$ ,

$$\begin{aligned} 0 \rightarrow \mathbb{Z} \xrightarrow{n} \mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z} \rightarrow 0 & \quad /B \otimes_{\mathbb{Z}} - \\ 0 \rightarrow B \xrightarrow{n} B \rightarrow B \otimes \mathbb{Z}/n\mathbb{Z} \rightarrow 0 & \end{aligned}$$

not exact since  $x \in \ker(B \xrightarrow{n} B)$ .

$A \in \underline{\text{Ab}}$  torsion-free  $\Rightarrow A = \text{dirlim } A_\alpha$ ,  $A_\alpha \subset A$  free abelian, finitely generated  $\Rightarrow \text{Tor}_i^{\mathbb{Z}}(A, -) \equiv 0$ ,  $i > 0 \Rightarrow A$  flat.  $\square$

## Application: Homology with coefficients

$C_*$  a chain complex in  $\underline{\text{Mod-}\Lambda}$ ,  $M \in \underline{\Lambda\text{-Mod}}$ :

$$H_i(C_*; M) := H_i(C_* \otimes_{\Lambda} M)$$

e.g.  $X \in \underline{\text{Top}}$ :  $C_* = C_*^{\text{sing}}(X)$ ,

$$H_i^{\text{sing}}(X; A) := H_i(C_*^{\text{sing}}(X); A) = H_i(C_*^{\text{sing}}(X) \otimes_{\mathbb{Z}} A)$$

$A \in \underline{\text{Ab}}$ : “singular homology groups of  $X$  with coefficients in  $A$ .”

$A = K$  a field:  $C_*^{\text{sing}}(X) \otimes_{\mathbb{Z}} K$   $K$ -vector space  $\Rightarrow H_*^{\text{sing}}(X; K)$   $K$ -vector spaces.

$$H_i^{\text{sing}}(X; \mathbb{Z}) := H_i^{\text{sing}}(C_*^{\text{sing}}(X) \otimes_{\mathbb{Z}} \mathbb{Z}) \cong H_i(C_*^{\text{sing}}(X)) = H_i^{\text{sing}}(X)$$

**Theorem 6.24 (Universal Coefficient Theorem)** Let  $C_*$  be a flat chain complex in  $\underline{\text{Mod-}\Lambda}$ , and let  $M \in \underline{\Lambda\text{-Mod}}$  such that  $\text{Tor}_i^{\Lambda}(-, M) \equiv 0$  for  $i > 1$  (i.e.  $\Omega M$  is flat). Then there is a natural short exact sequence:

$$0 \rightarrow H_i(C_*) \otimes_{\Lambda} M \rightarrow H_i(C_* \otimes_{\Lambda} M) \rightarrow \text{Tor}_1^{\Lambda}(H_{i-1}(C_*), M) \rightarrow 0$$

**Proof** 1.  $M$  flat.

Look at

$$C_* : \cdots \rightarrow C_i \xrightarrow{\partial_i} C_{i-1} \rightarrow \cdots$$

$C_i \supset Z_i = \ker \partial_i$ : cycles;  $C_{i-1} \supset B_{i-1} = \text{im } \partial_i$ : boundaries. Thus

$$\begin{aligned} 0 \rightarrow Z_i \hookrightarrow C_i \twoheadrightarrow B_{i-1} \rightarrow 0 \\ 0 \rightarrow B_i \hookrightarrow Z_i \twoheadrightarrow H_i \rightarrow 0 \end{aligned}$$

Tensoring with  $M$ :

$$\begin{aligned}
0 &\rightarrow Z_i \otimes_{\Lambda} M \rightarrow C_i \otimes M \rightarrow B_{i-1} \otimes_{\Lambda} M \rightarrow 0 && \text{exact} \\
0 &\rightarrow B_i \otimes_{\Lambda} M \rightarrow Z_i \otimes M \rightarrow H_i \otimes_{\Lambda} M \rightarrow 0 && \text{exact} \\
\Rightarrow & H_i(C_* \otimes_{\Lambda} M) = Z_i \otimes_{\Lambda} M / B_i \otimes_{\Lambda} M \cong (H_i C_*) \otimes_{\Lambda} M
\end{aligned}$$

2. General case:

Look at:

$$0 \rightarrow \Omega M \rightarrow \underbrace{F_0 M}_{\text{free} \Rightarrow \text{flat}} \rightarrow M \rightarrow 0$$

$\text{Tor}_i^{\Lambda}(-, M) \xrightarrow{\cong} \text{Tor}_{i-1}^{\Lambda}(-, \Omega M)$ ,  $i \geq 2 \Rightarrow \Omega M$  flat since  $\text{Tor}_1^{\Lambda}(-, \Omega M) = 0$ .

Look at:

$$0 \rightarrow C_* \otimes_{\Lambda} \Omega M \rightarrow C_* \otimes_{\Lambda} F_0 M \rightarrow C_* \otimes_{\Lambda} M \rightarrow 0$$

is a short exact sequence (because  $C_*$  is flat) and yields a long exact sequence in homology:

$$\begin{array}{ccccccc}
\cdots & \longrightarrow & H_i(C_* \otimes_{\Lambda} \Omega M) & \xrightarrow{\alpha} & H_i(C_* \otimes_{\Lambda} F_0 M) & \longrightarrow & H_i(C_* \otimes_{\Lambda} M) \xrightarrow{\partial} \\
& & \downarrow \cong & & \downarrow \cong & & \\
& & (H_i(C_*)) \otimes_{\Lambda} \Omega M & \xrightarrow{\tilde{\alpha}} & (H_i(C_*)) \otimes_{\Lambda} F_0 M & & \\
& & & & & & \\
& & \xrightarrow{\partial} & H_{i-1}(C_* \otimes_{\Lambda} \Omega M) & \xrightarrow{\beta} & \cdots & \\
& & & \downarrow \cong & & \downarrow \cong & \\
& & & H_{i-1}(C_*) \otimes_{\Lambda} \Omega M & \xrightarrow{\tilde{\beta}} & H_{i-1}(C_*) \otimes_{\Lambda} F_0 M & 
\end{array}$$

(isos by case 1)  $\Rightarrow$  get short exact sequence:

$$0 \rightarrow \text{coker}(\alpha) \rightarrow H_i(C_* \otimes_{\Lambda} M) \rightarrow \ker \beta \rightarrow 0$$

where  $\text{coker}(\alpha) \cong \text{coker} \tilde{\alpha} \cong H_i(C_*) \otimes_{\Lambda} M$  (right-exactness of  $- \otimes_{\Lambda} M$ ) and  $\ker \beta \cong \ker \tilde{\beta} \cong \text{Tor}_1^{\Lambda}(H_{i-1} C_*, M)$ .

□

**Example**  $\Lambda$  a PID (principal ideal domain)

$\Rightarrow \text{Tor}_2(\cdot, \cdot) \equiv 0$

$\Rightarrow$  Get UCT for *any*  $M$



**Example**  $X \in \underline{\text{Top}}$ ,  $A \in \underline{\text{Ab}}$ ; then one defines:

$$H_i^{\text{sing}}(X; A) = H_i(C_*^{\text{sing}}(X) \otimes_{\mathbb{Z}} A) \quad (H_i^{\text{sing}}(X; \mathbb{Z}) =: H_i^{\text{sing}} X)$$

$$\Rightarrow \boxed{0 \rightarrow H_i^{\text{sing}}(X) \otimes_{\mathbb{Z}} A \rightarrow H_i^{\text{sing}}(X; A) \rightarrow \text{Tor}(H_{i-1}^{\text{sing}}(X), A) \rightarrow 0 \quad \text{UCT}}$$

**Example** “Homology of groups”

For  $M$  a  $\mathbb{Z}G$ -module we defined

$$H_i(G; M) := H_i(P_*(G) \otimes_{\mathbb{Z}G} M)$$

where  $P_*G$  is a projective resolution of  $\mathbb{Z}$  considered as trivial  $\mathbb{Z}G$ -module.

$$\cdots \rightarrow P_i \rightarrow P_{i-1} \rightarrow \cdots \rightarrow P_0 \rightarrow \mathbb{Z}$$

$M$ : in general so that  $\text{Tor}_i^{\mathbb{Z}G}(-, M) \neq 0$ ,  $i \geq 2$ . Example of flat  $\Omega M$ : Take  $M = (\mathbb{Z}/n\mathbb{Z})[G] \Rightarrow$  have short exact sequence

$$0 \rightarrow \mathbb{Z}[G] \xrightarrow{n} \mathbb{Z}[G] \rightarrow \mathbb{Z}/n\mathbb{Z}[G]$$

$\Rightarrow H_*(P_* \otimes M)$  fits into short exact sequence

$$0 \rightarrow H_i(P_*) \otimes_{\mathbb{Z}G} M \rightarrow H_i(P_* \otimes_{\mathbb{Z}G} M) \rightarrow \text{Tor}_1^{\mathbb{Z}G}(H_{i-1}(P_*), M) \rightarrow 0$$

where  $H_i(P_*) = 0$  for  $i > 0$ , so  $H_i(G; M) = 0$  for  $i > 1$  and

$$H_1(G; M) \cong \text{Tor}_1^{\mathbb{Z}G}(\underbrace{H_0 P_*}_{\mathbb{Z}}, M) = H_1(G; M)$$

$$H_0(G; M) \cong \underbrace{H_0(P_*)}_{\mathbb{Z}} \otimes_{\mathbb{Z}G} M \cong M_G \quad (\text{“coinvariants”})$$

## 7 Künneth Formula

What is  $H_*^{\text{sing}}(X \times Y)$  in terms of  $H_*^{\text{sing}}(X)$  and  $H_*^{\text{sing}}(Y)$ ?

$\leadsto$  study  $H_*(C_* \otimes_{\Lambda} D_*)$  (where  $C_*, D_*$  complexes in  $\underline{\text{Mod-}\Lambda}$ ,  $\underline{\Lambda\text{-Mod}}$ , respectively).

**Definition 7.1 (Tensor Product of Chain Complexes)** Let  $(C_*, \partial_C), (D_*, \partial_D)$  two chain complexes.

Then  $(C_* \otimes_{\Lambda} D_*, \partial)$  denotes the chain complex with

$$(C_* \otimes_{\Lambda} D_*)_i := \bigoplus_{k+\ell=i} (C_k \otimes_{\Lambda} D_{\ell})$$

For  $x \otimes_{\Lambda} y \in C_k \otimes_{\Lambda} D_{\ell}$  put

$$\partial(x \otimes_{\Lambda} y) = (\partial x) \otimes_{\Lambda} y + (-1)^k x \otimes_{\Lambda} \partial y$$

$\Rightarrow \partial\partial = 0$ ;  $H_i(C_* \otimes_{\Lambda} D_*) = \text{“ker / im”}$ .

