



Standard Guide for Optimizing, Controlling and Reporting Test Method Uncertainties from Multiple Workstations in the Same Laboratory Organization ¹

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1. Scope

1.1 This guide describes a protocol for optimizing, controlling, and reporting test method uncertainties from multiple workstations in the same laboratory organization. It does not apply when different test methods, dissimilar instruments, or different parts of the same laboratory organization function independently to validate or verify the accuracy of a specific analytical measurement.

1.2 *This standard does not purport to address all of the safety concerns, if any, associated with its use. It is the responsibility of the user of this standard to establish appropriate safety and health practices and determine the applicability of regulatory limitations prior to use.*

2. Referenced Documents

2.1 ASTM Standards:

E 135 Terminology Relating to Analytical Chemistry for Metals, Ores, and Related Materials²

E 350 Test Methods for Chemical Analysis of Carbon Steel, Low-Alloy Steel, Silicon Electrical Steel, Ingot Iron, and Wrought Iron²

E 415 Test Method for Optical Emission Vacuum Spectrometric Analysis of Carbon and Low-Alloy Steel²

E 1329 Practice for Verification and the Use of Control Charts in Spectrochemical Analysis³

E 1601 Practice for Conducting an Interlaboratory Study to Evaluate the Performance of an Analytical Method³

E 2027 Practice for Conducting Proficiency Tests in the Chemical Analysis of Metals, Ores, and Related Materials³

2.2 ISO Standards:

ISO 17025 General Requirements for the Competence of Calibration and Testing Laboratories⁴

ISO 9000 Quality Management and Quality System Elements⁴

¹ This guide is under the jurisdiction of ASTM Committee E01 on Analytical Chemistry for Metals, Ores and Related Materials and is the direct responsibility of Subcommittee E01.22 on Statistics and Quality Control.

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² *Annual Book of ASTM Standards*, Vol 03.05.

³ *Annual Book of ASTM Standards*, Vol 03.06.

⁴ Available from American National Standards Institute, 11 W. 42nd St., 13th Floor, New York, NY 10036.

3. Terminology

3.1 *Definitions*—For definitions of terms used in this guide, refer to Terminology E 135.

3.2 Definitions of Terms Specific to This Standard:

3.2.1 *data quality objectives, n*—a model used by the laboratory organization to specify the maximum error associated with a report value, at a specified confidence level.

3.2.2 *laboratory organization, n*—a business entity that provides similar types of measurements from more than one workstation located in one or more laboratories, all of which operate under a unified quality system.

3.2.3 *maximum deviation, n*—the maximum error associated with a report value, at a specified confidence level, for a given concentration of a given element, determined by a specific method, throughout a laboratory organization.

3.2.4 *workstation, n*—a combination of people and equipment that executes a specific test method using a single specified measuring device to quantify one or more parameters, with each report value having an established estimated uncertainty that complies with the data quality objectives of the laboratory organization.

4. Significance and Use

4.1 Many competent analytical laboratories comply with accepted quality system requirements such as ISO 9000, QS9000,⁵ and ISO 17025. When using standard test methods, their test results on the same sample should agree with those from other similar laboratories within the reproducibility estimates (R2) published in the standard. Reproducibility estimates are generated as part of the interlaboratory studies (ILS), of the type described in Practice E 1601, during the standardization process. Competent laboratories participate in proficiency tests, such as those carried out according to Practice E 2027, to confirm that they perform consistently over time. In both ILS and proficiency testing protocols, it is generally assumed that only one work station is used to generate the data.

⁵ Quality Systems Requirements, Chrysler Corporation, Ford Motor Company, and General Motors Corporation—available from AIAG, 26200 Lahser Rd., Southfield, MI 48034.

4.2 Many laboratories have workloads, or logistical requirements, or both, that dictate the use of multiple work stations. Some have multiple stations in the same area (central laboratory format). Others' stations are scattered throughout a facility (at-line laboratory format). Often, analysis reports do not identify the workstation used for the testing, even if workstations differ in their testing uncertainties. Problems can arise if clients mistakenly attribute variation in report values to process rather than workstation variability. These problems can be minimized if the laboratory organization sets, complies with, and reports a unified set of data quality objectives throughout.