$\Rightarrow \exists$  natural map  $H_k(C_*) \otimes_{\Lambda} H_{\ell}(D_*) \rightarrow H_{k+\ell}(C_* \otimes_{\Lambda} D_*)$  defined in the obvious way:

$$\begin{array}{ccc} H_k(C_*) & \otimes_{\Lambda} & H_{\ell}(D_*) \longrightarrow^{\mu} H_{k+\ell}(C_* \otimes_{\Lambda} D_*) \\ \in & & \in \\ a & & b \\ \uparrow & & \uparrow \\ \tilde{a} \in C_k & & \tilde{b} \in D_{\ell} \end{array}$$

$$\mu(a \otimes_{\Lambda} b) := [\tilde{a} \otimes_{\Lambda} \tilde{b}]$$

Claim:  $\tilde{a} \otimes_{\Lambda} \tilde{b} \in C_* \otimes_{\Lambda} D_*$  a cycle. Look at

$$\partial(\tilde{a} \otimes_{\Lambda} \tilde{b}) = \partial_C \tilde{a} \otimes_{\Lambda} \tilde{b} + (-1)^{|\alpha|} \tilde{a} \otimes_{\Lambda} \partial_D \tilde{b} = 0$$

For  $\alpha, \beta$  boundaries,  $\alpha = \partial_C \tilde{\alpha}$ ,  $\beta = \partial_D \tilde{\beta}$ :

$$\begin{aligned} (\tilde{a} + \alpha) \otimes_{\Lambda} (\tilde{b} + \beta) &= \tilde{a} \otimes_{\Lambda} \tilde{b} + \alpha \otimes_{\Lambda} \tilde{b} + \tilde{a} \otimes_{\Lambda} \beta + \alpha \otimes_{\Lambda} \beta \\ &= \tilde{a} \otimes_{\Lambda} \tilde{b} + \partial(\tilde{\alpha} \otimes_{\Lambda} \tilde{b}) + (-1)^{|\beta|} \partial(\tilde{a} \otimes_{\Lambda} \tilde{\beta}) + \partial(\tilde{\alpha} \otimes_{\Lambda} \tilde{\beta}) \end{aligned}$$

where all but the first term are boundaries.  $\Rightarrow$  get map

$$\mu_n : \bigoplus_{k+\ell=n} (H_k(C_*) \otimes_{\Lambda} H_{\ell}(D_*)) \rightarrow H_n(C_* \otimes_{\Lambda} D_*)$$

Now the optimist would assume  $\mu_n$  is an isomorphism. This would be too simple, but is not too far off, as the Künneth formula shows:

**Theorem 7.2 (Künneth Formula)** *Let  $C_*, D_*$  be flat complexes and assume  $\text{Tor}_2^{\Lambda}(-, -) \equiv 0$  (e.g.  $\Lambda$  a PID). Then there is a natural short exact sequence:*

$$\begin{aligned} 0 &\rightarrow \bigoplus_{i+j=n} (H_i(C_*) \otimes_{\Lambda} H_j(D_*)) \xrightarrow{\mu_n} H_n(C_* \otimes_{\Lambda} D_*) \\ &\rightarrow \bigoplus_{i+j=n-1} \text{Tor}_1^{\Lambda}(H_i C_*, H_j D_*) \rightarrow 0 \end{aligned}$$

**Proof** Look at  $B_i \subset Z_i \subset D_i$ , boundaries and cycles for  $D_*$ .  $B_* \subset Z_* \subset D_*$  where  $B_*$  and  $Z_*$  are subcomplexes (with  $\partial \equiv 0$ ).  $Z_*/B_* = H_*(D_*)$  and:

$$D_i \xrightarrow{\partial} B_{i-1} \subset D_{i-1}, \quad B_{i-1} =: (\Sigma B_*)_i$$

(so  $H_j(\Sigma C_*) = H_{j-1}(C_*)$ ) yielding a map:

$$D_* \twoheadrightarrow \Sigma B_*$$

of chain complexes.

$\Rightarrow$  have a short exact sequence of chain complexes:

$$\begin{array}{ccccccc} 0 & \rightarrow & Z_* & \rightarrow & D_* & \rightarrow & \Sigma B_* \rightarrow 0 \\ 0 & \rightarrow & C_* \otimes_{\Lambda} Z_* & \rightarrow & C_* \otimes_{\Lambda} D_* & \rightarrow & C_* \otimes_{\Lambda} \Sigma B_* \rightarrow 0 \end{array} \quad /C_* \otimes_{\Lambda} -$$

is exact:

$$0 \rightarrow C_i \otimes_{\Lambda} Z_j \rightarrow C_i \otimes_{\Lambda} D_j \rightarrow C_i \otimes_{\Lambda} B_{j-1} \rightarrow 0 \quad (C_i \text{ is flat})$$

(with  $0 \rightarrow Z_j \rightarrow D_j \rightarrow B_{j-1} \rightarrow 0$  short exact.)

apply  $H_*$  to get a long exact sequence:

$$\begin{array}{ccccccc} \dots & \rightarrow & H_{i+1}(C_* \otimes_{\Lambda} \Sigma B_*) & \xrightarrow{\partial} & H_i(C_* \otimes_{\Lambda} Z_*) & \rightarrow & H_i(C_* \otimes_{\Lambda} D_*) \rightarrow \\ & & & & \xrightarrow{\partial} & & H_{i-1}(C_* \otimes_{\Lambda} Z_*) \rightarrow \dots \end{array}$$

$\Rightarrow$

$$0 \rightarrow \text{coker } \alpha \rightarrow H_i(C_* \otimes_{\Lambda} D_*) \rightarrow \ker \beta \rightarrow 0$$

is exact.

coker  $\alpha$ :

$$H_{i+1}(C_* \otimes_{\Lambda} \underbrace{\Sigma B_*}_{\partial=0}) \xrightarrow{\alpha} H_i(C_* \otimes_{\Lambda} \underbrace{Z_*}_{\partial=0})$$

$\rightarrow$  look at  $C_* \otimes_{\Lambda} (\Sigma B_k)$ . Idea: Because  $\delta \equiv 0$  for the complex  $\Sigma B_*$  we have some ‘‘additivity’’ and we can look at  $C_* \otimes_{\Lambda} (\Sigma B_k)$ . We want to apply the UCT.

Claim:  $B_k, Z_k$  are flat.

By assumption:  $B_i \subset Z_i \subset D_i$  flat  $\forall i \Rightarrow Z_i, B_i$  flat as  $\text{Tor}_2^{\Lambda} = 0$ .

Namely:  $\text{Tor}_2^{\Lambda} = 0 \Rightarrow \text{Tor}_1^{\Lambda}(X, -), \text{Tor}_1^{\Lambda}(-, Y)$  are left exact (from long Tor sequence).  $\Rightarrow$  submodules of flat modules are flat in this case.

( $A$  flat,  $B \subset A \Rightarrow \forall C: \text{Tor}_1^{\Lambda}(B, C) \hookrightarrow \underbrace{\text{Tor}_1^{\Lambda}(A, C)}_{=0} \Rightarrow \text{Tor}_1^{\Lambda}(B, -) = 0$ :  $B$

flat.)

back to coker  $\alpha$  (use the UCT):

$$\begin{array}{ccc} H_{i-1}(C_* \otimes_{\Lambda} \Sigma B_*) & \xrightarrow{\alpha} & H_i(C_* \otimes_{\Lambda} Z_*) \\ \uparrow \cong & & \uparrow \cong \\ \bigoplus_{k+l=i+1} H_k(C_*) \otimes_{\Lambda} \Sigma B_l & \longrightarrow & \bigoplus_{s+t=i} H_s(C_*) \otimes_{\Lambda} Z_t \\ \parallel & & \\ \bigoplus_{k+m=i} H_k(C_*) \otimes_{\Lambda} B_m & & \end{array}$$

$$0 \rightarrow B_m \hookrightarrow Z_m \rightarrow H_m(D_*) \rightarrow 0 \quad / H_k(C_*) \otimes_{\Lambda} -$$

short exact.

$$\dots H_k(C_*) \otimes_{\Lambda} B_m \rightarrow H_k(C_*) \otimes_{\Lambda} Z_m \twoheadrightarrow \underbrace{H_k(C_*) \otimes_{\Lambda} H_m(D_*)}_{\Rightarrow \text{coker } \alpha \cong \bigoplus_{k+m=i} H_k(C_*) \otimes H_m(D_*)} \rightarrow 0$$

exact.

Look at

$$0 \rightarrow \text{Tor}_1^{\Lambda}(H_k(C_*), H_m(D_*)) \rightarrow H_k(C_*) \otimes_{\Lambda} B_m \rightarrow \dots$$

and compute  $\ker \beta$  by a similar argument as above.  $\square$

Applied to singular homology, one gets:

**Theorem 7.3 (Künneth Formula)**  $X, Y \in \underline{\text{Top}}$ . Then there is a natural short exact sequence:

$$\begin{aligned} 0 \rightarrow \bigoplus_{i+j=n} (H_i^{\text{sing}} X \otimes H_j^{\text{sing}} Y) &\rightarrow H_n^{\text{sing}}(X \times Y) \\ &\rightarrow \bigoplus_{s+t=n-1} \text{Tor}(H_s^{\text{sing}} X, H_t^{\text{sing}} Y) \rightarrow 0 \end{aligned}$$

(without proof: the sequence is split!)

**Proof** Apply KF for  $\Lambda = \mathbb{Z}$  and  $C_*^{\text{sing}} X \otimes_{\mathbb{Z}} C_*^{\text{sing}} Y$  to compute  $H_i(C_*^{\text{sing}} X \otimes_{\mathbb{Z}} C_*^{\text{sing}} Y)$ . Then we get ( $C_*^{\text{sing}} X, C_*^{\text{sing}} Y$  are  $\mathbb{Z}$ -flat, and  $\text{Tor}_2^{\mathbb{Z}} = 0$ ):

$$\begin{aligned} 0 \rightarrow \bigoplus_{i+j=n} \underbrace{H_i(C_*^{\text{sing}} X)}_{H_i^{\text{sing}} X} \otimes_{\mathbb{Z}} \underbrace{H_j(C_*^{\text{sing}} Y)}_{H_j^{\text{sing}} Y} &\rightarrow \underbrace{H_n(C_*^{\text{sing}} X \otimes_{\mathbb{Z}} C_*^{\text{sing}} Y)}_{\stackrel{?}{=} H_n^{\text{sing}}(X \times Y)} \\ &\rightarrow \text{Tor}(H_s(C_*^{\text{sing}} X), H_t(C_*^{\text{sing}} Y)) \end{aligned}$$

$\exists$  map of chain complexes

$$\begin{aligned} C_*^{\text{sing}} X \otimes C_*^{\text{sing}} Y &\rightarrow C_*^{\text{sing}}(X \times Y) \\ a \otimes b &\mapsto \lambda(a \otimes b) \end{aligned}$$

$\Rightarrow$  chain homotopy equivalence (Eilenberg-Zilber theorem). Namely:

$$\left. \begin{array}{l} a : \Delta_n \rightarrow X \\ b : \Delta_m \rightarrow Y \end{array} \right\} a \times b : \Delta_n \times \Delta_m \rightarrow X \times Y$$

"subdivide" prism  $\Delta_n \times \Delta_m$  into  $(n+m)$ -simplices.  $\square$

**Theorem 7.4 (KF for group homology)**  $G$  group:  $H_i G := H_i(G; \mathbb{Z}) = \text{Tor}_i^{\mathbb{Z}G}(\mathbb{Z}, \mathbb{Z})$

$G, H$  groups  $\Rightarrow \exists$  natural short exact sequence:

$$0 \rightarrow \bigoplus_{i+j=n} H_i G \otimes H_j H \rightarrow H_n(G \times H) \rightarrow \bigoplus_{s+t=n-1} \text{Tor}(H_s G, H_t H) \rightarrow 0$$

(without proof: the sequence is split!)

**Proof** Take  $X = K(G, 1)$ , a CW-complex with

$$\pi_i X = \begin{cases} G & i = 1 \\ 0 & \text{else} \end{cases}$$

$\Rightarrow \tilde{X}$  is contractible ( $\tilde{X}$  CW-complex with  $\pi_i \tilde{X} = 0 \forall i \Rightarrow$  (Whitehead)  $\tilde{X}$  contractible)

$\Rightarrow C_*^{\text{sing}} \tilde{X}$  is a free  $\mathbb{Z}G$ -resolution of  $\mathbb{Z}$ :  $C_i^{\text{sing}} \tilde{X}$  free/ $\mathbb{Z}$ , basis  $\Delta_i \xrightarrow{\phi} \tilde{X} \circlearrowright^G$ .

$\Rightarrow$

$$H_i(C_*^{\text{sing}} \tilde{X} \otimes_{\mathbb{Z}G} \mathbb{Z}) \cong \text{Tor}_i^{\mathbb{Z}G}(\mathbb{Z}, \mathbb{Z}) = H_i G \cong H_i^{\text{sing}} X$$

so take  $X = K(G, 1)$ ,  $Y = K(H, 1) \Rightarrow X \times Y$  has

$$\pi_i(X \times Y) \cong \begin{cases} G \times H, & i = 1 \\ 0, & \text{else} \end{cases}$$

$\Rightarrow K(G, 1) \times K(H, 1) \simeq K(G \times H, 1)$

$\Rightarrow H_i H \cong H_i^{\text{sing}} Y$

$H_i(G \times H) \cong H_i^{\text{sing}}(X \times Y)$

KF for  $X \times Y$  yields KF for  $G \times H$

□

## 8 Geometric Realization Functor

$\exists$  functor

$$\underline{\text{Top}} \xrightarrow{\Gamma} \underline{\text{CW}} \subset \underline{\text{Top}}$$

$$X \longmapsto \Gamma X$$

together with a natural onto map  $\varepsilon_X : \Gamma X \rightarrow X$

$$\begin{array}{ccc} \Gamma X & \xrightarrow{\varepsilon_X} & X \\ \Gamma f \downarrow & & \downarrow f \\ \Gamma Y & \xrightarrow{\varepsilon_Y} & Y \end{array}$$

where  $\Gamma f$  is cellular (always a commutative diagram) such that

- (1)  $X \in \underline{\text{CW}} \Rightarrow \varepsilon_X : \Gamma X \xrightarrow{\cong} X$   
(2)  $\varepsilon_X$  induces  $H_*^{\text{sing}} \Gamma X \xrightarrow{\cong} H_*^{\text{sing}} X$   
(3)  $\varepsilon_X$  induces  $\pi_i(\Gamma X, w) \xrightarrow{\cong} \pi_i(X, \varepsilon_X w) \forall i, \forall w$

**Definition 8.1**  $f : X \rightarrow Y$  in  $\underline{\text{Top}}$  is called a weak homotopy equivalence, if  $f$  induces  $\pi_i(X, x_0) \xrightarrow{\cong} \pi_i(Y, f(x_0)) \forall x_0 \in X, \forall i$ .  
(Also for  $i = 0$ :  $[S^0, X] \cong [\{x_0\}, X] \Rightarrow f$  induces bijection of path components of  $X$  and  $Y$ )

**Example**

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \varepsilon_X \uparrow & & \uparrow \varepsilon_Y \\ \Gamma X & \xrightarrow{\Gamma f} & \Gamma Y \end{array}$$

with  $f$  weak homotopy equivalence:  $\varepsilon_X$  and  $\varepsilon_Y$  are weak homotopy equivalences (WHE) by (3)  $\Rightarrow \Gamma f$  a WHE too  $\Rightarrow$  (by Whitehead)  $\Gamma f$  a homotopy equivalence.

Consider  $K_* = \{K_n\}_{n \geq 0}$  simplicial set consisting of:

1. Sets  $K_n, n \geq 0$  ( $n$ -simplices)
2. Face operations, degeneracy operators

$$\begin{aligned} d_i : K_n &\rightarrow K_{n-1}, 0 \leq i \leq n, \text{ (faces)} \\ s_i : K_n &\rightarrow K_{n+1}, 0 \leq i \leq n, \text{ (degeneracies)} \end{aligned}$$

satisfying certain relations, motivated as follows:

**Example**  $K_* = \Delta_*$  “simplicial complex of  $X \in \underline{\text{Top}}$ ” with  $S_i X := \{\Delta_i \xrightarrow{f} X \mid f \text{ continuous}\}$  where  $\mathbb{R}^{i+1} \supset \Delta_i = (t_0, \dots, t_i), \sum t_j = 1$  is the standard  $i$ -simplex.

$$\begin{aligned} S_i X &\xrightarrow{d_j} S_{i-1} X \\ (f : \Delta_i \rightarrow X) &\mapsto (\Delta_{i-1} \xrightarrow{\delta_j} \Delta_i \xrightarrow{f} X) \\ &\quad (t_0, \dots, t_{i-1}) \mapsto (t_0, \dots, t_{j-1}, 0, t_j, \dots, t_{i-1}) \end{aligned}$$

$f \mapsto d_j f := f \circ \delta_j$ . And:

$$\begin{aligned} S_i X &\xrightarrow{s_j} S_{i+1} X \\ (\Delta_i \xrightarrow{f} X) &\mapsto (\Delta_{i+1} \xrightarrow{\sigma_j} \Delta_i \xrightarrow{f} X) \\ &\quad (t_0, \dots, t_{i+1}) \mapsto (t_0, \dots, t_{j-1}, t_j + t_{j+1}, \dots, t_{i+1}) \end{aligned}$$

All the relations between the  $d$ 's and  $s$ 's in  $S_*X$  are taken to be relations in the general  $K_*$ .

$K_*$  has a “geometric realization” given by:

$$|K_*| := \coprod_{n \geq 0} K_n \times \Delta_n / \sim \in \underline{\mathbf{CW}}$$

where  $K_n$  is a discrete topological space, and  $\Delta_n$  has the usual topology.  $\sim$  is generated by:

$$\begin{array}{ccc} (a, x) & \sim & (d_i a, y) \\ a \in K_n, x \in \Delta_n & & d_i a \in K_{n-1} \\ x = \delta_i y & & y \in \Delta_{n-1} \end{array}$$

$$(f, \sigma_j z) \sim (s_j f, z)$$

**Definition 8.2**  $X \in \underline{\mathbf{Top}}$ :  $\Gamma X := |S_*X| \in \underline{\mathbf{CW}}$ .

one checks:  $C_x^{\text{cell}}(\Gamma X) \xleftarrow{\phi} C_n^{\text{sing}} X \supset D_n^{\text{sing}} X$ , with  $D_n^{\text{sing}} X (= \ker \phi)$  generated by degenerate singular simplices.  $D_x^{\text{sing}} X \subset C_*^{\text{sing}} X$ ,  $D_x^{\text{sing}} X$  being a subcomplex (contractible chain complex).

$\Rightarrow \phi$  induces an isomorphism:

$$\begin{array}{ccc} H_*^{\text{sing}} X & \xrightarrow{\cong} & H_*^{\text{cell}}(\Gamma X) \\ \exists \text{ natural iso } \gamma_* \uparrow & & \cong \\ H_*^{\text{sing}}(\Gamma X) & & \end{array}$$

$$\begin{array}{ccc} \gamma : & \Gamma X & \rightarrow X \\ & [(a, x)] & \mapsto a(x) \end{array}$$

continuous surjection, with  $a : \Delta_n \rightarrow X : a \in S_n X, x \in \Delta_n$ .

$\Gamma : \underline{\mathbf{Top}} \rightarrow \underline{\mathbf{CW}}$  is a functor

$$\begin{array}{ccccc} X \longmapsto \Gamma X & = & \coprod (S_n X) \times \Delta_n / \sim & \ni & [(a, x)] & a : \Delta_n \rightarrow X, x \in \Delta_n \\ \lambda \downarrow & & \downarrow \Gamma \lambda & & \downarrow & \\ Y \longmapsto \Gamma Y & = & \coprod (S_n Y) \times \Delta_n / \sim & \ni & [(\lambda a, x)] & \end{array}$$

**Theorem 8.3 (Basic Theorem)** For all  $X \in \underline{\mathbf{Top}}$ ,  $\gamma : \Gamma X \rightarrow X, \omega \mapsto \gamma \omega$  induces  $\gamma_* : \pi_i(\Gamma X, \omega) \xrightarrow{\cong} \pi_i(X, \gamma \omega), \forall \omega \in \Gamma X$ .

**Example**  $X = \{\bullet\}$ :

$$C_*^{\text{sing}}\{\bullet\} : \mathbb{Z} \xrightarrow{\partial} \mathbb{Z} \xrightarrow{\partial} \mathbb{Z} \rightarrow \dots \rightarrow \mathbb{Z}$$

$$\begin{aligned} s_n\{\bullet\} &= \{\Delta_n \xrightarrow{\exists!} \{\bullet\}\} \\ C_*^{\text{sing}}\{\bullet\} \supset D_*^{\text{sing}}\{\bullet\} &\cong 0_* \Rightarrow \end{aligned}$$

$$C_n^{\text{sing}}/D_n^{\text{sing}} = \begin{cases} 0 & n > 0 \\ \mathbb{Z} & n = 0 \end{cases}$$

$$H_i(C_*^{\text{sing}}/D_*^{\text{sing}}) = \begin{cases} 0 & i > 0 \\ \mathbb{Z} & i = 0 \end{cases}$$

## Eilenberg Mac Lane spaces

$\pi$  a discrete group.

$B_*\pi$  simplicial set with  $B_n\pi := (\pi)^n \xrightarrow{d_i} B_{n-1}\pi$  with

$$d_i(g_1, \dots, g_n) = \begin{cases} (g_2, \dots, g_n) & i = 0 \\ (g_1, \dots, g_i g_{i+1}, \dots, g_n) & 0 < i < n \\ (g_1, \dots, g_n) & i \geq n \end{cases}$$

$$\begin{aligned} B_n\pi &\xrightarrow{s_i} B_{n+1}\pi \\ (g_1, \dots, g_n) &\mapsto (g_1, \dots, 1, \dots, g_n) \end{aligned}$$

where 1 is at position  $i + 1$ .

**Definition 8.4**  $K(\pi, 1) := |B_*\pi|$  (connected and has only one 0-cell which serves as base-point.)

**Theorem 8.5**

$$\pi_i(K(\pi, 1)) \cong \begin{cases} \pi & i = 1 \\ 0 & \text{else} \end{cases}$$

**Remark** If  $X, Y \in \underline{\text{CW}}$  with  $\pi_j X \cong \pi_j Y = 0$ ,  $j \neq n$ , and  $\pi_n X \cong \pi_n Y$ , then  $X \simeq Y$  (we write  $K(\pi, n)$  for such an  $X$ ,  $\pi \cong \pi_n(K(\pi, n))$ ).