4.3 This guide describes a protocol for efficiently optimizing and controlling variability in test results from different workstations used to perform the same test. It harmonizes calibration and control protocols, thereby providing the same level of measurement traceability and control to all workstations. It streamlines documentation and training requirements, thereby facilitating flexibility in personnel assignments. Finally, it offers an opportunity to claim traceability of proficiency test measurements to all included workstations, regardless on which workstation the proficiency test sample was tested. The potential benefits of utilizing this protocol increase with the number of workstations included in the laboratory organization.

4.4 This guide can be used to identify and quantify benefits derived from corrective actions relating to under-performing workstations. It also provides means to track improved performance after improvements have been made.

4.5 It is assumed that all who use this guide comply with ISO 17025, especially including the use of documented procedures, the application of statistical control of measurement processes, and participation in proficiency testing.

4.6 The general principles of this protocol can be adapted to other types of measurements, such as mechanical testing and on-line process control measurements, such as temperature and thickness gaging. In these areas, users may need to establish their own models for defining data quality objectives and proficiency testing may not be available or applicable.

4.7 It is especially important that users of this guide take responsibility for ensuring the accuracy of the measurements made by the workstations to be operated under this protocol. In addition to the checks mentioned in 6.2.3, laboratories are encouraged to use other techniques, including, but not limited to, analyzing some materials by independent methods, either within the same laboratory or in collaboration with other equally competent laboratories. The risks associated with generating large volumes of data from carefully synchronized, but incorrectly calibrated multiple workstations are obvious and must be avoided.

5. Summary

5.1 Identify the test method and establish the data quality objectives to be met throughout the laboratory organization.

5.2 Identify the workstations to be included in the protocol and harmonize their experimental procedures, calibrations, and control strategies so that all performance data from all workstations are directly statistically comparable.

5.3 Tabulate performance data for each workstation and ensure that each workstation complies with the laboratory organization's data quality objectives.

5.4 Document items covered in 5.1-5.3.

5.5 Establish and document a laboratory organization-wide proficiency test policy that provides traceability to all workstations.

5.6 Operate each workstation independently as described in its associated documentation. If any changes are made to any workstation or its performance levels, document the changes and ensure compliance with the laboratory organization's data quality objectives.

6. Procedure

6.1 Identify the test method and establish the data quality objectives to be met throughout the laboratory organization.

6.1.1 Multi-element test methods can be handled concurrently, provided that all elements are measured using common technology, and that the parameters that influence data quality are tabulated and evaluated for each element individually. An example is Test Method E 415 that covers the analysis of plain carbon and low alloy steel by optical emission vacuum spectrometry. Workstations can be under manual or robotic control, as long as the estimated uncertainties are within the specified data quality objectives. Avoid handling multi-element test methods concurrently that use different measurement technologies. Their procedures and error evaluations are too diverse to be incorporated into one easy-to-manage package. An example of test methods that should not be combined into one program is Test Methods E 350 because those methods cover many different measurement technologies.

6.1.2 Set the data quality objectives for the application of the method throughout the laboratory organization, using customer requirements and available performance data. At the conclusion of this effort, the laboratory organization will know the maximum deviation allowed in any report value, at any concentration level, using the method of choice. An example of a possible method for establishing data quality objectives is given in Annex A1.

6.2 Identify the workstations to be included in the protocol and harmonize their experimental procedures, calibrations, and control strategies so that all performance data from all workstations are directly statistically comparable.

6.2.1 For each workstation, list the personnel and equipment that significantly influence data quality. Each component of each workstation does not have to be identical, such as from the same manufacturer or model number; however, each workstation must perform the functions described in the test method.

6.2.2 Harmonize the experimental procedures associated with each workstation to ensure that all stations are capable of generating statistically comparable data that can be expected to fall within the maximum allowable limits for the laboratory organization. Ideally, all workstations within the laboratory organization will have essentially the same experimental procedures.

6.2.3 Harmonize calibration protocols so that the same calibrants are used to cover the same calibration ranges for the same elements on all instruments. Avoid the use of different

calibrants on different instruments that may lead to calibration biases and uncertainties that are larger than necessary. Make sure that all interferences and matrix effects are addressed. Verify the calibrations with certified reference materials not used in the calibration, when possible. Record the findings for each workstation.

6.2.4 Use the same SPC materials and data collection practices on all work stations (see Note 1). Carry SPC materials through all procedural steps that contribute to the measurement uncertainty. Develop control charts in accordance with E 1329, or equivalent practice.

NOTE 1—Generally, it is recommended that SPC concentrations be set about 1/3 from the top and 1/3 from the bottom of each calibration range. It is also recommended that single point, moving range charts be used so that calculated standard deviations reflect the normal variation in report values.

6.2.5 Collect at least 20 SPC data points from each work station to ensure that the workstations are under control and that the control limits are representative.

6.3 Tabulate performance data for each workstation and ensure that each workstation complies with the laboratory organization’s data quality objectives.

6.3.1 Tabulate the SPC data by parameter (element), Reference material, assumed true concentration, workstation, average, upper control limit, lower control limit, and standard deviation, as illustrated in Table 1 (see Notes 2 and 3).

TABLE 1 Sample SPC Control Parameter Tabulation

| E | RM | Assumed True Conc. | WS | Av. | UCL | LCL | Std. Dev. | |
|-----|-----|--------------------|---------|---------|---------|---------|-----------|---------|
| C | 638 | 0.06014 | 1 | 0.05996 | 0.06764 | 0.05228 | 0.00256 | |
| | | | 2 | 0.06040 | 0.06364 | 0.05716 | 0.00108 | |
| | | | 3 | 0.06005 | 0.06308 | 0.05702 | 0.00101 | |
| | 648 | 0.25665 | 1 | 0.25212 | 0.27069 | 0.23355 | 0.00619 | |
| | | | 2 | 0.25923 | 0.27402 | 0.24444 | 0.00493 | |
| | | | 3 | 0.25861 | 0.27283 | 0.24439 | 0.00474 | |
| | Mn | 638 | 0.29832 | 1 | 0.29620 | 0.30304 | 0.28936 | 0.00228 |
| | | | | 2 | 0.29967 | 0.30567 | 0.29367 | 0.00200 |
| | | | | 3 | 0.29908 | 0.30643 | 0.29173 | 0.00245 |
| 648 | | 0.90328 | 1 | 0.90408 | 0.92088 | 0.88728 | 0.00564 | |
| | | | 2 | 0.90408 | 0.92385 | 0.88431 | 0.00659 | |
| | | | 3 | 0.90168 | 0.92664 | 0.87672 | 0.00832 | |
| P | 638 | 0.00563 | 1 | 0.00543 | 0.00600 | 0.00486 | 0.00019 | |
| | | | 2 | 0.00575 | 0.00605 | 0.00545 | 0.00010 | |
| | | | 3 | 0.00571 | 0.00601 | 0.00541 | 0.00010 | |
| | 648 | 0.03431 | 1 | 0.03413 | 0.03674 | 0.03152 | 0.00087 | |
| | | | 2 | 0.03447 | 0.03702 | 0.03192 | 0.00085 | |
| | | | 3 | 0.03434 | 0.03689 | 0.03179 | 0.00085 | |
| S | 638 | 0.01820 | 1 | 0.01702 | 0.02146 | 0.01258 | 0.00148 | |
| | | | 2 | 0.01868 | 0.02153 | 0.01583 | 0.00095 | |
| | | | 3 | 0.01891 | 0.02128 | 0.01654 | 0.00079 | |
| | 648 | 0.02424 | 1 | 0.02330 | 0.02771 | 0.01889 | 0.00147 | |
| | | | 2 | 0.02475 | 0.02940 | 0.02010 | 0.00155 | |
| | | | 3 | 0.02467 | 0.02884 | 0.02050 | 0.00139 | |
| Si | 638 | 0.01688 | 1 | 0.01565 | 0.01718 | 0.01412 | 0.00051 | |
| | | | 2 | 0.01755 | 0.01863 | 0.01647 | 0.00036 | |
| | | | 3 | 0.01743 | 0.01830 | 0.01656 | 0.00029 | |
| | 648 | 0.23283 | 1 | 0.22900 | 0.23911 | 0.21889 | 0.00337 | |