$\Rightarrow$  we get a functor

$$K(\cdot, 1) : \quad \underline{\text{Gr}} \longrightarrow \underline{\text{CW}}.$$

$$\begin{array}{ccc} \pi & \longmapsto & K(\pi, 1) \\ f \downarrow & & \downarrow K(f,1) \\ G & \longmapsto & K(G, 1) \end{array}$$

with  $\pi_1(K(\pi, 1)) \cong \pi$ .

$$\begin{array}{ccc} K(\pi, 1) & \longmapsto & \pi_1(K(\pi, 1)) \\ \downarrow \phi \in \underline{\text{CW}} & & \downarrow \phi_* = \pi_1(\phi) \\ K(G, 1) & \longmapsto & \pi_1(K(G, 1)) \end{array}$$

and  $\pi_1(K(f, 1))$  is  $f$  (up to natural equivalence). Every  $\phi : K(\pi, 1) \rightarrow K(G, 1)$  is, up to homotopy, of the form  $K(f, 1)$ :

$$\pi_1 : [K(\pi, 1), K(G, 1)] \xrightarrow{\text{bij}} \text{Hom}(\pi, G)$$

(without proof).

If  $\pi$  is an abelian group  $\Rightarrow B_*\pi$  is a *simplicial group*:

$$B_n\pi := (\pi)^n, \quad \mu : \pi \times \pi \rightarrow \pi$$

( $\mu$  is a homomorphism  $\Rightarrow \pi$  abelian).  $\Rightarrow K(\pi, 1)$  a *topological group*.

Now take  $G \in \underline{\text{Top}}$  a topological group.  $B_*G$  becomes a simplicial, topological group, i.e.

$$B_nG := (G)^n \in \underline{\text{Top}}$$

$d_i, s_i$ : continuous group homomorphisms. Define

$$|B_*G| := \coprod_{\substack{y \in B_n \\ n \geq 0}} y \times \Delta_n / \sim =: BG$$

This is called the *classifying space* of  $G$ . If  $G$  is an abelian topological group, then so is  $BG$ .

**Note**  $G = \pi$  discrete  $\Rightarrow BG = K(\pi, 1)$  (not a group unless  $G$  abelian).

$G \in \underline{\text{Top}}$  a topological group and abelian  $\Rightarrow BG \in \underline{\text{Top}}$  an abelian topological group and  $\pi_i BG \cong \pi_{i-1}G$  ( $G$  not necessarily connected:  $\pi_0G \cong \pi_1BG$ ).

$G$  topological abelian  $\Rightarrow BG$  topological abelian  $\Rightarrow B(BG) =: B^2G, \dots, B^nG$   
all topological abelian groups.

$G = \pi$  discrete abelian group:

$$BG = K(\pi, 1) \mapsto B(BG) = K(\pi, 2), \dots, B^nG = K(\pi, n)$$

$G$  topological group  $\rightsquigarrow |B_*G| := BG \in \underline{\text{Top}}$  ( $\in \underline{\text{CW}}$  if  $G$  discrete) such that  
 $\pi_i BG \cong \pi_{i-1}G$  for  $i \geq 1$ .

If  $G$  discrete, then

$$\pi_i BG = \begin{cases} \pi_0 G = G & i = 1 \\ 0 & i > 1 \end{cases}$$

and we write  $K(G, 1) := BG$ .

**Remark**  $G$  topological group: Define  $E_*G$  with  $E_nG := (G)^{n+1}$  and “suitable”  $d$  and  $s$ .  $E_nG$  has  $G$ -action by

$$(g_1, \dots, g_{n+1}) \cdot g = (g_1, \dots, g_{n+1}, g)$$

$$E_nG \twoheadrightarrow (E_nG)/G =: B_nG$$

$EG := |E_*G|$  with  $(EG)/G \xrightarrow{\cong} BG$  free  $G$ -space, and even  $EG \xrightarrow{\text{proj}} BG$   
fibration with fiber  $G$  (principal  $G$ -bimodule) and  $EG \simeq \{\bullet\}$ : “ $G \rightarrow EG \rightarrow BG$ ”  
 $\rightsquigarrow$  long exact homotopy sequence

$$\pi_j G \rightarrow \underbrace{\pi_j EG}_0 \rightarrow \pi_j BG \xrightarrow{\partial} \pi_{j-1} G \rightarrow \underbrace{\pi_j EG}_0 \rightarrow \dots$$

$G = A$  abelian, discrete:  $BA = K(A, 1)$  topological abelian group  $\Rightarrow$   
 $B(K(A, 1)) = BBA =: B^2A = K(A, 2)$  topological abelian group, etc.  $\Rightarrow$   
 $K(A, n) := B^nA$

$$T : \underline{\text{Top}} \rightarrow \underline{\text{CW}} \\ X \mapsto TX$$

and  $\gamma(X) : \Gamma X \rightarrow X$ .

Take  $W \in \underline{\text{CW}}$ :

$$\begin{array}{ccc} W & \xrightarrow{f} & X \\ \gamma(W) \uparrow & \searrow & \uparrow \gamma(X) \\ \Gamma W & \xrightarrow{\Gamma f} & \Gamma X \end{array}$$

$\gamma(X)$  is an isomorphism in  $\pi_*$ , and it turns out  $\gamma(W)$  is a homotopy equivalence.

$$\begin{aligned} [W, \Gamma X] &\xrightarrow{\gamma^*} [W, X] = [i(W), X] \\ \pi_i(\Gamma X, W) &\xrightarrow{\cong} \pi_i(X, \gamma(W)) \quad \forall W \end{aligned}$$

$\Rightarrow \underline{\text{HTop}} \xrightleftharpoons[i]{\Gamma} \underline{\text{HCW}}$  are adjoints on the homotopy categories HTop, HCW.

$\Gamma$  turns weak homotopy equivalences into homotopy equivalences.

## Remarks concerning cohomology

$h^*$  cohomology theory with  $h^i$  contravariant (on Top<sup>2</sup>). Most axioms directly correspond to homology, except additivity where we have

$$\begin{array}{c} h^i(\coprod_{\alpha \in I} (X_\alpha, A_\alpha)) \xrightarrow{\cong} \prod_I h^i(X_\alpha, A_\alpha) \\ \downarrow pr_\alpha^* \\ h_i(X_\alpha, A_\alpha) \end{array}$$

where  $pr_\alpha^*$  is induced by inclusions  $(X_\alpha, A_\alpha) \hookrightarrow \coprod (X_\alpha, A_\alpha)$ .

**Example** Singular cohomology with coefficients in  $A \in \underline{\text{Ab}}$ : Put

$$C_{\text{sing}}^i(X; A) := \text{Hom}_{\mathbb{Z}}(C_i^{\text{sing}} X, A) \in \underline{\text{Ab}}$$

the ‘‘singular cochains’’. The boundary  $\partial$  of  $C_*^{\text{sing}} X$  induces ‘‘coboundary’’  $\delta$  in  $C_{\text{sing}}^*(X; A)$  yielding a cochain complex  $(C_{\text{sing}}^*(X; A), \delta)$ ,  $\delta^i : C_{\text{sing}}^i \rightarrow C_{\text{sing}}^{i+1}$ ,  $\delta\delta = 0$ .

$$H_{\text{sing}}^i(X; A) := \ker \delta^i / \text{im } \delta^{i-1}$$

i.e. cocycles modulo coboundaries. The dimension axiom becomes

$$H_{\text{sing}}^i(\{\bullet\}; A) = \begin{cases} A & i = 0 \\ 0 & \text{else} \end{cases}$$

since  $\text{Hom}_{\mathbb{Z}}(\mathbb{Z}, A) \cong A$ .

Special case:  $A = k$  a field:

$$H_i^{\text{sing}}(x; k) = H_i(\underbrace{C_i^{\text{sing}} X \otimes_{\mathbb{Z}} k}_{k\text{-vector space}}) : k\text{-vector space}$$

and  $H_{\text{sing}}^i(X; k) = H^i(C_{\text{sing}}^*(X; k))$

$$\begin{aligned} C_{\text{sing}}^i(X; k) &= \text{Hom}_{\mathbb{Z}}(C_i^{\text{sing}} X, k) \xrightarrow{\theta, \cong} \text{Hom}_k(C_i^{\text{sing}} X \otimes_{\mathbb{Z}} k, k) : \text{dual } k\text{-VS of } (C_i^{\text{sing}} X \otimes_{\mathbb{Z}} k) \\ f : C_i^{\text{sing}} X &\rightarrow k & \theta f : C_i^{\text{sing}} X \otimes_{\mathbb{Z}} k &\rightarrow k, (a \otimes \lambda) \mapsto \lambda f(a) (k\text{-field}) \end{aligned}$$

$\text{Hom}_k(C_x^{\text{sing}} X \otimes_{\mathbb{Z}} k, k)$ : cochain complex of  $k$ -VS.  $\Rightarrow H_{\text{sing}}^i(X; k) \cong \text{Hom}_k(H_i^{\text{sing}}(X, k), k)$   
dual VS.

**Theorem 8.6**  $X \in \underline{\text{Top}}$ :  $H_{\text{sing}}^*(X) := H_{\text{sing}}^*(X; \mathbb{Z})$  is in a natural way a gradient ring (commutative in the graded sense). Moreover  $k$  field  $\Rightarrow H_{\text{sing}}^*(X; k)$  is a graded  $k$ -algebra.

- graded ring:

$$\begin{aligned} H^i(X) \times H^j(X) &\xrightarrow{\text{biadditive}} H^{i+j}(X) \\ (x, y) &\mapsto x \cup y \text{ "cup product"} \end{aligned}$$

$(x, y)$  have degree:  $|x| = i, |y| = j$  and  $1 \in H_{\text{sing}}^0 X$ .

- graded commutative:

$$x \cup y = (-1)^{|x||y|} (y \cup x)$$

$$x \cup 1 = 1 \cup x = x, \forall x$$

- the definition of “ $\cup$ ”:

- external product:

$$\begin{aligned} H_{\text{sing}}^i X \times H_{\text{sing}}^j Y &\rightarrow H_{\text{sing}}^{i+j}(X \times Y) && \text{with } i + j = n \\ (a, b) &\mapsto a \times b \end{aligned}$$

$a$  represented by  $\tilde{a} : C_i^{\text{sing}} X \rightarrow \mathbb{Z}$

$b$  represented by  $\tilde{b} : C_j^{\text{sing}} Y \rightarrow \mathbb{Z}$

$$\tilde{a} \otimes \tilde{b} : \bigoplus_{s+t=n} (C_s^{\text{sing}} X \otimes C_t^{\text{sing}} Y) \supset C_i^{\text{sing}} \otimes C_j^{\text{sing}} Y \rightarrow \mathbb{Z}$$

$$\bigoplus_{s+t=n} (C_s^{\text{sing}} X \otimes C_t^{\text{sing}} Y) \longrightarrow \mathbb{Z}$$

$$\downarrow$$

$$C_n^{\text{sing}}(X \times Y)$$

yielding a chain ( $\simeq$ ) equiv.

$\tilde{a} \otimes \tilde{b}$  yields a cocycle, hence:

$$[\tilde{a} \otimes \tilde{b}] \in H^n(X \times Y)$$

- take  $X = Y$ :

$$\bigoplus_{i+j=n} (H_{\text{sing}}^i X \times H_{\text{sing}}^j X) \longrightarrow H_{\text{sing}}^n(X \times Y) \xrightarrow{\Delta^*} H_{\text{sing}}^n X$$

this defines graded ring structure

where  $\Delta X \rightarrow X \times X, t \mapsto (t, t)$  is the diagonal embedding.