TABLE 1 Continued

| E | RM | Assumed True Conc. | WS | Av. | UCL | LCL | Std. Dev. |
|-----|---------|--------------------|---------|---------|---------|---------|-----------|
| Cu | 638 | 0.26588 | 2 | 0.23240 | 0.24404 | 0.22076 | 0.00388 |
| | | | 3 | 0.23710 | 0.24619 | 0.22801 | 0.00303 |
| | | | 1 | 0.26685 | 0.27555 | 0.25815 | 0.00290 |
| | 648 | 0.10700 | 2 | 0.26569 | 0.27295 | 0.25843 | 0.00242 |
| | | | 3 | 0.26511 | 0.27276 | 0.25746 | 0.00255 |
| | | | 1 | 0.10654 | 0.11089 | 0.10219 | 0.00145 |
| Ni | 638 | 0.69005 | 2 | 0.10753 | 0.11086 | 0.10420 | 0.00111 |
| | | | 3 | 0.10694 | 0.13784 | 0.07604 | 0.01030 |
| | | | 1 | 0.70014 | 0.72516 | 0.67512 | 0.00834 |
| | 648 | 0.25063 | 2 | 0.68252 | 0.69440 | 0.67064 | 0.00396 |
| | | | 3 | 0.68750 | 0.71309 | 0.66191 | 0.00853 |
| | | | 1 | 0.25174 | 0.25906 | 0.24442 | 0.00244 |
| Cr | 638 | 0.03746 | 2 | 0.24891 | 0.25350 | 0.24432 | 0.00153 |
| | | | 3 | 0.25123 | 0.25927 | 0.24319 | 0.00268 |
| | | | 1 | 0.03760 | 0.03886 | 0.03634 | 0.00042 |
| | 648 | 0.23728 | 2 | 0.03745 | 0.03832 | 0.03658 | 0.00029 |
| | | | 3 | 0.03732 | 0.03813 | 0.03651 | 0.00027 |
| | | | 1 | 0.23190 | 0.23637 | 0.22743 | 0.00149 |
| Sn | 638 | 0.00278 | 2 | 0.24012 | 0.24414 | 0.23610 | 0.00134 |
| | | | 3 | 0.23982 | 0.24300 | 0.23664 | 0.00106 |
| | | | 1 | 0.00255 | 0.00507 | 0.00003 | 0.00084 |
| | 648 | 0.01424 | 2 | 0.00257 | 0.00296 | 0.00218 | 0.00013 |
| | | | 3 | 0.00322 | 0.00490 | 0.00154 | 0.00056 |
| | | | 1 | 0.01402 | 0.01600 | 0.01204 | 0.00066 |
| Mo | 638 | 0.06346 | 2 | 0.01412 | 0.01502 | 0.01322 | 0.00030 |
| | | | 3 | 0.01458 | 0.01668 | 0.01248 | 0.00070 |
| | | | 1 | 0.06253 | 0.06604 | 0.05902 | 0.00117 |
| | 648 | 0.08652 | 2 | 0.06398 | 0.06533 | 0.06263 | 0.00045 |
| | | | 3 | 0.06387 | 0.06621 | 0.06153 | 0.00078 |
| | | | 1 | 0.08539 | 0.08995 | 0.08083 | 0.00152 |
| V | 638 | 0.02107 | 2 | 0.08722 | 0.08941 | 0.08503 | 0.00073 |
| | | | 3 | 0.08696 | 0.09011 | 0.08381 | 0.00105 |
| | | | 1 | 0.02076 | 0.02184 | 0.01968 | 0.00036 |
| | 648 | 0.06937 | 2 | 0.02114 | 0.02219 | 0.02009 | 0.00035 |
| | | | 3 | 0.02132 | 0.02231 | 0.02033 | 0.00033 |
| | | | 1 | 0.06892 | 0.07123 | 0.06661 | 0.00077 |
| Ti | 638 | 0.00224 | 2 | 0.06949 | 0.07219 | 0.06679 | 0.00090 |
| | | | 3 | 0.06969 | 0.07233 | 0.06705 | 0.00088 |
| | | | 1 | 0.00272 | 0.00296 | 0.00248 | 0.00008 |
| | 648 | 0.04279 | 2 | 0.00200 | 0.00200 | 0.00200 | 0.00000 |
| | | | 3 | 0.00200 | 0.00200 | 0.00200 | 0.00000 |
| | | | 1 | 0.04285 | 0.04726 | 0.03844 | 0.00147 |
| Al | 638 | 0.02346 | 2 | 0.04285 | 0.04684 | 0.03886 | 0.00133 |
| | | | 3 | 0.04268 | 0.04688 | 0.03848 | 0.00140 |
| | | | 1 | 0.02373 | 0.02964 | 0.01782 | 0.00197 |
| | 648 | 0.06268 | 2 | 0.02343 | 0.02646 | 0.02040 | 0.00101 |
| | | | 3 | 0.02323 | 0.02584 | 0.02062 | 0.00087 |
| | | | 1 | 0.06268 | 0.06721 | 0.05815 | 0.00151 |
| 648 | 0.06268 | 2 | 0.06198 | 0.06633 | 0.05763 | 0.00145 | |
| | | 3 | 0.06222 | 0.06576 | 0.05868 | 0.00118 | |

Key:
 E = Element determined
 RM = Reference material used for SPC control
 Assumed True Conc. = Concentration of E in the RM
 WS = Work Station
 Av. = Grand Mean from the SPC chart
 UCL = Upper control limit from the SPC chart

LCL = Lower control limit from the SPC chart
 Std. Dev. = Standard Deviation from the SPC chart $\{(UCL-LCL)/6\}$

NOTE 2—The data in Table 1 were collected over an extended time period on two reference materials using three optical emission spectrometers in a large, integrated steel mill. The data is typical of that produced in an ISO 17025 compliant laboratory prior to the availability of this guide.

NOTE 3—The assumed true concentration is the average of the average concentrations from each control chart. When all workstations are calibrated in accordance with 6.2.3 and all SPC charts are generated in accordance with 6.2.4, the grand means for each element/material combination should be sufficiently similar so as not to contribute significantly to the overall uncertainty of the method.

6.3.2 Using the maximum allowable uncertainty for the laboratory organization as described in 6.1.2, establish the maximum upper control limits and the minimum lower control limits to be allowed for each element/concentration in the SPC program.

6.3.2.1 As shown in the example in Table 2, list the element, the SPC reference material, and the assumed true concentration for the reference material.

6.3.2.2 Using the laboratory organization-wide model for defining maximum deviations, pick and record the maximum deviation to be allowed, noting the confidence level at which the maximum deviation was defined.

6.3.2.3 From the values determined in 6.3.2.2, calculate the maximum upper control limit and minimum lower control limit the laboratory organization will allow on any workstation in the program. Refer to Table 2 for a completed example using the model described in Annex A1 (see Note 4).

NOTE 4—In the example given, the numbers in the maximum deviation column in Table 2 were taken from the model in Annex A1. The maximum deviation value (95 % confidence), associated with each concentration value was divided by two and then multiplied by three, and then either added to (upper control limit) or subtracted from (lower control limit) the

assumed true concentration.

6.3.3 Compare the upper and lower control limits observed in the laboratory (see examples in Table 1) with the maximum allowed values (see examples in Table 2). Any observed value that control limit that exceeds an associated maximum allowed limit is to be considered out of compliance with the laboratory's data quality objectives and should be investigated and corrected as appropriate (see Note 5).

NOTE 5—A review of the data in Table 1 indicates that the control data on some elements violates the data quality objectives defined in Annex A1. This is to be expected when applying a model to a data set after the data set was developed prior to application of data quality objective criteria throughout the laboratory organization.

6.3.3.1 High standard deviations for any item across all work stations may indicate a problem with the homogeneity of the SPC material (see Note 6).

NOTE 6—The standard deviations for carbon in RM 648 exceeded the expected precision on all three workstations by a small amount, suggesting a possible material problem.

6.3.3.2 High standard deviations for any element on any work station, especially if it shows on more than one SPC material, may indicate a precision problem with that channel on that instrument (see Note 7).

NOTE 7—Workstation 1 showed a high standard deviation for C, S, Sn, and Al for RM 638. Since the precision on all other work stations were acceptable for these elements, the data suggest that Workstation 1 should be investigated for possible corrective action.

6.3.3.3 Establish an internal audit procedure to ensure that all workstations continuously perform within the expected boundaries.