**Example** 1.  $n > 0$ :  $H_{\text{sing}}^* S^n$  has:

$$H_{\text{sing}}^i S^n = \begin{cases} \mathbb{Z} & i = 0, n \\ 0 & \text{else} \end{cases}$$

$$\left. \begin{array}{l} 1 \in H_{\text{sing}}^0 S^n \\ \langle x \rangle H_{\text{sing}}^n S^n \end{array} \right\} H_{\text{sing}}^* S^n \cong \mathbb{Z}[x]/\langle x^2 \rangle$$

Fact:

$$H_i^{\text{sing}}(\mathbb{C}P^n) \cong \begin{cases} \mathbb{Z} & 0 \leq i \leq 2n, i \text{ even} \\ 0 & \text{else} \end{cases}$$

$$\Rightarrow H_{\text{sing}}^i(\mathbb{C}P^n) \cong \begin{cases} \mathbb{Z} & 0 \leq i \leq 2n, i \text{ even} \\ 0 & \text{else} \end{cases}$$

Fact:  $H_i^{\text{sing}}(X)$  free abelian  $\forall i \Rightarrow H_i^{\text{sing}}(X) \cong \text{Hom}_{\mathbb{Z}}(H_i^{\text{sing}}(X), \mathbb{Z})$

Fact:  $H_{\text{sing}}^*(\mathbb{C}P^n; \mathbb{Z}) = \mathbb{Z}[x]/\langle x^{n+1} \rangle$

$\langle x \rangle = H_{\text{sing}}^2(\mathbb{C}P^n, 2)$ ,  $\langle x^n \rangle = H^{2n}(\mathbb{C}P^n)$

$n \geq 1$ :  $\mathbb{C}P^1 = S^2$  and  $\underbrace{H_{\text{sing}}^*(\mathbb{C}P^\infty)}_{|x|=2} = \mathbb{Z}[x]$

## 8.1 Hopf-Invariant

In previous sections we have discussed the homotopy groups for Spheres in the cases:

$$\begin{array}{ll} \pi_n S^n \cong \mathbb{Z} & n \geq 1 \\ \pi_k S^n = 0 & k < n \end{array}$$

What happens when  $k > n$ ?

First it is *almost* always finite (Serre).

**Theorem 8.7**  $\pi_k S^n$ ,  $k > n$  is infinite  $\Leftrightarrow n$  even and  $k = 2n - 1$ .

Hopf:

$$S^{2n-1} \xrightarrow{\phi} S^n \rightarrow \underbrace{S^n \cup_{\phi} e^{2n}}_{C(\phi)}$$

$$S^n = \{.\} \cup e^n$$

$$\Rightarrow H_i^{\text{sing}}(S^n \cup e^{2n}) \cong \begin{cases} \mathbb{Z} & i = n, i = 2n, i = 0 \\ 0 & \text{else} \end{cases}$$

$$\Rightarrow H_{\text{sing}}^i(S^n \cup e^{2n}) \cong \begin{cases} \mathbb{Z} & i = n, i = 2n, i = 0 \\ 0 & \text{else} \end{cases}$$

$$H_{\text{sing}}^n(S^n \cup e^{2n}) = \langle x \rangle \cong \mathbb{Z}, H_{\text{sing}}^{2n}(S^n \cup e^{2n}) = \langle y \rangle \cong \mathbb{Z}.$$

Fix  $x$  and  $y$  as follows:  $S^n \rightarrow C(\phi)$  canonical inclusion, induces:

$$\begin{array}{ccc} H_{\text{sing}}^n(C(\phi)) & \xrightarrow{\cong} & H_{\text{sing}}^n(S^n) = \langle [S^k] \rangle \\ x \longmapsto & & [S^n] \end{array}$$

where  $[\cdot]$  is the “orientation class”.

$$\begin{array}{ccc} S^n \hookrightarrow C(\phi) \rightarrow C(\phi)/S^n \xrightarrow{\text{can.}\cong} S^{2n} \\ H_{\text{sing}}^{2n}(S^{2n}) \xrightarrow{\cong} H_{\text{sing}}^{2n}(C(\phi)) \\ [S^{2n}] \mapsto y \quad (\text{choose } y \text{ this way}) \end{array}$$

$$Y \xrightarrow{\text{const.}\phi} Y: C(\phi) \cong Y \vee (\Sigma X)$$

So:  $S^{2n-1} \xrightarrow{\phi} S^n, \phi \simeq * \Rightarrow C(\phi) \simeq S^n \vee S^{2n}.$

$S^{2n-1} \xrightarrow{\phi} S^n$  arbitrary:  $x \in H_{\text{sing}}^n(C(\phi)) \Rightarrow x^2 \in H_{\text{sing}}^{2n}(C(\phi)) = \langle y \rangle \Rightarrow \exists H(\phi) \in \mathbb{Z}$  s.t.  $x^2 = H(\phi) \cdot y.$   $H(\phi)$ : Hopf-Invariant of  $\phi.$

For instance:  $\phi \simeq * \Rightarrow$  there is a  $\theta$ :

$$S^n \vee S^{2n} \simeq C(\phi) \xrightarrow{\theta} S^n$$

inducing:

$$\begin{array}{ccc} w \mapsto x \\ H_{\text{sing}}^n S^n \xrightarrow{\cong} H_{\text{sing}}^n C(\phi) \\ w^2 \mapsto x^2 \end{array}$$

where  $w^2 = 0 \Rightarrow x^2 = 0 \Rightarrow H(\text{const}) = 0.$

$H(\phi)$  is a homotopy invariant of  $\phi,$  and:

$$[\phi] \in \pi_{2n-1}(S^n) \xrightarrow{H} \mathbb{Z}$$

is a group homomorphism.

$n$  odd  $\Rightarrow H : \pi_{2n-1}S^n \rightarrow \mathbb{Z}$  is the 0 map. Why?  $x^2 = H(\phi)y, x \in H_{\text{sing}}^n$  for

$n$  odd:  $x^2 = -x^2 \Rightarrow x^2 = 0 \Rightarrow H(\phi) = 0 \forall \phi.$

**Exercise**  $n$  even  $\Rightarrow H : \pi_{2n-1}S^n \rightarrow \mathbb{Z}$  is  $\neq 0.$  Therefore:  $\pi_{2n-1}S^n \cong \mathbb{Z} \oplus ?$

(See problem set 12:  $S^n \times S^n = (S^n \vee S^n) \cup_{\psi} e^{2n}$

$$\begin{array}{ccc} \psi : S^{2n-1} \longrightarrow S^n \vee S^n \\ \quad \quad \quad \searrow \quad \quad \downarrow \nabla : \text{folding map} \\ \quad \quad \quad \phi = \nabla \circ \psi \quad \searrow \quad \quad S^n \end{array}$$

$n$  even  $\Rightarrow H(\phi) = 2.$

Hopf-Invariant-One-Problem:

For which  $n$  does there exist a map  $\phi : S^{2n-1} \rightarrow S^n$  of Hopf-Invariant 1?

**Theorem 8.8 (Adams)**  $H(\phi) = 1 \Rightarrow n = 2, 4$  or  $8$ .

## 9 Theorems of Hurewicz and Whitehead

**Definition 9.1**  $X \in \underline{\text{Top}}$  is  $n$ -connected, if  $\pi_i X = 0$  for  $i \leq n$ .

$\pi_0 X = [S^0, X]$ : set of path components of  $X$ .

**Example** 1.  $X$  0-connected  $\Leftrightarrow X$  path connected.

2.  $X$  1-connected  $\Leftrightarrow X$  path connected,  $\pi_1 X = 0$

Reminder:

**Definition 9.2 (Hurewicz homomorphism)**  $X \in \underline{\text{Top}}$ ,

$$\begin{array}{ccc} \pi_i X & \xrightarrow{Hu} & H_i^{\text{sing}} X \\ [f] & \longmapsto & f_*[S^n] \end{array}$$

$f : S^1 \rightarrow X, f_* : H_1^{\text{sing}} S^1 \rightarrow H_1^{\text{sing}} X$ .

**Theorem 9.3 (Hurewicz)**  $X \in \underline{\text{Top}}$ ,  $X$  0-connected, then:

1.

$$\begin{array}{ccc} \pi_1 X & \longrightarrow & H_1^{\text{sing}} X \\ & \searrow & \uparrow \cong \\ & & \pi_1 X / [\pi_1 X, \pi_1 X] \end{array}$$

2.  $X$  1-connected  $\Rightarrow H_1^{\text{sing}} X = 0$  (by 1)

and if  $X$  is  $n$ -connected,  $n > 0$  then:

$$\pi_{n+1} X \xrightarrow[\cong]{Hu} H_{n+1}^{\text{sing}} X$$

**Corollary 9.4** Suppose  $\pi_i X = 0$  for  $1 \leq i < n$ ,  $n > 0$ ,  $X$  0-connected, then:  
 $H_i X = 0, i < n$ .

Conversely, if  $X$  is 1-connected and  $H_j^{\text{sing}} X = 0$  for  $j < m$  then  $\pi_j X = 0$  for  $j < m$ .

**Example**  $X = S^k$  is  $(k - 1)$ -connected:

$$\left. \begin{array}{l} \pi_i S^k = 0, i < k \\ H_i S^k = 0, i < k \end{array} \right\} \pi_k S^k \xrightarrow{\cong} H_k^{\text{sing}} S^k \cong \mathbb{Z}$$

There is also a relative version of Hurewicz:

$(X, A) \in \underline{\text{Top}}^2$ :  $x_0 \in A \subset X$ .  $\pi_n(X, A)$ : set of pointed homotopy classes of “diagrams”:

$$\begin{array}{ccc} D^n & \longrightarrow & X \\ \cup & & \cup \\ \partial D^n = S^{n-1} & \longrightarrow & A \end{array}$$

- has a natural group structure for  $n \geq 2$ .
- we have a long exact homotopy sequence (if  $x_0 \in A$  is a global base-point, i.e.  $\{x_0\} \subset A$ ,  $\{x_0\} \subset X$  has HEP):

$$\begin{array}{ccccccc} \dots & \xrightarrow{\partial} & \pi_n A & \rightarrow & \pi_n(X, A) & \xrightarrow{\partial} & \pi_{n-1} A \rightarrow \dots \\ & & & & \left( \begin{array}{ccc} D^n & \xrightarrow{\tilde{f}} & X \\ \cup & & \cup \\ S^{n-1} & \longrightarrow & A \end{array} \right) & & \end{array}$$

**Note**

$$\begin{array}{ccc} (f : S^n \rightarrow X) & \leftrightarrow & \begin{array}{ccc} D^n & \xrightarrow{\tilde{f}} & X \\ \cup & & \cup \\ S^{n-1} & \longrightarrow & \{x_0\} \end{array} \\ & & \left( \begin{array}{ccc} D^n & \longrightarrow & X \\ \cup & & \cup \\ S^{n-1} & \xrightarrow{\phi} & A \end{array} \right) \xrightarrow{\partial} [\phi] \in \pi_{n+1} A \end{array}$$

**Theorem 9.5 (Relative version of Hurewicz)**  $(X, A) \in \underline{\text{Top}}$ ,  $A, X$  1-connected (with good  $x_0 \in A \subset X$ ). Then the first non-vanishing homotopy group of  $(X, A)$  is isomorphic to the first non-vanishing homology group of  $(X, A)$

**Corollary 9.6** Given  $f : X \rightarrow Y$  with  $\pi_i X \xrightarrow{\cong} \pi_i Y$ ,  $i \leq n$  (both 0-connected), then  $H_i X \xrightarrow{\cong} H_i Y$ ,  $i \leq n - 1$ . Conversely, if  $X, Y$  are 1-connected and  $H_j X \xrightarrow{\cong} H_j Y$ ,  $j \leq n$  then  $\pi_j X \xrightarrow{\cong} \pi_j Y$ ,  $j \leq n - 1$

**Proof** Idea: Replace  $f$  by an inclusion:

$$\begin{array}{ccc} Y & \xrightarrow{f} & Y \\ & \searrow & \uparrow \\ & & Z(f) \end{array}$$

□



**Corollary 9.7**  $X, Y \in \underline{\text{Top}}$ , both 1-connected.  $f : X \rightarrow Y$ , then the following are equivalent:

1.  $\pi_i X \xrightarrow{\cong} \pi_i Y, \forall i$
2.  $H_i X \xrightarrow{\cong} H_i Y, \forall i$

**Definition 9.8**  $f : X \rightarrow Y$  in  $\underline{\text{Top}}$  is called a weak homotopy equivalence, if:

$$\pi_i(X, x_0) \xrightarrow{\cong} \pi_i(Y, f(x_0))$$

$\forall x_0 \in X, \forall i$ .