6.4 Document items covered in 6.1-6.3.

TABLE 2 Sample of Maximum Deviations With Corresponding Maximum Upper and Minimum Lower Control Limits

| E | RM | Conc. | Maximum Deviation | Sigma (Max Dev./2) | Sigma *3 | Maximum UCL | Minimum LCL |
|----|-----|---------|-------------------|--------------------|-----------|-------------|-------------|
| C | 638 | 0.06014 | 0.003226 | 0.00161288 | 0.0048386 | 0.064979 | 0.055301 |
| C | 648 | 0.25665 | 0.008421 | 0.00421054 | 0.0126316 | 0.269282 | 0.244018 |
| Mn | 638 | 0.29832 | 0.009302 | 0.00465102 | 0.0139530 | 0.312273 | 0.284367 |
| Mn | 648 | 0.90328 | 0.019353 | 0.00967666 | 0.0290300 | 0.932310 | 0.874250 |
| P | 638 | 0.00563 | 0.000674 | 0.00033678 | 0.0010104 | 0.006640 | 0.004620 |
| P | 648 | 0.03431 | 0.002226 | 0.00111279 | 0.0033384 | 0.037648 | 0.030972 |
| S | 638 | 0.01820 | 0.001463 | 0.00073169 | 0.0021951 | 0.020395 | 0.016005 |
| S | 648 | 0.02424 | 0.001769 | 0.00088437 | 0.0026531 | 0.026893 | 0.021587 |
| Si | 638 | 0.01688 | 0.001392 | 0.00069615 | 0.0020884 | 0.018968 | 0.014792 |
| Si | 648 | 0.23283 | 0.007896 | 0.00394787 | 0.0118436 | 0.244674 | 0.220986 |
| Cu | 638 | 0.26588 | 0.008620 | 0.00431008 | 0.0129302 | 0.278810 | 0.252950 |
| Cu | 648 | 0.10700 | 0.004722 | 0.00236087 | 0.0070826 | 0.114083 | 0.099917 |
| Ni | 638 | 0.69005 | 0.016197 | 0.00809827 | 0.0242948 | 0.714345 | 0.665755 |
| Ni | 648 | 0.25063 | 0.008290 | 0.00414497 | 0.0124349 | 0.263065 | 0.238195 |
| Cr | 638 | 0.03746 | 0.002359 | 0.00117934 | 0.0035380 | 0.040998 | 0.033922 |
| Cr | 648 | 0.23728 | 0.007995 | 0.00399761 | 0.0119928 | 0.249273 | 0.225287 |
| Sn | 638 | 0.00278 | 0.000422 | 0.0002112 | 0.0006336 | 0.003414 | 0.002146 |
| Sn | 648 | 0.01424 | 0.001244 | 0.00062209 | 0.0018663 | 0.016106 | 0.012374 |
| Mo | 638 | 0.06346 | 0.003342 | 0.00167122 | 0.0050137 | 0.068474 | 0.058446 |
| Mo | 648 | 0.08652 | 0.004103 | 0.00205142 | 0.0061543 | 0.092674 | 0.080366 |
| V | 638 | 0.02107 | 0.001612 | 0.00080608 | 0.0024182 | 0.023488 | 0.018652 |
| V | 648 | 0.06937 | 0.003545 | 0.00177259 | 0.0053178 | 0.074688 | 0.064052 |
| Ti | 638 | 0.00224 | 0.000366 | 0.00018309 | 0.0005493 | 0.002789 | 0.001691 |
| Ti | 648 | 0.04279 | 0.002576 | 0.0012878 | 0.0038634 | 0.046653 | 0.038927 |
| Al | 638 | 0.02346 | 0.001731 | 0.00086544 | 0.0025963 | 0.026056 | 0.020864 |
| Al | 648 | 0.06268 | 0.003315 | 0.00165761 | 0.0049728 | 0.067653 | 0.057707 |

6.5 Implement and document a laboratory organization-wide proficiency test policy that provides traceability to all workstations.

6.5.1 Establish a laboratory policy for assigning incoming proficiency test samples to the work stations and demonstrating traceability (applicability) of results to all work stations based on the elements contained in this guide. That policy might call for proficiency test samples to be analyzed on a rotating basis among all workstations or selecting work stations on a random basis. Also, it must include provision for confirming the acceptability of proficiency test results and confirmation that

all work stations were in statistical control at the time the proficiency test samples were analyzed.

6.6 Operate each workstation independently as defined in its associated documentation. If any changes are made to any workstation or its performance levels, document the changes and ensure compliance with the laboratory organization's data quality objectives.