**Theorem 9.9 (Whitehead)**  $f : X \rightarrow Y$  in  $\underline{\text{CW}}$ . Then  $f$  is a weak homotopy equivalence if and only if it is a homotopy equivalence.

**Corollary 9.10**  $f : X \rightarrow Y$  in  $\underline{\text{CW}}$ , both 1-connected. Then  $f$  is a homotopy equivalence if and only if:

$$H_i^{\text{cell}} X \xrightarrow{\cong} H_i^{\text{cell}} Y, \forall i$$

$$(X \in \underline{\text{Top}}: H_i^{\text{sing}} \Gamma X \xrightarrow{\cong} H_i^{\text{sing}} X, \forall i)$$

## 10 Spectra

$\underline{\text{CW}}$ ,  $\underline{\text{CW}}^2$

$$[Z, CO.(X, Y)]. \cong [Z \wedge X, Y]. \underbrace{[Z, \Gamma(CO.(X, Y))]}_{F(X, Y)}.$$

$F(X, -)$  is right adjoint to  $X \wedge -$ .

$$\Omega_{\underline{\text{CW}}} X := F(S^1, X)$$

**Lemma 10.1**  $(X, A) \in \underline{\text{CW}}^2, Z \in \underline{\text{CW}}$ . One has an exact sequence of sets

$$[X/A, Z] \xrightarrow{\alpha} [X, Z] \xrightarrow{\beta} [A, Z]$$

i.e.  $\beta(f) = \text{const.} \Leftrightarrow f \in \text{im}(\alpha)$

**Proof** i)  $f \in \text{im} \alpha$ :

$$\begin{array}{ccc} X/A & & \\ \uparrow & \searrow \exists g & \\ X & \xrightarrow{f} & Z \end{array}$$

commutes up to homology  $\Rightarrow f|_A \simeq \text{const.}$

ii)  $f : X \rightarrow Z$  such that  $f|_A \simeq \text{const.}$ .  $\exists f' \cong f$  with  $f'|_A = \text{const.} \Rightarrow \bar{f} : X/A \rightarrow Z$  such that  $\alpha[\bar{f}] = [f]$ . □

We want  $[-, Z]$  to be groups, so choose  $Z = \Omega_{\underline{\text{CW}}} Y$  (abelian groups:  $Z = \Omega_{\underline{\text{CW}}}^2 Y$ ).

Want “long exact sequences”: Use Puppe sequence for  $A \subset X \in \underline{\text{CW}}$ .

$$\begin{array}{ccccccccc}
 A & \xrightarrow{i} & X & \longrightarrow & X/A & \longrightarrow & \Sigma A & \longrightarrow & \Sigma X & \longrightarrow & \Sigma X/A \\
 & & \searrow & & \downarrow \cong & & \parallel & & & & \\
 & & & & C(i) & \xrightarrow{\text{can}} & C(i)/X & \longrightarrow & \dots & \longrightarrow & \Sigma^i
 \end{array}$$

This yields a long exact sequence

$$\dots \rightarrow \underbrace{[\Sigma A, Z] \rightarrow [\Sigma X/A, Z]}_{\text{groups}} \rightarrow [\Sigma A, Z] \rightarrow \underbrace{[X/A, Z] \rightarrow [X, Z] \rightarrow [A, Z]}_{\text{abelian groups}}$$

$$[V_\alpha X_\alpha, Z] \cong \prod_\alpha [X_\alpha, Z]$$

**Upshot**  $[-, Z]$  could look like a cohomology theory.

### Definition 10.2

$$\underline{\underline{T}} = \{T_i, i \in \mathbb{N}, \sigma_i : \Sigma T_i \rightarrow T_{i+1}\}$$

is called a pre-spectrum. If the adjoints  $T_i \rightarrow \Omega T_{i+1}$  are weak equivalences,  $\underline{\underline{T}}$  is called an  $\Omega$ -spectrum. If  $T_i \xrightarrow{\cong} \Omega T_{i+1}$  are homeomorphisms,  $\underline{\underline{T}}$  is called a spectrum.

Homology groups of  $\underline{\underline{T}}$ : There are maps

$$\pi_{i+k} T_i \rightarrow \pi_{i+k+1} T_{i+1}$$

given by:

$$[\Sigma^{i+k}, T_i] \xrightarrow{\Sigma} [S^{i+k+1}, \Sigma T_i] \xrightarrow{\sigma_*} [S^{i+k+1}, T_{i+1}]$$

$$\pi_k T := \text{colim}_{i \geq |k|} \pi_{i+k} T_i$$

(note that this makes sense for  $k < 0$ !)

There is a functor (“spectrum”) which turns any pre-spectrum into a spectrum, without changing the homology groups.

**Example** 1.  $\underline{S}$  sphere spectrum:  $T_i = S^i$ ,  $\sigma S^{i+1} \xrightarrow{\cong} S^{i+1}$  ( $\sigma = \text{id}$ ). This is a pre-spectrum. (Take spectrification for a spectrum.)  $\pi_k \underline{S} = \pi_k^{st} S^0$ , so  $\pi_k \underline{S} = 0$  for  $k < 0 \Rightarrow \underline{S}$  is called a connective spectrum.

2. Bott spectrum:

$$T_{2i} = BU \times \mathbb{Z} = B(\text{colim}_{n \geq 1} U(n))$$

$$T_{2i+1} = U = \text{colim}_{n \geq 1} U(n)$$

**Theorem 10.3 (Bott periodicity)**

(a)  $BU \times \mathbb{Z} \xrightarrow{\cong} \Omega U$

(b)  $U \xrightarrow{\cong} \Omega(BU \times \mathbb{Z})$

$\Rightarrow$  (structure maps)  $\Sigma T_0 \rightarrow T_1$  comes from (a),  $\Sigma T_1 \rightarrow T_0$  from (b). This defines a spectrum (modulo spectrification) and is denoted  $\underline{BU} = \underline{T}$ . Specifically:

$$\pi_k \underline{BU} = \begin{cases} 0 & k \text{ odd} \\ \mathbb{Z} & k \text{ even} \end{cases}$$

If  $\underline{T}$  is a (pre-)spectrum,  $X \wedge \underline{T}$  (i.e.  $(X \wedge \underline{T})_i = X \wedge T_i$ ) is a (pre-)spectrum in the obvious way.

**Definition 10.4 (Homology theory)**

$$H_i(X, \underline{T}) = \pi_i(X_+ \wedge \underline{T})$$

where  $X_+ = X \amalg \{\bullet\}$  is  $X$  with an added artificial base point.

( $X \in \underline{CW}$ )

On pairs  $X, A$ :

$$A \neq \emptyset : \quad H(X, A, \underline{T}) = \ker (H(X/A, \underline{T}) \rightarrow H(\{\bullet\}, \underline{T}))$$

$$A = \emptyset : \quad H(X, A, \underline{T}) = H(X, \underline{T})$$

Note  $H_i(\{\bullet\}, \underline{T}) = \pi_i(\underline{T})$

**Example** 1.  $H_i(X, \underline{S}) \cong \pi_i^{st}(X_+)$

2.  $H_i(X, \underline{BU}) = \pi_i(X_+ \wedge \underline{BU}) =: K_i(X)$ , the  $K$ -homology:

$$K_i(\{\cdot\}) = \begin{cases} 0 & i \text{ odd} \\ \mathbb{Z} & i \text{ even} \end{cases}$$

One can define cohomology:  $Z \in \underline{CW}$ , “function spectrum”  $F(X, \underline{T})$  (i.e.  $F(X, \underline{T})_i = F(X, T_i)$ ).

**Definition 10.5 (Cohomology theory)**

$$H^i(X, \underline{T}) := \pi_{-1}(F(X_+, \underline{T}))$$

**Example** 1.  $H^i(X, \underline{BU}) = \pi_i(F(X_+, \underline{BU}))$

$$\begin{aligned} [S^{i+k}, F(X_+, \underline{BU}_k)] &\cong [S^{-i+k} \wedge X_+, \underline{BU}_k] \cong [X_+, \Omega^{-i+k} \underline{BU}_k] \\ &= \begin{cases} [X_+, BU \times \mathbb{Z}] & i \text{ even} \\ [X_+, U] & i \text{ odd} \end{cases} \end{aligned}$$

$\Rightarrow$  (Bott periodicity)

$$H^i(X, \underline{BU}) = \begin{cases} [X, BU \times \mathbb{Z}] & i \text{ even} \\ [X, U] & i \text{ odd} \end{cases}$$

where  $[X, BU \times \mathbb{Z}] = K^0 X = K_0(C(X))$  (later).

2. Eilenberg-McLane-spectrum  $\underline{HG}$ ,  $G$  an abelian group:

$$\underline{HG}_k := K(G, k)$$

$$\pi_{n+1}(X) \cong \pi_n(\Omega X)$$

$\sigma : \Sigma K(G, k) \rightarrow K(G, k+1)$  come from weak equivalences  $K(G, k) \xrightarrow{\cong} \Omega K(G, k+1)$ . If necessary take spectrification.

$$H_i(\{\cdot\}, \underline{HG}) = \pi_i(\underline{HG})$$

compute  $\pi_i(\underline{HG})$ : (sketch)

$$\pi_{i+k}(K(G, k)) \xrightarrow{\Sigma} \pi_{i+k+1}(\Sigma K(G, k)) \xrightarrow{\sigma_*} \pi_{i+k+1}(K(G, k+1))$$

where  $\sigma_*$  is iso for  $k \gg i \Rightarrow \Sigma$  is iso  $\Rightarrow$

$$H_i(\{\cdot\}, \underline{HG}) = \begin{cases} G & i = 0 \\ 0 & i \neq 0 \end{cases}$$

Using “uniqueness result” for ordinary homology it then follows that one has a natural isomorphism ( $X \in \underline{CW}$ ):

$$H_i^{\text{sing}}(X; G) \cong H_i^{\text{cell}}(X; G) \cong H_i(X, \underline{HG})$$

The advantage of working with spectra  $\underline{T}$  is that cohomology takes a simple form

$$H^i(X, \underline{T}) = \pi_{-i}(F(X_+, \underline{T})) \cong \operatorname{colim}_k \pi_{-i+k}(F(X_+, \underline{T}_k))$$

$$\begin{array}{ccc} [S^{-i+k}, F(X_+, T_k)] & \xrightarrow{\Sigma} & [S^{-i+k+1} \wedge X_+, \Sigma T_k] \\ \downarrow \cong & \dashrightarrow \cong & \downarrow \sigma_* \\ [S^{-i+k} \wedge X_+, T_k] & \xrightarrow{\cong} & [S^{-i+k+1} \wedge X_+, T_k] \end{array}$$

so for  $k = i$

$$\begin{aligned} H^i(X, \underline{T}) &= \pi_{-i+k}(F(X_+, T_k)) = \pi_0(F(X_+, T_k)) = [S^0, F(X_+, T_k)]. \\ &\cong [S^0 \wedge X_+, T_k] = [X, T_k]. \\ &= [X, T_i]. \end{aligned}$$

Thus for  $\underline{T} = \underline{HG}$ :

**Theorem 10.6** For  $X \in \underline{CW}$  one has a natural isomorphism

$$H_{\text{sing}}^i(X; G) \cong [X, K(G, i)]$$

**Corollary 10.7**

$$\begin{aligned} H_{\text{sing}}^1(X, \mathbb{Z}) &= [X, S^1] \\ H_{\text{sing}}^2(X, \mathbb{Z}) &= [X, \mathbb{C}P^\infty] \end{aligned}$$

Morphisms in the category of spectra:  $\underline{T} \xrightarrow{f} \underline{S}$  with  $f \equiv f_i : T_i \rightarrow S_i$  such that

$$\begin{array}{ccc} \Sigma T_i & \xrightarrow{\sigma_{\underline{T}}} & T_{i+1} \\ \Sigma f_i \downarrow & & \downarrow f_{i+1} \\ \Sigma S_i & \xrightarrow{\sigma_{\underline{S}}} & S_{i+1} \end{array}$$

commutes.

Spectra: generalized topological spaces

$$\underline{\text{Top}} \longrightarrow \underline{\text{Top}} \xrightarrow{\Sigma^\infty} \underline{\text{Spectra}}$$

$$Y \longmapsto Y_+$$

$$X \longmapsto \Sigma^\infty X$$

$\Sigma^\infty X$  is the *suspension spectrum*.

Prespectrum  $\underline{T}$  with  $T_i = \Sigma^i X$  becomes a spectrum by “spectrification”:  
 $\Sigma T_i \rightarrow T_{i+1}, T_i \rightarrow \Omega T_{i+1}, \Sigma(\Sigma^i X) \rightarrow \Sigma^{i+1} X$ .

$\underline{T}$  a spectrum: defines a homology (and cohomology) theory on  $\underline{CW}$  (or on  $\underline{Top}$  via the geometric realization functor  $\underline{Top} \xrightarrow{\Delta} \underline{CW}$ ).

One puts:

$$h_i(X; \underline{T}) := \pi_i(X_+ \wedge \underline{T}) \cong \operatorname{dirlim}_k \pi_{i+k}(X_+ \wedge T_k)$$

$$h_i(\{\bullet\}; \underline{T}) := \operatorname{dirlim}_k \pi_{i+k}(T_k) = \pi_i(\underline{T})$$

which can be  $\neq 0$  for  $i \in \mathbb{Z}$  (even  $i < 0$ ).

$$\begin{aligned} h^i(X; \underline{T}) &= \pi_{-i}(F(X_+, \underline{T})) = \operatorname{dirlim}_{k \geq i} \pi_{-i+k}(F(X_+, T_k)) = \operatorname{dirlim}_{k \geq i} [S^{-i+k}, F(X_+, T_k)]. \\ &\cong \operatorname{dirlim}_{k \geq i} [S^{-i+k} \wedge X_+, T_k] \cong \operatorname{dirlim}_{k \geq i} [X_k, \Omega^{-i+k} T_k] \end{aligned}$$

**Example** 1.  $\underline{KA}$  ( $A$  abelian group) the “Eilenberg-MacLane spectrum”.

$$(\underline{KA})_k = K(A, k) \simeq \Omega K(A, k+1)$$

has property that

$$h^i(X; \underline{KA}) = [X, K(A, i)] \cong H^i(X; A)$$

for  $X \in \underline{CW}$ .  $h^i(X; \underline{KA}) = \Rightarrow H^i$  “representable”. Furthermore

$$h_i(X; \underline{KA}) = \operatorname{dirlim}_k \pi_{i+k}(X_+ \wedge K(A, k)) \cong H_i(X; A)$$

For example,  $K(\mathbb{Z}, 1) \simeq S^1, K(\mathbb{Z}, 2) = BS^1 \simeq \mathbb{C}P^\infty \Rightarrow$

$$H^1(X; \mathbb{Z}) \cong [X, S^1]$$

$$H^2(X; \mathbb{Z}) \cong [X, K(\mathbb{Z}, 2)] = [X, \mathbb{C}P^\infty]$$

K-Theory: “Bott spectrum”  $\underline{BU}$

$$(\underline{BU})_k = \begin{cases} BU \times \mathbb{Z} & k \text{ even} \\ U & k \text{ odd} \end{cases}$$

where

$$BU := \operatorname{dirlim} BU(u)$$

$$U := \operatorname{dirlim} U(u)$$

so  $\Omega BU \simeq U$ ,  $\Omega U \simeq BU \times \mathbb{Z}$

$$\pi_1(U(u)) \cong \mathbb{Z} \quad n \geq 1$$

$U(1) = S^1 \Rightarrow \pi_0(\Omega U) \simeq \pi$ ,  $U \cong \mathbb{Z}$ . So

$$h^i(X; \underline{\underline{BU}}) \cong \begin{cases} [X, BU \times \mathbb{Z}] & i \text{ even} \\ [X, U] & i \text{ odd} \end{cases}$$

Define  $K^i(X) := h^i(X; \underline{\underline{BU}})$ , similarly  $K_i(X)$ . Here:

$$K^i(\{\cdot\}) \cong \begin{cases} \mathbb{Z} & i \text{ even} \\ 0 & i \text{ odd} \end{cases}$$

since  $U$  connected.

## Vector bundles

$X \in \underline{\text{Top}}$ . A vector bundle over  $X$  is an onto map

$$\pi : E \rightarrow X$$

such that

1.  $\pi^{-1}(x) \cong \mathbb{C}^n$  (homeomorphic)  $\forall x \in X$
2. “local triviality”:  $\forall x \in X \exists \text{nbhd } U \subset X$  such that

$$\begin{array}{ccc} \pi^{-1}(U) & \xrightarrow[\cong]{\exists \phi} & U \times \mathbb{C}^n \\ \downarrow & \swarrow pr_U & \\ U & & \end{array}$$

commutes and  $\phi$  is a linear isomorphism on fibers

$$\phi : \pi^{-1}(u_0) \xrightarrow{\cong} pr_U^{-1}(u_0)$$

$\xi \downarrow_X^E$  VB and  $\eta : \downarrow_X^F$  too:

$$\xi \cong \eta \Leftrightarrow \begin{array}{ccc} E & \xrightarrow{\exists \text{ hom and linear iso on fibres}} & F \\ & \searrow & \downarrow \\ & & X \end{array}$$

we write  $\text{iso}(\xi)$  for the iso-class of  $\xi$ .  $\xi : \downarrow_X^E$  is called trivial (of dim  $n$ ) if

$\xi \cong \theta_n$ ,  $\theta_n : \downarrow_X^{X \times \mathbb{C}^n} \Rightarrow X$  conncted,  $X \neq \emptyset$  then VB  $\xi : \downarrow_X^E$  has well-definied dimension.

**Definition 10.8**  $\text{Vect}_n X$ : set of iso-classes of  $\mathbb{C}^n$ -Bundles /  $X$ .

$$\begin{array}{ccc} E_1 & & E_2 \\ \xi_1 \searrow & \pi_1 \quad \pi_2 & \nearrow \xi_2 \\ & X & \end{array} \quad \xi_1 \oplus \xi_2 : \begin{array}{c} E := \{(u, v) \in E_1 \times E_2 \mid \pi_1 u = \pi_2 v\} \\ \downarrow \pi \\ X \ni x_0 : \pi^{-1}(x_0) \cong \pi_1^{-1}(x_0) \oplus \pi_2^{-1}(x_0) \end{array}$$

$\oplus$  yields:  $\text{Vect}_n X \times \text{Vect}_m X \rightarrow \text{Vect}_{n+m} X$ , and:

$$\left. \begin{array}{l} \text{Vect}_n X \rightarrow \text{Vect}_{n+1} X \\ \text{iso}(\xi) \mapsto \text{iso}(\xi \oplus \theta_1) \end{array} \right\} \text{Vect } X := \text{dirlim}_{n \geq 0} \text{Vect}_n X$$

$\Rightarrow [\xi \oplus \theta_n] = [\xi] \in \text{Vect } X$  is a commutative semi-gp with identity,  $[\xi]$  is represented by:

$$\begin{array}{ccc} \text{iso}(\xi) & \in & \text{Vect}_n X \\ \downarrow & & \downarrow \\ \text{iso}(\xi \oplus \theta_m) & \in & \text{Vect}_{n+m} X \end{array}$$

with:  $[\xi] + [\eta] := [\xi \oplus \eta]$ ,  $[\theta_n] = "0"$ :  $[\xi] + [\theta_n] = [\xi]$ .

**Theorem 10.9**  $X$  compact  $\Rightarrow \text{Vect}(X)$  is a group.

**Proof** uses:  $\xi : \downarrow_X^E$  a  $\mathbb{C}^n$ - bundle  $\Rightarrow \exists$  some  $m$  and  $\eta : \downarrow_X^F$   $\mathbb{C}^m$ -bundle s.t.  $\xi \oplus \eta \cong \theta_{n+m}$  etc. □

## 10.1 Universal $\mathbb{C}^n$ -Bundle

- Grassmannian  $G_{n,k}$  of  $n$ -dim linear subspaces in  $\mathbb{C}^{n+k}$ .

$E_n \xrightarrow{\pi} G_{n,k}$  canonical  $\mathbb{C}^n$ -bundle.

Case  $n = 1$ :  $G_{1,k}$ : 1-dim subspaces of  $\mathbb{C}^{1+k} \supset S^{2k+2}$

$$x_0 \in \mathbb{C}P^k \xleftarrow{\pi} E \supset \pi_1(X_0) \cong \mathbb{C}S^{2k+1} \twoheadrightarrow \mathbb{C}P^k = S^{2k+1}/S^1$$

canonical line bundle



$G_{n,k} \subset G_{n,k+1} \subset \dots \subset \underbrace{\bigcup_{k \geq 0} G_{n,k}}_{\simeq BU(n)} =: G_n$  infinite Grassmannian of  $\mathbb{C}^n$ -planes (i.e.  $\Omega G_n \simeq U(n)$ ).  
 $\Rightarrow \mathbb{C}P^\infty$  has  $\Omega \mathbb{C}P^\infty \simeq S^1$ .  $S^1 \rightarrow (*) \rightarrow \mathbb{C}P^\infty$ .  
 $\Rightarrow \exists$  canonical  $\mathbb{C}^n$ -bundle  $E(n) \rightarrow BU(n)$  the “universal”  $\mathbb{C}^n$ -bundle.