7. Keywords

7.1 accreditation practice; proficiency testing; workstation

ANNEX

(Mandatory Information)

A1. A SUGGESTED MODEL FOR ESTABLISHING LABORATORY DATA QUALITY OBJECTIVES

A1.1 Scope

A1.1.1 The establishment of clearly defined data quality objectives is an essential first step in establishing procedures to harmonize the control of measurement uncertainties resulting from the use of multiple workstations. Data quality objectives must be stringent enough to meet all major client demands, including process control, specification conformity testing, and proficiency testing requirements. On the other hand, if they are set too stringently, the laboratory staff will find it difficult to meet them, and the laboratory will suffer significant productivity losses. This Annex presents one model that an analytical chemistry laboratory can use to establish the data quality objectives needed to comply with this guide (see Note A1.1).

NOTE A1.1—Although this model has many wider applications in testing laboratories, the discussion in this Annex is limited to meeting the specific requirements of this guide.

A1.1.2 This model is based on the long-recognized fact that, assuming measurement processes are optimized and under control, the uncertainty increases with concentration in a manner that can be described by a straight line on a plot of log of uncertainty versus log of concentration.⁶ This fact paves the way for laboratories to use data from their specific work environments and with which they feel comfortable, to develop data quality objectives.

A1.1.3 The data used in this Annex to represent the original R2 values is from a large number of interlaboratory tests of analytical methods carried out by ISO Technical Committee 17, Subcommittee 1 on Iron and Steel. These compilations represent typical performance levels of competent laboratories. The model permits individual laboratories to use these functions directly or to make adjustments to suit their individual needs.

A1.2 Assumptions

A1.2.1 For any determination, the reproducibility (difference in report values between two competent laboratories

analyzing the same sample, at 95 % confidence) will be less than the R2 value shown on Fig. A1.1.

A1.2.2 For any determination, the repeatability (difference in report values between duplicates of the same sample made on the same workstation, at 95 % confidence) will be less than the R1 value shown on Fig. A1.1. The value of R1 is estimated by dividing R2 by the square root of two. The within-laboratory standard deviation (95 % confidence) is estimated by dividing R1 by the square root of two.

A1.2.3 Most measurements by competent laboratories using standard test methods have negligibly small components of bias; therefore, this model for developing data quality objectives for measurement laboratories does not address bias.

A1.3 Procedure

A1.3.1 Establish the tolerable analytical uncertainty that the laboratory can achieve and meet its clients' needs.

A1.3.1.1 Prepare a log-log plot of R2 (95 % confidence) versus concentration (% , m/m) using the ISO data, as shown in Fig. A1.1.

A1.3.1.2 Add a second line to the plot where the individual R2 values are divided by the square root of two. It represents the maximum errors that the laboratory can have and still meet the R2 specification. Verify that all client obligations can be fulfilled if the laboratory reports results within the confines of the lower line. If the line does not meet customers' needs, make minor adjustments as necessary (see Note A1.2). This function becomes the official estimated uncertainty of the laboratory for all test results included in the evaluation.

NOTE A1.2—Experience shows that laboratories that significantly relax the requirements associated with the line are at greater risk of failing proficiency tests and of generally being less competent. On the other hand, laboratories that significantly tighten the requirements are likely to experience productivity losses and higher operating costs as staff attempts to meet performance goals that generally are unattainable with currently available methods and equipment.

A1.3.1.3 Establish the widest control limits to be permitted on SPC charts while remaining consistent with the target estimated uncertainties for the laboratory.

⁶ Horwitz, W., Kamps, L. R., and Boyer, I. W. (1980), *J. Assoc. Off. Anal. Chem.* 63, 1344–1354.