- $X \xrightarrow{f} BU(n)$  produces  $\mathbb{C}^n$ -bundle  $f^*E(n) \rightarrow X$  via pull-back:

$$\begin{array}{ccc}
 f^*E(n) & \longrightarrow & E(n) \\
 \downarrow \phi & & \downarrow \mathcal{X}_n: \text{universal } \mathbb{C}^n\text{-bundle} \\
 x_0 \in X & \xrightarrow{f} & BU(n) \text{ "classifying space for } \mathbb{C}^n\text{-bundles"}
 \end{array}$$

$$f^*E(n) = \{(x, y) \mid f(x) = \pi(y)\} \subset X \times E(n)$$

$$\Rightarrow \phi^{-1}(x_0) \cong \pi^{-1}f(x_0) \text{ "}\mathbb{C}\text{"}$$

iso class of  $f^*E(n)$  depends only on homotopy class of  $f$ , therefore:

**Theorem 10.10** *Let  $X$  be a CW-complex, then:*

$$[X, BU(n)] \rightarrow \text{Vect}_n X$$

*is a bijection.*

**Example** ”Chern-Classes”

Let  $\xi : E \rightarrow X$ ,  $\mathbb{C}^n$ -bundle over CW-complex  $X$ . Thus  $\exists! f_\xi : X \rightarrow BU(n)$  such that:  $\xi \cong f_\xi^*(\mathcal{X}_n)$ .

$$H^* f_\xi : \underbrace{H^* BU(n)}_{\mathbb{Z}[c_1, \dots, c_n]} \rightarrow H^* X$$

with  $\mathbb{Z}[c_1, \dots, c_n]$  the polynomial ring in  $c_1 \dots c_n$ , where  $c_k \in H^{2k} BU(n)$  ( $c_i$  universal chen classes)

$$c_i(\xi) := H^{2i}(f)(c_i) \in H^{2i} X$$

easy:  $\xi$  trivial bundle  $\Rightarrow c_i(\xi) = 0 \forall i$ .

$$\begin{array}{c} \text{Vect } X = \text{dirlim}_n \text{Vect}_n X \\ \downarrow \text{can (bij. for } X \text{ finite CW)} \\ [X, BU] \end{array}$$

Recall:

$$\begin{array}{c} K^0 X \cong [X, BU \times \mathbb{Z}] \cong [X, BU] \times [X, \mathbb{Z}] \\ \downarrow \text{dim} \\ [X, \mathbb{Z}] \end{array}$$

with  $x_0 \in X$ ,  $X$  connected

$$\begin{array}{ccccccc} \{x_0\} & \xrightarrow{i} & X & \xrightarrow{\text{pr}} & \{x_0\} & : & K^0\{x_0\} \xrightarrow{\text{pr}} K^0 X \xrightarrow{i^*} K^0\{x_0\} \\ & \searrow & \text{id} & \nearrow & & & \searrow & \nearrow \\ & & & & & & \text{id} & \end{array}$$

$\Rightarrow$

$$K^0 X \cong \underbrace{\tilde{K}^0}_{\text{ker } i^* \text{ or coker } \text{pr}^*} X \oplus \mathbb{Z}$$

with  $\tilde{K}^0 X \cong [X, BU] \stackrel{X \text{ finite connected}}{\cong} \text{Vect } X$

**Remark**  $X$  finite CW.  $K^0 X =$  “Grothendieck group of complex VB /  $X$ ”

**Definition 10.11** *Grothendieck group:*  $\coprod_{n \geq 0} \text{Vect}_n X =: S$  commutative semi-group.

$a, b \in S$ :  $a \in \text{Vect}_n X$ ,  $b \in \text{Vect}_m X$ ,  $a + b$ : iso-class of  $\xi(a) \oplus \xi(b)$  where  $[\xi(a)] = a$ ,  $[\xi(b)] = b$ .  $a + b \in \text{Vect}_{n+m} X$ .

$Gr(S)$ : Grothendieck group of  $S$ , e.g.  $S(\mathbb{N}) \cong \mathbb{Z} = \mathbb{N} \times \mathbb{N} / \sim$

with  $\sim$ :  $(u, v) \sim (x, y) \Leftrightarrow u - v = x - y$ ,  $u + y = x + v$ .

$\rightsquigarrow$  general definition:

$$Gr(S) = S \times S / \sim$$

$(s_1, s_2) \sim (t_1, t_2) \Leftrightarrow s_1 + t_2 + w = t_1 + s_2 + w$  for some  $w$ .

$\Rightarrow Gr$  is a group, with component-wise addition and  $0$ :  $x \in S : (x, x)$  a representative of  $0$ . Inverse:  $(s_1, s_2) : (s_2, s_1)$ .

One checks:  $X$  finite CW  $\Rightarrow K^0 X \cong Gr(\coprod \text{Vect}_n X)$ .

**Theorem 10.12**  $S^{4m+1} \xrightarrow{f} S^{2m}$ ,  $m \geq 1$ ,  $H(f) = 1 \Rightarrow m = 1, 2, 4$ .

The proof relies on “Adams-Operations”  $\psi^k : K^0 X \rightarrow K^0 X$  ( $X$  finite CW).  $\psi^k$ ,  $k \in \mathbb{Z}$  additive,  $\psi^1 = \text{id}$ ,  $\psi^k \psi^\ell = \psi^\ell \psi^k \forall k, \ell$ .  $K^0 X$  is a ring with multiplication defined as the tensor product  $- \otimes -$  of vector bundles:  $p$  prime  $\Rightarrow$

$$\psi^p x \equiv x^p \pmod{p} \quad x \in K^0 X$$

$$S^{2m} = \langle x_m \rangle, \tilde{K}^0(S^{2m}) \cong \mathbb{Z} \Rightarrow \psi^k(x_m) = k^m x_m.$$

**Proof**  $S^{4m-1} \xrightarrow{f} S^{2m}$  yields  $X(f) = S^{2m} \cup_f e^{4m} \Rightarrow \tilde{K}^0(S^{2m} \cup_f e^{4m}) \cong \mathbb{Z} \oplus \mathbb{Z}$ .

$$\begin{array}{ccc} S^{2m} \cup_f e^{4m} & \xrightarrow{pr} & S^{4m} \\ \uparrow \text{ind} & & \\ S^{2m} & & \\ \\ \tilde{K}^0(X(f)) & \xleftarrow{pr^*} & \tilde{K}^0(S^{4m}) = \langle x_{2m} \rangle \\ \downarrow & & \\ \tilde{K}^0(S^{2m}) & = & \langle x_m \rangle \end{array}$$

and  $\exists \tilde{x}_m, \tilde{x}_{2m} \in \tilde{K}^0(X(f))$ :  $\tilde{K}^0(X(f)) = \langle \tilde{x}_m \rangle \oplus \langle \tilde{x}_{2m} \rangle$ ,  $\psi^k$ 's “natural”  $\Rightarrow$

$$\begin{aligned} \psi^k(\tilde{x}_{2m}) &= k^{2m} \tilde{x}_{2m} \\ \psi^k(\tilde{x}_m) &= \alpha \tilde{x}_m + \beta \tilde{x}_{2m} \end{aligned}$$

where  $\alpha = k^m$ ,  $\beta = \beta(k) \in \mathbb{Z}$ .

Now:

$$\begin{aligned} \psi^2(\psi(3\tilde{x}_m)) &= \psi^2(3^m \tilde{x}_m + \beta(3)\tilde{x}_{2m}) = 3^m \psi^2(\tilde{x}_m) + \beta(3)\psi^2(\tilde{x}_{2m}) \\ &= 3^m \cdot 2^m \tilde{x}_m + 3^m \beta(2)\tilde{x}_{2m} + \beta(3)2^{2m} \tilde{x}_{2m} \end{aligned}$$

$$\begin{aligned} \psi^3(\psi^2(\tilde{x}_m)) &= \psi^3(2^m \tilde{x}_m + \beta(2)\tilde{x}_{2m}) \\ &= 3^m \cdot 2^m \tilde{x}_m + 2^m \beta(3)\tilde{x}_{2m} \beta(2) 3^{2m} \tilde{x}_{2m} \end{aligned}$$

so

$$3^m \beta(2)(3^m - 1)\tilde{x}_{2m} = 2^m \beta(3)(2^m - 1)\tilde{x}_{2m}$$

where  $\tilde{x}_{2m}$  can be canceled.

$$\psi^2 \tilde{x}_m = 2^m \tilde{x}_m + \beta(2)\tilde{x}_{2m} \equiv \tilde{x}_m^2 \pmod{2}$$

$$H(f) = 1 \Rightarrow \tilde{x}_m^2 = H(f)\tilde{x}_{2m}$$

$$\begin{aligned}\tilde{x}_m^2 &\equiv \beta(2)\tilde{x}_{2m} \pmod{2} \\ &\equiv H(f)\tilde{x}_{2m}\end{aligned}$$

$\Rightarrow \beta(2)$  odd since  $H(f)$  odd  $\Rightarrow 2^m \mid 3^m - 1$  to which the only solutions are  $m = 1, 2, 4$  (exercise!).  $\square$

Application: A finite dimensional division algebra over  $\mathbb{R}$  ((non)-commutative field). Then  $\dim_n A = 1, 2, 4$  or  $8$ .

**Proof**  $A = \mathbb{R}^n$ :

$$\begin{aligned}\mathbb{R}^n \setminus \{0\} \times \mathbb{R}^n \setminus \{0\} &\xrightarrow{\mu} \mathbb{R}^n \setminus \{0\} \\ S^{n-1} \times S^{n-1} &\xrightarrow{\bar{\mu}} S^{n-1}\end{aligned}$$

(using  $\mathbb{R}^n \setminus \{0\} \simeq S^{n-1}$  has bidegree  $(1, 1)$ ) where  $\mu$  has no 0-divisors.

Hopf:  $S^k \times S^k \xrightarrow{\phi} S^k$  of bidegree  $(p, q)$ ,  $k$  odd  $\leadsto$  ‘‘Hopf-construction’’  $\tilde{\phi} : S^{2k+1} \rightarrow S^{k+1}$  of  $H(\tilde{\phi}) = pq$ . Thus  $\mathbb{R}^n \cong A$  division algebra over  $\mathbb{R} \Rightarrow \exists S^{2n-1} \xrightarrow{\lambda} S^n$  of Hopf invariant 1 ( $\Rightarrow n$  even)  $\Rightarrow$  (Adams)  $n = 2, 4$  or  $8$ , e.g.

- $n = 2 : \mathbb{C}$
- $n = 4 : \mathbb{H}$
- $n = 8 : \text{Cayley numbers}$

$\square$

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**Zur Prüfung** • Die Sprache wird Deutsch sein (ev. auch Englisch, falls der Student das möchte)

- Zusammenhänge sind wesentlich wichtiger als viele Details.
- Übungen: wichtig
- Spectra sind nicht unwichtig, aber sie wurden eher als Ausblick behandelt, dementsprechend werden sie sicherlich nicht das Schwergewicht der Prüfung bilden.