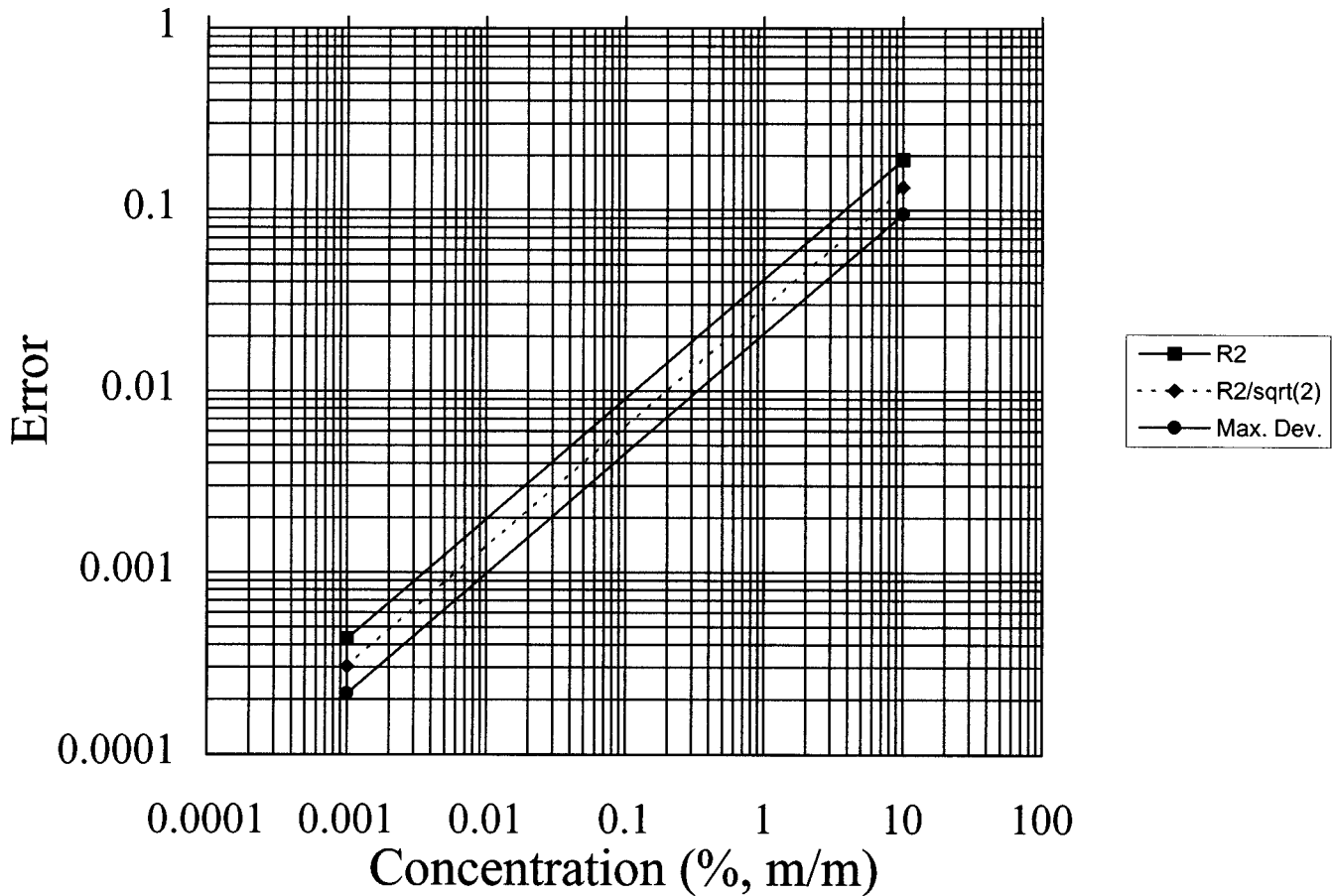


FIG. A1.1 Data Quality Objectives

A1.3.1.4 Add a third line to the plot by dividing the among-laboratory standard deviations by the square root of two. This remaining line estimates the maximum deviation (95 % confidence) to be allowed on SPC charts when homogeneous samples are carried through the process, except for variations related to the sample itself. Divide those values by two to obtain an estimate of one standard deviation, and multiply by three to obtain the three standard deviations to be used to establish upper and lower control limits for the SPC charts.

A1.3.1.5 This model sets the maximum upper and lower control limits for all SPC charts associated with all work

stations included in the program. If any work station is more precise than the target limits, then that work station has a “safety factor” built in so that it can drift slightly out of control and still not cause the laboratory to report results that have uncertainties greater than those stated.

A1.3.1.6 This model does not specify a tolerance for bias among instruments. It is assumed that any bias in test results will be eliminated below statistical significance during the initial calibration procedure and maintained below statistically acceptable limits by the normal SPC practice of the laboratory.

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